

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Carney, Cormac J.	2. Court or Organization District Court - Central District of California	3. Date of Report 04/18/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address Ronald Reagan Federal Building 411 West Fourth Street Santa Ana, California 92701-4516		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Chairman	UCLA Alumni Awards Committee
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	Gruppo Marcucci, LLC - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. U.S. Air Force	September 1-4, 2011	Colorado Springs, CO	Induction into Hall of Fame	Transportation, Meals, Hotel
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Bank Accounts	A	Interest	K	T					
2. Home Savings CD	A	Interest	K	T					
3. Home Savings Account (Y)									
4. Vanguard Wellington Fund	B	Dividend	K	T					
5. Vanguard Health Fund	A	Dividend	J	T					
6. Janus Twenty Fund	A	Dividend	L	T					
7. Columbia Strategic Investor Fund	A	Dividend	K	T					
8. Janus Global Technology		None	J	T					
9. American Century- Ultra		None	K	T					
10. County Deferred Comp. Plan - Stable Value Fund	A	Dividend	J	T					
11. IRA Brokerage	D	Dividend	N	T					
12. -Schwab Total Bond Fund - SWLBX									
13. -Schwab Money Market Fund									
14. -COST									
15. -Clipper Fund CFIMX									
16. -HD									
17. -MRK									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -BRKB									
19. -TGT									
20. -P&G									
21. -AAPL (X)					Buy	06/10/11	J		
22. -Meridian Growth Fund- MERDX									
23. -Schwab 1000 Index Fund - SNXSX									
24. -Schwab Intl Index Fund - SWINX									
25. -Tweedy Browne Global Value Fund - TBGVX									
26. -Vanguard Small Cap Index Fund - NAESX									
27. 401(k)		None	K	T					
28. -BlackRock Equity Fund (MSDVX)									
29. -JP Morgan Smart Retirement 2020 Select									
30. Brokerage Account									
31. -American Funds - Balanced	A	Dividend	J	T					
32. -Capital Income Bldr FD - CIBCX	A	Dividend	J	T					
33. -American Funds Growth Fund	A	Dividend	K	T					
34. -(Investco) Van Kampen Equity Small Caps	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -Washington Mutual Investors Fund - WSHCX	A	Dividend	J	T					
36. -American Funds New Perspective Fund	A	Dividend	J	T					
37. --Putnam CA Tax Exempt Income FD - CLC	B	Int./Div.	L	T					
38. IRA Rollover	A	Dividend	K	T					
39. -Growth FD Amer Inc - GFACX									
40. -Franklin Rising Dividends - FRDTX									
41. -Allianz NFJ Small Cap - PCVCX									
42. -Capital World Growth & Income - CWGCX									
43. -Pimco Total Return - PTTCX									
44. -Amer Balanced Fund - BALCX									
45. -(Invesco) VK Global Franchise Fund - VGFCX									
46. 529 Savings Plan #2	A	Dividend	K	T					
47. -American Funds - Growth Fund					Sold (part)	03/04/11	J	A	
48.					Sold (part)	12/08/11	J	A	
49. -American Funds - New Perspective Fund									
50. -Washington Mutual Investors Fund									
51. -American Funds - Capital Income Builder					Sold (part)	09/19/11	J	A	

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52. -American Funds - Balanced Fund					Sold (part)	03/17/11	J	A	
53. -American Funds - Bond Fund					Sold (part)	09/09/11	J	A	
54. 529 Savings Plan #3	A	Dividend	K	T					
55. -American Funds - Growth Fund									
56. -American Funds - New Perspective Fund									
57. -Washington Mutual Investors Fund									
58. -American Funds - Capital Income Builder									
59. -American Funds - Balanced Fund									
60. -American Funds - Bond Fund									
61. Variable Life Insurance	C	Dividend	M	T					
62. -NW Mutual - Mid Ca Grth Stock									
63. -NW Mutual Growth Stock Fund									
64. -NW Mutual Index 400 Stock Fund									
65. -NW Mutual Small Cap Growth Stock Fund									
66. -Russell Non-US Fund									
67. -Russell Real Estate Securities Fund									
68. -T Rowe Price Small Cap Value									

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69. - Capital Guardian Domestic Equity									
70. Inherited IRA	C	Distribution	M	T					
71. -JPM					Sold (part)	01/03/11	K	A	
72. -FDFFX					Sold (part)	11/28/11	J	A	
73. -PRPFX									
74. -FDRXX									
75. -FSCRX (X)					Buy	02/09/11	K		
76.					Sold (part)	11/28/11	J	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part VII: Item 28: BlackRock Equity Dividend Fund S (MSDVX) replaced Davis New York Venture Fund R (NYVRX) on September 28, 2011.
2. Part VII: Item 74: This fund was listed as FDRXY in th 2010 report. The correct fund symbol is FDRXX.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Cormac J. Carney**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544