

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Baverman, Alan J.	2. Court or Organization Northern District of Georgia	3. Date of Report 10/03/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 1885 US Courthouse 75 Spring Street, SW Atlanta, GA 30303		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Power of Attorney	Relative (see Section VIII)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Self-employed real estate broker-agent
2. 2012	Real Estate Managing Broker
3. _____	_____
4. _____	_____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Conference Institutes	Jan. 26-28, 2012	New York, NY	Residential Mortgage Litigation Seminar (Judicial Panel Member)	Transportation, food, hotel
2. Dept. of Justice Office of Legal Education	Apr. 30-May 2, 2012	Columbia, SC	Computer Hacking and Intellectual Property Seminar (Speaker)	Transportation, food, hotel
3. Georgia Association of Criminal Defense Lawyers	Nov. 2-3, 2012	Young Harris, GA	GACDL Fall Seminar (Speaker)	Food, hotel
4. _____	_____	_____	_____	_____
5. _____	_____	_____	_____	_____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Domain Construction	Home Renovation	K
2.	Flagstar Bank	Mortgage on Rental Property # 2 (line 129)	L
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BROKERAGE ACCOUNT SEP IRA 5B					Closed	04/17/12			to Fid SEP IRA 090
2. -Fed. Prime Obligs. Trust Sh (MM)	A	Dividend			Closed	04/17/12	M		""
3. -GNMA Series 2003-40	A	Interest			Closed	04/17/12	J		""
4. -GEMoney Bank Salt Lake City UT S7	A	Interest			Closed	04/17/12	J		""
5. -GEMoney Bank Salt Lake City UT T5	A	Interest			Closed	04/17/12	J		""
6. -GEMoney Bank Salt Lake City UT U2	A	Interest			Closed	04/17/12	J		""
7. -Dreyfus Funds Standish GL Fixed Inc	A	Dividend			Closed	04/17/12	J		""
8. -Manning & Napier Pro Blend Conserv Term Ser	A	Dividend			Closed	04/17/12	J		""
9. BROKERAGE ACCT SEP IRA 5C					Closed	04/17/12			to Fid SEP IRA 090
10. -Fed. Prime Obligs. Trust Sh (MM)	A	Dividend			Closed	04/17/12	J		""
11. -iShares Barclays US Agg BD FD	A	Dividend			Closed	04/17/12	J		""
12. -iShares TR iBoxx \$ High Yield	A	Dividend			Sold	01/23/12	J	A	
13. -Aberdeen Equity Long Short Fund Inst CL		None			Closed	04/17/12	J		""
14. -Absolute Strategies Institutional	A	Dividend			Closed	04/17/12	J		""
15. -Eaton Vance Atl Cap SMID CAP FD 1		None			Closed	04/17/12	J		""
16. -Federated Strategic Value Dividend CI 1	A	Dividend			Closed	04/17/12	J		""
17. -Guggenheim Managed Futures Strat CI H		None			Closed	04/17/12	J		name change from Rydex SGI

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. -Manning & Napier World Oppt Serv Cl A	A	Dividend			Closed	04/17/12	J		to Fid SEP IRA 090
19. -Oppenheimer Dev. Markets FD Cl Y	A	Dividend			Closed	04/17/12	J		""
20. -T Rowe Pice Inst Large Cap Growth	A	Dividend			Closed	04/17/12	J		""
21. -The Hartford Div & Gr FD Cl I					Buy	02/24/12	J		
22. -The Hartford Div & Gr FD Cl I	A	Dividend			Closed	04/17/12	J		""
23. -PIMCO Inv Gr Corp Bond Instl	A	Dividend			Closed	04/17/12	J		""
24. -PIMCO Low Dur Inst	A	Dividend			Closed	4/17/12	J		""
25. -PIMCO Foreign Inst SHS					Buy	02/22/12	J		""
26. -PIMCO Foreign Inst SHS	A	Dividend			Closed	04/17/12	J		""
27. -Templeton Global Bond Adv	A	Dividend			Closed	04/17/12	J		""
28. -Eaton Vance Floating Rate Class I	A	Dividend			Closed	04/17/12	J		""
29. -Neuberger Berman High Inc Bond Inst	A	Dividend			Closed	04/17/12	J		""
