

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BOWEN, DUDLEY H.	2. Court or Organization SOUTHERN DISTRICT OF GEORGIA	3. Date of Report 11/03/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) UNITED STATES SENIOR DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address UNITED STATES COURTHOUSE P.O. BOX 2106 AUGUSTA, GEORGIA 30903		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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Name of Person Reporting

BOWEN, DUDLEY H.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CHARLES SCHWAB IRA #1									
2. General Maritime New F	A	Dividend	J	T					
3. Dynegy Inc	A	Dividend	J	T					
4. Credit Suisse AG	A	Dividend	J	T	Buy	02/17/15	J		
5. Sch Adv Cash Pesrv Prem	A	Dividend			Buy	04/08/15	J		
6. Sch Adv Cash Pesrv Prem	A	Dividend			Sold	10/15/15	J	A	
7. Sch Adv Cash Resrv Prem	D	Dividend			Buy	01/01/15	J		
8. Sch Adv Cash Resv Prem	D	Dividend			Sold	02/07/15	J	A	
9. Sch Adv Cash Resrv Prem	A	Dividend	J	T	Buy	11/30/15	J		
10.									
11. CHARLES SCHWAB (FORMLY BROWNE CO)									
12. Morgan Stanley Var 35 DU	B	Dividend	J	T	Buy	09/23/15	J		
13. Sch Adv Cash Resrv Prem	C	Dividend			Buy	06/09/15	J		
14. Sch Adv Cash Resrv Prem	C	Dividend			Sold	12/08/15	J	A	
15. Sch Adv Cash Resrv Prem	B	Dividend	J	T	Buy	01/02/15	J		
16. ABN AMRO/VERDUS Gwth Fd	A	Dividend	L	T					
17. Exxon Mobil Common Stk	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. DuPont El De Nemour and Co Com	A	Dividend	J	T					
19. Washovia Corp New	A	Dividend	J	T					
20. Charles Schwab Money Market	A	Interest	J	T					
21. Pimco Total Return Fund	B	Dividend			Sold	11/30/15	J	A	
22. ABN AMRO VERDX MCGFX Funds (Alleghany)	D	Dividend	M	T					
23. E I Deupont Drip	A	Dividend	J	T					
24. Ga Bk & Tr Money Mkt Market	B	Interest	M	T					
25. Ga Bk & Tr Savings	A	Interest	J	T					
26. TIAA CREF Mutual Fund	A	Dividend	L	T					
27. CSRA Fed Cr Union	A	Interest	J	T					
28. Real Ppty McCormick County, SC	D	Distribution	J	W					
29. Barclays Mon Mkt Acct	B	Interest	J	T					
30. Atlantic Amer Com		None	J	T					
31. Regions Bank Savings	A	Interest	J	T					
32. CHARLES SCHWAB IRA#2									
33. IDII Stock	A	Dividend	J	T					
34. Merrill Lynch Pfd	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Pimco Invest Grade Corp	D	Dividend			Buy	01/01/15	J		
36. Pimco Invest Grade Corp	D	Dividend			Sold	09/23/15	J	A	
37. Pimco Commoditiesplus ST	C	Dividend			Buy	01/01/15	J		
38. Pimco Commoditiesplus ST	C	Dividend			Sold	07/20/15	J	A	
39. Pimco Real Return Fund C	D	Dividend			Buy	01/01/15	J		
40. Pimco Real Return Fund C	D	Dividend			Sold	07/20/15	J	A	
41. Pimco 0-5 Year High Yld	D	Dividend			Buy	01/01/15	J		
42. Pimco 0-5 Year High Yld	D	Dividend			Sold	07/20/15	J	A	
43. Morgan Stanley Var 35 DU	D	Dividend	J	T	Buy	09/23/15	J		
44. Brookfield Total Return	E	Dividend	K	T	Buy	07/20/15	J		
45.									
46. CHARLES SCHWAB FORMERLY T ROWE PRICE									
47. Pengrowth Energy Corp	A	Dividend	J	T					
48. Blackrock CP High Yield	A	Dividend	J	T					
49. Motorola	A	Dividend	J	T					
50. Lucent	A	Dividend	J	T					
51. The Southern Company	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. The Southern Company	A	Dividend	J	T					
53. New Hampshire DOE	A	Dividend	J	T					
54. New Hampshire DOE	A	Dividend	J	T					
55. New Hampshire HIGHE	A	Interest	J	T					
56. ISTAR FINL Inc	A	Dividend	J	T					
57. Westwood Holdings Group	A	Dividend	J	T					
58. Xerox	A	Dividend	J	T					
59. SG Structured PRO VAR	A	Dividend	K	T					
60. General Motors	A	Dividend	J	T					
61. Schwab Money Market	E	Interest	J	T					
62. TCP Capital Corp	A	Dividend	K	T					
63. TCP Capital Corp	A	Dividend	K	T					
64. Bank of America VAR	B	Dividend	K	T					
65. Gainesville GA	B	Interest	K	T					
66. HD Supply Inc	B	Interest	K	T					
67. HD Supply Inc	A	Interest	K	T					
68. Kansas INDPT COL	A	Interest	K	T					

