

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Manion, Daniel A.	2. Court or Organization USCA-7	3. Date of Report 06/01/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 301 Grant Federal Building 204 S. Main Street South Bend, IN 46601		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Key Bank checking accounts	A	Interest	L	T					
2. UBS Account (Ann): items 3-21									
3. UBS deposit account	A	Distribution	M	T					
4. Boeing Company common stock (BA)	C	Dividend	M	T					
5. Blackrock Muni (BTT)	B	Dividend	K	T					
6. Barclays Bank preferred	A	Dividend	J	T					
7. ING Group preferred	A	Dividend	J	T					
8. Brownsville TX bond	A	Interest	J	T					
9. Kane McHenry Muni Bond	B	Int./Div.	K	T					
10. Indiana St. Fin. Auth. bond	A	Int./Div.	J	T					
11. Ohio State Higher Ed Bond	A	Int./Div.	K	T					
12. Oppenheimer Steelpath (MLPDX)	C	Distribution	K	T					
13. Gaslog Ltd preferred	A	Dividend	J	T	Buy	08/05/15	J		
14. Royal BK Scotland preferred	A	Dividend	J	T	Buy	09/26/15	J		
15. Nuveen Interduration (NID)	B	Int./Div.	K	T	Buy	06/25/15	J		
16. Indianapolis IN loc bond	A	Interest	J	T					
17. Indianapolis IN gas bond	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Hamilton Co. OH bond	A	Interest	J	T					
19. Kane/DeKalb Co. IL bond	B	Interest	K	T					
20. University of Akron bond	A	Interest	J	T					
21. Cape Coral FL Muni Bond	A	Int./Div.	K	T					
22. IRA Items: items 23-53									
23. -Davis NY Venture Fund (Ann)		Distribution	K	T					
24. -Franklin Balance Sheet (Ann)		Distribution	L	T					
25. -Franklin Income Fund (Ann)	A	Distribution			Sold	12/23/15	K	A	
26. -Income Fund Amer (Ann)	A	Distribution	K	T					
27. -Fund America Growth (Ann)		Distribution	K	T					
28. -Prudential Invt Parts		Distribution	K	T					
29. Qwest Corp preferred (Ann)		None	K	T	Buy	12/23/15	K		
30. First Trust Equity (Ann)	A	Distribution	K	T	Buy	02/10/15	K		
31. -American Mutual Fund (AMRFX) (Roth)	A	Distribution	K	T					
32. -Powershares S&P 500 Fund (Roth)	A	Distribution	K	T					
33. -Lord Abbett Short Duration Income Fund (LALDX) (Roth)	A	Distribution	K	T					
34. -Royce Total Return Fund (RYTRX) (Roth)	A	Distribution	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Vanguard Intermediate Bond Fund (VCIT) (Roth)	A	Distribution	J	T					
36. -Wells Fargo Bond Fund (EQIDX) (Roth)	A	Distribution	J	T					
37. - SPDR Series Trust Fund (RWR) (Roth)	A	Distribution	J	T					
38. - Conocophillips	A	Dividend	J	T					
39. - Baird General Mkt Fund (Roth)	A	Int./Div.	K	T					
40. - Microsoft Corp (Roth)	A	Dividend	J	T					
41. - American Mutual Fund (AMRFX)	A	Distribution	K	T					
42. - Harbor Int'l (Roth)	A	Distribution	J	T					
43. - Powershares S&P 500 Fund	C	Distribution	K	T					
44. - Royce Total Return Fund (RYTRX)	B	Distribution	K	T					
45. Permanent portfolio reinvestment (PRPFX) (Roth)	A	Distribution	J	T					
46. T Rowe Price growth stock fund (Roth)	A	Distribution	K	T					
47. SPDR Series Trust (RWR)	A	Distribution	J	T					
48. - Lord Abnett Short Duration Income Fund (LALDX)	B	Distribution	K	T					
49. - Vanguard Intermediate Term Fnd (VGIT)	A	Distribution	K	T					
50. -Wells Fargo Bond Fund (WDHYX) (Roth)	A	Distribution	J	T					
51. -Wells Fargo Bond Fund (WDHYX)	A	Distribution	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Northwestern Mutual Life Insurance (whole life policy)		None	N	T					
53. Unimproved real estate St. Joseph Cty, IN		None	L	W					
54. Baird Trust Acct: items 55-111									
55. Boulder Growth Fund	A	Dividend	J	T					name change only
56. - Automatic Data Processing (ADP)	B	Dividend	L	T					
57. - Target Corp. common	B	Dividend	K	T					
58. - Kellogg Company	A	Dividend	K	T					
59. -Blackrock Inc.	B	Dividend	L	T					
60. - Express Scripps Holding		None	L	T					
61. - Factset Research Systems	A	Dividend	L	T					
62. - AT&T Inc.	C	Dividend			Sold	05/12/15	L	A	
63. - Illinois Tool Works common	B	Dividend	L	T					
64. -Intel Corp common	A	Dividend	J	T					
65. - Varian Medical		None	L	T					
66. - Johnson & Johnson	B	Dividend	L	T					
67. - Procter & Gamble common	C	Dividend	L	T					
68. Elkhart, IN muni	A	Dividend	K	T	Buy	02/23/15	K		

