

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ikuta, Sandra S.	2. Court or Organization Ninth Circuit	3. Date of Report 07/12/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address U.S. Court of Appeals - Ninth 125 South Grand Avenue Pasadena, California 91105	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the **NONE** box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee #1	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1997	O'Melveny & Myers Defined Benefit Plan with former law firm, no control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	self-employment earnings, freelance photographer
2.	
3.	
4.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Bank of America	Mortgage on rental property, Agoura, California	M
2. Citimortgage	Mortgage on rental property, West Los Angeles, California	M
3. Union Bank	Mortgage on rental property, West Los Angeles, California	M
4. Union Bank	Mortgage on rental property, Santa Monica, California	O
5.		

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VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ING Direct Accounts (cash equivalent)	A	Interest	J	T					
2. Bank of America	A	Dividend	J	T					
3. Trust 1									
4. -Rental Property, Agoura, California	E	Rent	O	W					
5. -50% interest in rental property, Venice, California	E	Rent	O	W					
6. -50% interest in rental property, W. Los Angeles, California	E	Rent	N	W					
7. -Investment property, Kulakai, Hawaii		None	N	W					
8. -33% interest in rental property, Santa Monica, California		None	N	W					
9. IRA#2	C	Dividend	N	T					
10. -Columbia FDS SER Tr 21st Century Fd Cl A									
11. -John Hancock Classic Value Fund Class A									
12. -Oppenheimer Intl Bond Fund Class A									
13. -Oppenheimer Global Opportunities Fund									
14. -Van Kampen Small Cap Value FD Class A									
15. -Van Kampen Equity Tr Small Cap Cl A									
16. -Pershing Government Account									
17. Brokerage Account #1									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -500 Index Fund Inv	B	Dividend	K	T					
19. -Small-Cap Index Fund Inv	A	Dividend	K	T					
20. American Funds VCSP/College America 529A	B	Dividend	L	T					
21. -Growth Fund of America									
22. -The Investment Company of America									
23. -Washington Mutual Investors Fund									
24. -The Bond Fund of America									
25. Brokerage Account #2									
26. -First Allied Securities, Inc. Money Market Account	A	Dividend	K	T					
27. -Eaton Vance Tax Managed Growth Fund I.I Class A		None			Sold	06/19/09	J		
28. -MFS Core Equity Fund Class A		None			Sold	06/19/09	J		
29. -American Funds New Perspective Fund Class A		None			Sold	06/19/09	J		
30. -Putnam Voyager Fund Class B		None			Sold	06/19/09	J		
31. -Oppenheimer California Muni Class A Open End Fund	D	Int./Div.	L	T	Sold (part)	06/19/09	L		
32. -Van Kampen High Yield Muni Cl A	C	Interest	K	T	Sold (part)	06/19/09	K		
33. -Van Kampen Amer Franchise Fund Class A	A	Dividend	L	T	Sold (part)	06/19/09	L		
34. -Oppenheimer Intl Diversified FD CL A	B	Distribution	L	T	Sold (part)	06/19/09	K		

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3. Value Method Codes (See Column C2)					

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35. -Fidelity Advisor Leveraged Co. Stock CL T	A	Dividend	K	T	Sold (part)	06/19/09	K		
36. -Fidelity Advisors New Insights Fd Cl T		None	K	T	Sold (part)	06/19/09	K		
37. -Fidelity Advisors Small Cap Fund Class T		None	L	T	Sold (part)	06/19/09	K		
38. Flexible Variable Universal Life Ins Incentive Life	A	Dividend	M	T					
39. -Eq/ Common Stock Index									
40. -EQ/Large Cap Value Plus									
41. -Eq/Equity 500 Index									
42. -EQ Mid Cap Value PLUS									
43. -Eq/BlackRock Bsic Value Equity									
44. -Eq/Black Rock International Value									
45. -EQ/Large Cap Growth Plus									
46. Series EE U.S. Savings Bonds	A	Interest	J	T					

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VI, Line 2: I have reported a value code for the entire mortgage amount, although I have only a 50% ownership interest.

Part VI, Line 3: I have reported a value code for the entire mortgage amount, although I have only a 33% ownership interest

Part VII, Lines 5 and 6: I have reported the gross value of my 50% interest.

Part VII, Line 8: I have reported the gross value of my 33% interest.

Part VII, Line 39: formerly -Eq/AlIBmstn Common Stock (name change)

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544