

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wells, Lesley B.	2. Court or Organization U.S. District Court, ND OH	3. Date of Report 05/04/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge, Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 201 Superior Avenue 328 United States Court House Cleveland, OH 44114		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	OHIO PUBLIC EMPLOYEES RETIREMENT SYSTEM	\$31,427.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	WHEELING & LAKE ERIE RAILWAY - DIRECTOR
2. 2011	SQUIRE, SANDERS & DEMPSEY - LAW FIRM
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CENTURY FINCL CORP MICH		None	K	T					
2. KEY CORP SECURITIES	A	Dividend	J	T					
3. LORAIN OHIO-MUNICIPAL BONDS	A	Interest			Redeemed	12/08/11	J		
4. LUCAS CNTY OH HOSP REV-MUNI BONDS	A	Interest			Redeemed	12/16/11	J		
5. MAHONING CNTY OHIO HOSP-MUNI BONDS	A	Interest	K	T					
6. CUYAHOGA CNTY OHIO HOSP-MUNI BONDS	A	Interest	K	T					
7. OHIO ST AIR QUALITY DEV-MUNI BONDS	A	Interest	J	T					
8. CUYAHOGA CO OH HSP REV-MUNI BONDS	A	Interest	J	T	Redeemed (part)	11/22/11	J		
9. CLEVELAND OH ARPT SYS MUNI BONDS	A	Interest	J	T					
10. MERRILL LYNCH CMA MONEY FD	A	Interest	K	T					
11. UNIV CINC OH GEN RCPTS-MUNI BONDS	A	Interest			Redeemed	06/01/11	J		
12. AKRON BATH COPLEY OH ST-MUNI BONDS	A	Interest	K	T					
13. TECUMSEH OHIO LCL SCH	A	Interest			Sold	01/18/11	J		
14. MERRILL LYNCH CMA MONEY FD		None			Closed	04/12/11	J		
15. VANGUARD 500 INDEX FD	C	Dividend	M	T	Buy (add'l)	01/03/11	J		
16.					Buy (add'l)	02/01/11	J		
17.					Buy (add'l)	03/01/11	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18.					Buy (add'l)	04/01/11	J		
19.					Buy (add'l)	05/02/11	J		
20.					Buy (add'l)	06/01/11	J		
21.					Buy (add'l)	07/01/11	J		
22.					Buy (add'l)	08/01/11	J		
23.					Buy (add'l)	09/01/11	J		
24.					Buy (add'l)	09/30/11	J		
25.					Buy (add'l)	11/01/11	J		
26.					Buy (add'l)	12/01/11	J		
27. TOLEDO OH CAP IMPT	B	Interest	J	T	Sold (part)	09/09/11	K	B	
28. COLUMBUS OHIO SWR REV SYS	A	Interest	J	T					
29. OHIO ST HIGHER EDL	A	Interest			Sold	04/12/11	J		
30. OH ST UNIV GEN RCPTS -SER A	B	Interest	K	T	Sold (part)	04/20/11	J		
31. CLEVE OH ST UNIV GEN RCPT FGIC MUNI	A	Interest	K	T					
32. HAMILTON CNTY OH SALES TX MUNI	A	Interest	J	T					
33. AKRON OH INC TX RV CMNTY MUNI	A	Interest	K	T					
34. HAMILTON CNTY OH SWR TX FSA MUNI	A	Interest	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. UNIV AKRON OH MUNI	A	Interest	J	T	Buy	01/12/11	J		
36. AMERICAN MUNI PWR OHIO	A	Interest			Buy	01/12/11	J		
37.					Sold	04/20/11	J		
38.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Lesley B. Wells

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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