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69. Locorr Managed Futures Fund		None	K	T	Buy	12/18/15	K		
70. - Fiserv Inc.		None	N	T					
71. - Becton Dickinson & Co.	A	Dividend	L	T					
72. - Ecolab common	A	Dividend	L	T					
73. - Patterson Companies common	C	Dividend	M	T					
74. - Barclays Bank Bond	B	Int./Div.	K	T					
75. - Cognizant Technology		None	L	T					
76. -Ford Motor Co. (F)	A	Dividend	J	T					
77. - Fastenal common	B	Dividend	L	T					
78. - Permanent Portfolio Fund (PRPFEX)	B	Dividend			Sold	12/18/15	K		
79. - Stericycle Inc.		None	K	T					
80. - Bio Techne Corp.	A	Dividend	K	T					
81. - BP PLC common	C	Dividend	L	T					
82. - Valmont Industries	A	Dividend	K	T					
83. - Cisco Systems common	B	Dividend	K	T					
84. - Gilead Sciences common	B	Dividend	M	T					
85. - Harley Davidson common	B	Dividend	L	T					

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86. -Pepsico Inc.	B	Dividend	L	T					
87. Middleby Corp		None	K	T	Buy	11/30/15	K		
88. -Emerson Electric	B	Dividend	K	T					
89. -EQT Corp.	A	Dividend	L	T					
90. -Microsoft Corp	C	Dividend	M	T					
91. -Intuit Inc (INTU)	A	Dividend	K	T					
92. -CDK Global	A	Dividend	J	T					
93. -McCormick & Co.	B	Dividend	L	T					
94. -Wells Fargo Muni Bond (WSBIX)	B	Dividend	M	T					
95. -General Electric	B	Dividend	L	T					
96. -Harris Corp.	B	Dividend	L	T					
97. -Pfizer Inc.	A	Dividend	J	T					
98. -Corning	A	Dividend	K	T					
99. -Limelight Networks		None			Sold	10/27/15	J		
100. -Dupont	B	Dividend	K	T					
101. -Frontier Communications	A	Dividend			Sold	10/27/15	J		
102. -JM Smucker (SJM)	A	Dividend	J	T					

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103. -FT Franklin Fed interim mutual fund	C	Int./Div.	M	T					
104. -Energy Transfers Partners	B	Int./Div.	J	T					
105. Praxair	A	Dividend	K	T	Buy	07/17/15	K		
106. Skyworks Solutions	A	Dividend	K	T	Buy	10/27/15	K		
107. -Intercontinental Exchange (ICE)	A	Dividend	K	T					
108. -Philip Morris (PM)	A	Dividend			Sold	10/27/15	J		
109. -Raytheon Co (RTN)	A	Dividend	K	T					
110. Cash and Alternatives (money market)	A	Distribution	N	T					name change
111. UBS custodial accts:Fidelity Adv. dividend growth (Ann & son)	A	Int./Div.	J	T					
112. 529 Ed. Plan Funds: items 113-118									
113. Alliance College Bound 529 Plan 1: CBF Moderate growth (1996-1998)		None	K	T					
114. Alliance College Bound 529 Plan 2: CBF Moderate growth (1990-1992)		None	K	T					
115. American Balance Fund 529A		None	M	T					
116. Growth Fund of America 529A		None	K	T					
117. College Choice Advisor Plan: 2016 Enrollment		None	K	T	Buy	12/14/15	J		
118. US Charitable Gift Fund		None	K	T					personal charity
119. Diamonds		None	J	W					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 114: Self-directed charitable foundation (value donated to charity reduced corpus in fund)

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Daniel A. Manion**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544