30. -PIMCO Commod Real Return Inst	A	Dividend			Closed	04/17/12	J		""
31. BROKERAGE ACCT Fid SEP IRA 090 (opened 4/17/12)					Open	04/17/12			
32. -Fid Govt MM (prior: Fed. Prime Oblig. Trust Sh) (MM)(now	A	Dividend	M	T	Open	04/17/12	M		
33. -GNMA Series 2003-40	A	Distribution	J	T	Open	04/17/12	J		
34. -GEMoney Bank Salt Lake City UT S7	A	Interest	J	T	Open	04/17/12	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. -GEMoney Bank Salt Lake City UT T5	A	Interest	J	T	Open	04/17/12	J		
36. -GEMoney Bank Salt Lake City UT U2	A	Interest	J	T	Open	04/17/12	J		
37. -Dreyfus Funds Standish GL Fixed Inc	A	Dividend	K	T	Open	04/17/12	K		
38. -Manning & Napier Pro Blend Conserv Term Ser	A	Dividend	J	T	Open	04/17/12	J		
39. -iShares Barclays US Agg BD FD	A	Dividend	J	T	Open	04/17/12	J		
40. -Aberdeen Equity Long Short Fund Inst CL		None			Sold	08/01/12	J		
41. -Absolute Strategies Institutional	A	Dividend	J	T	Open	04/17/12	J		
42. -Eaton Vance Atl Cap SMID CAP FD 1		None	J	T	Open	04/17/12	J		
43. -Federated Strategic Value Dividend Cl I	A	Dividend	J	T	Open	04/17/12	J		
44. -Guggenheim Managed Futures Strat Cl H		None			Sold	07/31/12	J		
45. -Manning & Napier World Oppt Serv Cl A	A	Dividend	J	T	Open	04/17/12	J		
46. -Oppenheimer Dev. Markets FD Cl Y	A	Dividend	J	T	Open	04/17/12	J		
47. -T Rowe Pice Inst Large Cap Growth	A	Dividend	J	T	Open	04/17/12	J		
48. -The Hartford Div & Gr FD Cl I	A	Dividend	J	T	Buy	02/24/12	J		
49. -PIMCO Inv Gr Corp Bond Instl	A	Dividend	J	T	Open	04/17/12	J		
50. -PIMCO Low Dur Inst	A	Dividend	J	T	Open	04/17/12	J		
51. -PIMCO Foreign Inst SHS	A	Dividend	J	T	Buy	2/22/12	J		

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52. -Templeton Global Bond Adv	A	Dividend	J	T	Open	04/17/12	J		
53. -Eaton Vance Floating Rate Class I	A	Dividend	J	T	Open	04/17/12	J		
54. -Neuberger Berman High Inc Bond Inst	A	Dividend	J	T	Open	04/17/12	J		
55. -PIMCO Commod Real Return Inst	A	Dividend			Sold	07/31/12	J		
56. -Bank Baroda New York NY Fixed Coupon	A	Interest	J	T	Buy	09/26/12	J		
57. -Market Vectors ETF Trust Biotech ETF	A	Dividend	J	T	Buy	11/15/12	J		
58. -iShares Core S&P 500 ETF	A	Dividend	J	T	Buy	11/19/12	J		
59. BROKERAGE ACCT Fid IRA 740					Open	04/17/12			
60. -Fidelity Govt MM (was Vngrp Wlsly Inc. Inv.)	A	Dividend	J	T	Open	04/17/12	J		
61. -iShares TR MSCIEAFE Index FD	A	Dividend	J	T	Buy	11/13/12	J		
62. -iShares TR Russell 2000 Growth Index FD	A	Dividend	J	T	Buy	11/13/12	J		
63. -iShares TR Russell 3000 Index FD	A	Dividend	J	T	Buy	11/13/12	J		
64. -iShares TR Dow Jones US RE Index FD	A	Dividend	J	T	Buy	11/13/12	J		
65. -iShares TR Dow Jones EPAC Select Div Index FD	A	Dividend	J	T	Buy	11/13/12	J		
66. BROK ACCT SEP IRA 6B					Closed	04/17/12			to Fid IRA 350
67. -Feder. Prime Obligations Trust Sh	A	Dividend			Closed	04/17/12	J		""
68. -BMW BK N. Am. Utah	A	Interest			Closed	04/17/12	J		""

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3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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69. -Union Bank CD	A	Interest	K	T	Closed	04/17/12	J		""
70. BROKERAGE ACCT Fid IRA 350					Open	04/17/12			
71. -Fidelity Govt MM (was Feder. Prime Obligations Trust Sh)	A	Dividend	L	T	Open	04/17/12	L		
72. -BMW BK N. Am. Utah	A	Interest	J	T	Open	04/17/12	J		
73. -Union Bank CD	A	Interest	K	T	Open	04/17/12	K		
74. BROK ACCT SEP IRA 6C					Closed	04/17/12			to Fid SEP IRA 154
75. -Feder. Prime Oblig. Trust SH	A	Dividend			Closed	04/17/12	J		""
