

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Huennekens, Kevin R.	2. Court or Organization Virginia - Bankruptcy Court	3. Date of Report 04/24/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 701 East Broad Street Fifth Floor Richmond, VA 23219-1888		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2/1/2017	LexisNexis - publication Royalty	\$1,200.00
2. 8/1/2017	LexisNexis - Publication Royalty	\$1,200.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Wages Virginia Commonwealth University - Assistant Professor
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Tidewater Bankruptcy Bar Association	February 24-25, 2017	Virginia Beach, VA	Faculty for legal education seminar	Transportation, Meals, Hotel Room
2. Virginia State Bar	April 26-27, 2017	Vienna VA	Faculty for legal education seminar	Transportation, Meals, Hotel Room
3. Virginia Bar Association	April 28-29, 2017	Williamsburg, VA	Faculty for legal education seminar	Transportation, Meals, Hotel Room
4. American Bankruptcy Institute	July 27-29, 2016	Hilton Head, SC	Faculty for legal education program	Transportation, Meals & Hotel Room
5. Virginia State Bar	September 14 16, 2016	Charlottesville, VA	Faculty for legal education program	Transportation, Meals & Hotel Room

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6.	NCBJ	October 7-11, 2016	Las Vegas, NV	Attend Board Meeting at annual meeting	Transportation, Meals & Hotel Room
7.	American Bankruptcy Institute	October 16-17, 2016	Washington, DC	Faculty for legal education program	Transportation, Meals & Hotel Room

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Northwestern Mutual adjustable Complife Policy	C	Dividend	M	T					
2. First Nat'l Bank of Omaha - Health Savings Account	A	Interest	J	T					
3. IRA for MEH IMLBX	A	Int./Div.	K	T					
4. Wells Fargo Savings Account	A	Interest	J	T					
5. BB&T Checking Account	A	Interest	J	T					
6. Wells Fargo Advisors Cap Account - 9801	A	Interest	K	T					
7. IRA #1 for KRH (Account 5991)	D	Int./Div.	N	T					
8. - cash and sweep balances in Wells Fargo Account 5991	A	Interest	J	T					
9. - IJR	A	Int./Div.			Sold (part)	02/28/17	J	A	
10.					Sold (part)	06/16/17	K	B	
11.					Sold	06/19/17	J	A	
12. - CSJ	A	Interest			Sold (part)	02/28/17	J	A	
13.					Sold	06/16/17	J	A	
14. - DBC		None			Sold	01/05/17	J	B	
15. - JNK		None			Sold	01/05/17	J	A	
16. - VWO	A	Int./Div.	K	T	Sold (part)	02/28/17	J	A	
17.					Sold (part)	09/29/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - VEA	B	Int./Div.	K	T	Sold (part)	02/28/17	J	A	
19. - IJH	A	Int./Div.			Sold	02/28/17	J	A	
20. - XLF	A	Int./Div.	K	T	Sold (part)	09/29/17	J	C	
21. - XLV	A	Int./Div.	K	T	Sold (part)	02/28/17	J	B	
22.					Sold (part)	09/29/17	J	C	
23. - XLY	A	Int./Div.	K	T	Buy (add'l)	01/05/17	J		
24.					Sold (part)	02/28/17	J	B	
25.					Sold (part)	09/29/17	J	B	
26. - XLI	A	Int./Div.	J	T	Sold (part)	02/28/17	J	B	
27.					Sold (part)	09/29/17	J	B	
28. - VNQ	A	Int./Div.	K	T	Sold (part)	02/28/17	J	A	
29. - VOX	A	Int./Div.			Sold (part)	02/28/17	J	A	
30.					Sold	09/29/17	J	A	
31. - XLP	A	Int./Div.			Sold (part)	02/28/17	J	A	
32.					Sold	09/29/17	J	B	
33. - VGT	A	Int./Div.	K	T	Sold (part)	02/28/17	J	B	
34.					Sold (part)	06/16/17	J	C	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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35.					Sold (part)	09/29/17	J	D	
36. - XLB	A	Int./Div.			Sold (part)	02/28/17	J	A	
37.					Sold	09/29/17	J	B	
38. - XLE	A	Int./Div.			Sold (part)	02/28/17	J	A	
39.					Sold	09/29/17	J	A	
40. - BND	A	Interest	J	T	Sold (part)	02/28/17	J	B	
41. - XRT	A	Int./Div.			Sold	01/05/17	J	B	
42. - PFF	A	Int./Div.			Sold (part)	02/28/17	J	A	
43.					Sold	06/16/17	J	A	
44. - SPY		None			Sold	01/05/17	J	B	
45. -AGG	B	Interest	L	T	Buy (add'l)	01/05/17	J		
46.					Sold (part)	02/28/17	J	A	
47. - HYG	A	Interest	J	T	Sold (part)	02/28/17	J	A	
48. - PCY	A	Int./Div.	J	T	Sold (part)	02/28/17	J	A	
49. - XLRE		None			Sold	02/28/17	J	A	
50. -RWO	A	Int./Div.	K	T	Buy	01/05/17	K		
51.					Sold	02/28/17	J	A	

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52.					Buy	09/29/17	J		
53. - IVV	B	Int./Div.	L	T	Buy	06/16/17	J		
54.					Buy (add'l)	09/29/17	K		
55. - IWM			K	T	Buy	06/16/17	K		
56.					Buy (add'l)	06/19/17	K		
57. - VCIT	A	Int./Div.	J	T	Buy	06/16/17	J		
58.					Buy (add'l)	09/29/17	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII - Line 7 - Entry isted in Column A is a header. Each asset held in this account is listed seperately at lines 8 through 58..

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kevin R. Huennekens**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544