76. -IShares Barclays US Agg BD FD	A	Dividend			Closed	04/17/12	J		""
77. -IShares TR IBoxx \$ High Yield	A	Dividend			Closed	04/17/12	J		""
78. -IShares TR IBoxx \$ High Yield					Sold	03/27/12	J		
79. -Aberdeen Equity Long Short Fund Inst CL		None			Closed	04/17/12	J		""
80. -Absolute Strategies Institutional	A	Dividend			Closed	04/17/12	J		""
81. -Eaton Vance Atl Cap SMID CAP FD I	A	Dividend			Closed	04/17/12	J		""
82. -Federated Strategic Value Dividend Cl I	A	Dividend			Closed	04/17/12	J		""
83. -Manning & Napier World Oppt Serv Cl A	A	Dividend			Closed	04/17/12	J		""
84. -Oppenheimer Dev. Markets FD Cl Y	A	Dividend			Closed	04/17/12	J		""
85. -Guggenheim Mged Fut Strat (was Rydex SGI Managed Fut)		None			Closed	04/17/12	J		""

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86. -T Rowe Pice Inst Large Cap Growth	A	Dividend			Closed	04/17/12	J		""
87. -Eaton Vance Floating Rate C1 I	A	Dividend			Buy	01/26/12	J		to Fid SEP IRA 154
88. -PIMCO Inv Gr Corp Bond Instl	A	Dividend			Closed	04/17/12	J		""
89. -PIMCO Low Dur Inst	A	Dividend			Closed	04/17/12	J		""
90. -PIMCO Foreign Inst SHS	A	Dividend			Buy	2/22/12	J		
91. -PIMCO Foreign Inst SHS					Closed	04/17/12	J		""
92. -Templeton Global Bond Adv	A	Dividend			Closed	04/17/12	J		""
93. -Neuberger Berman High Inc Bond Inst	A	Dividend			Closed	04/17/12	J		""
94. -PIMCO Commod Real Return Inst	A	Dividend			Closed	04/17/12	J		""
95. -The Hartford Div & Gr FD C1 I	A	Dividend			Buy	02/10/12	J		to Fid SEP IRA 154
96. BROKERAGE ACCT Fid SEP IRA 154					Open	04/17/12			
97. -Fidelity Govt MM (was Feder. Prime Oblig. Trust SH)	A	Dividend	J	T	Open	04/17/12	J		
98. -iShares Barclays US Agg BD FD	A	Dividend	J	T	Open	04/17/12	J		
99. -Aberdeen Equity Long Short Fund Inst CL		None			Open	04/17/12	J		
100. -Aberdeen Equity Long Short Fund Inst CL					Sold	08/01/12	J		
101. -Absolute Strategies Institutional	A	Dividend	J	T	Open	04/17/12	J		
102. -Eaton Vance Atl Cap SMID CAP FD I	A	Dividend	J	T	Open	04/17/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Baverman, Alan J.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. -Federated Strategic Value Dividend Cl I	A	Dividend	J	T	Open	04/17/12	J		
104. -Manning & Napier World Oppt Serv Cl A	A	Dividend	J	T	Open	04/17/12	J		
105. -Oppenheimer Dev. Markets FD Cl Y	A	Dividend	J	T	Open	04/17/12	J		
106. -Guggenheim Mged Fut Strat (was Rydex SGI Managed Fut)		None			Open	04/17/12	J		
107. -Guggenheim Mged Fut Strat					Sold	07/31/12	J		
108. -T Rowe Pice Inst Large Cap Growth	A	Dividend	J	T	Open	04/17/12	J		
109. -Eaton Vance Floating Rate Cl I	A	Dividend	J	T	Open	04/17/12	J		
110. -PIMCO Inv Gr Corp Bond Instl	A	Dividend	J	T	Open	04/17/12	J		
111. -PIMCO Low Dur Inst	A	Dividend	J	T	Open	04/17/12	J		
112. -PIMCO Foreign Inst SHS	A	Dividend	J	T	Open	04/17/12	J		
113. -Templeton Global Bond Adv	A	Dividend	J	T	Open	04/17/12	J		
114. -Neuberger Berman High Inc Bond Inst	A	Dividend	J	T	Open	04/17/12	J		
115. -PIMCO Commod Real Return Inst	A	Dividend			Open	04/17/12	J		
116. -PIMCO Commod Real Return Inst					Sold	07/31/12	J		
117. -iShares Barclays US Agg BD FD	A	Dividend	J	T	Open	04/17/12	J		
118. -The Hartford Div & Gr FD Cl I	A	Dividend	J	T	Open	04/17/12	J		
119. -Janus Short Term Bond Fund Class T	A	Dividend	K	T	Buy	08/27/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. ST JOINT BROK ACCT					Closed	04/10/12			to Fid X19
121. -Vngrd Short Term Tax Exempt	A	Dividend			Closed	4/10/12	K		""
122. -Feder. Prime Oblig Trush SH	A	Dividend			Closed	4/10/12	J		
123. BROKERAGE ACCT Fid X19					Open	4/10/12			
124. -Vngrd Short Term Tax Exempt	A	Dividend	K	T	Open	04/10/12	K		
125. 401(K) PLAN					Closed	04/10/12			to FID IRA 740
126. -Vngrd Wlsly Inc Inv	A	Int./Div.			Closed	04/10/12	J		
127. RENTAL PROPERTY									
128. -# 1 [REDACTED] (2012 Assessment: \$159,500)	E	Rent	M	S					
129. -#2 [REDACTED] (2012 Assessment: \$100,880)	D	Rent	M	S	Buy	06/04/12	M		
130. FAMILY S CORPORATION (F1)	C	Distribution	O	T					
131. -American Funds Fundamental Investors- Class A	A	Dividend	J	T					
132. -American Funds WaMu Investors Fund - Class A (WMIF-A)	B	Dividend			Merged (with line 136)	12/27/12	M		exch to MMF-A
133. -AF Short-Term Tax-Exempt Bond Fund A (STEX-A)	A	Dividend	J	T					
134. -American Funds Inv. Co. of Amer. A (ICA- A)	A	Dividend			Merged (with line 136)	12/27/12	M		exch. to MMF-A
135. -American Funds Money market Fund-A		None	M	T	Open	12/27/12	M		
136. -Trust Bank Account (SunTrust)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. BANK ACCOUNTS									
138. -Wachovia 4D (now Wells Fargo)	A	Interest			Closed	06/10/12	J		
139. -North Georgia Bank 1	A	Interest	M	T					
140. -North Georgia Bank 2	A	Interest	J	T					
141. -North Georgia Bank 3	A	Interest	J	T					
142. -North Georgia Bank 4	A	Interest	L	T					
143. -North Georgia Bank 5	A	Interest	K	T					
144. -SunTrust (MMA)	A	Interest	L	T					
145. -SunTrust I	A	Interest	J	T					
146. -SunTrust II	A	Interest	J	T					
147. -SunTrust III	A	Interest	J	T					
148. -Chase	A	Interest	J	T	Open	06/01/12	J		
149. -Bank of America	A	Interest	J	T	Open	06/14/12	J		
150. TRUST BROKERAGE ACCOUNT									
151. -E-Trade cash balance	A	Interest	J	T					
152. -Nuveen Preferred and Conv. Income Fund	B	Dividend	K	T					
153. -Pimco High Income Fund PFD SER M Auction Rate	A	Dividend			Sold	01/07/12	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Baverman, Alan J.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. BELL & HOWELL RETIREMENT (I) SAVINGS PLAN NO. 2									
155. -Fidelity Money Market (FDRXX)	A	Dividend	J	T	Distributed (part)	11/30/12	J		
156. -Fidelity Freedom 2050 (FFFHX)	A	Dividend			Sold	07/30/12	J	A	
157. -iShares Intl Dividend EFT		None	J	T	Buy	11/07/12	J		
158. -iShares Russell 2000 Growth EFT		None	J	T	Buy	11/07/12	J		
159. BELL & HOWELL RETIREMENT (II) SAVINGS PLAN NO. 3									
160. -Fidelity Money Market (FDRXX)	A	Dividend	J	T	Distributed (part)	11/30/12	J		
161. -Fidelity Freedom 2050 (FFFHX)	A	Dividend			Sold	07/30/12	J	A	
162. -iShares Intl Dividend EFT		None	J	T	Buy	11/07/12	J		
163. -iShares Russell 2000 Growth EFT		None	J	T	Buy	11/07/12	J		
164. BROKERAGE ACCOUNT 11B (IRA- BDA)					Closed	04/12/12			to Fid IRA-BDA
165. -Feder. Prime Oblig Trust SH	A	Dividend			Closed	04/12/12	K		
166. BROKERAGE ACCT Fid IRA BDA					Open	04/12/12			
167. -Fidelity Govt MM	A	Dividend	K	T	Open	04/12/12	K		
168. Transamerica Life Ins. Annuity (thru power of attorney)	A	Interest	L	T	Distributed (part)	11/27/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, Line 1: Exercise power of attorney in withdraws from bank account, completing and filing federal and state tax returns, paying income tax preparer, and arranging fro distributions from annuity (Part VII, line 166)

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Alan J. Baverman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544