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69. Morgan Stanley	C	Interest	K	T					
70. Nataxis US VAR	B	Interest	K	T					
71. Powershares ETF	A	Dividend			Sold	02/17/15	J	A	
72. Franklin GA Tax Free	A	Interest	K	T					
73. Maingate MLP FD CL I	A	Interest	K	T					
74. Matthews Asia Dividend	A	Dividend	J	T					
75. Nuveen DIV Advan Muni	A	Dividend	J	T					
76. Nuveen DIV Advan Muni	A	Interest	J	T					
77. Oaktree Capital Group	A	Interest	J	T					
78. PROSHS ULTRASHT ETF	A	Dividend	K	T					
79. Kinder Morgan Inc	A	Distribution	J	T					
80. Northern Borders Partnews nbp	A	Distribution	K	T					
81. Northern Borders Partners nbp	A	Distribution	J	T					
82. Schwab Money Market Fund	A	Dividend			Buy	12/16/15	J		
83. Schwab Money Market Fund	A	Dividend			Sold	12/17/15	J	A	
84. Schwab Money Market Fund	G	Dividend			Buy	01/02/15	M		
85. Schwab Money Market Fund	G	Dividend			Sold	04/01/15	M	A	

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86. General Electric Co	E	Dividend	K	T	Buy	10/05/15	J		
87. Berkshire Hathaway B New	E	Dividend	K	T	Buy	04/17/15	K		
88. Weatherford Int 5.125%	E	Dividend	K	T	Buy	04/15/15	K		
89. Goldman Sachs BDC Inc	E	Dividend	K	T	Buy	03/18/15	K		
90. Credit Suisse AG	E	Dividend	K	T	Buy	02/17/15	K		
91. PR Ind Tourst E	D	Dividend	J	T	Buy	01/13/15	J		
92. Walgreens Boots Allianc	E	Dividend			Buy	01/01/15	K		
93. Walgreens Boots Allianc	E	Dividend			Sold	02/20/15	K	C	
94.									
95. TRUST CHARLES SCHWAB									
96. Willis Group Holdings	A	Dividend	K	T					
97. T Rowe Price Spectrum	A	Dividend	J	T					
98. PR Commwlth Hwy & 5.25	A	Dividend	J	T	Buy	02/18/15	J		
99. Credit Suisse AG 10%	A	Dividend	J	T	Buy	02/17/15	J		
100. Nuveen BAB Term Fund	A	Dividend			Buy	01/01/15	K		
101. Nuveen BAB Term Fund	A	Dividend			Sold	02/17/15	K	A	
102. CHARLES SCHWAB IRA #3 ROLLOVER									

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103. Schwab Adv Cash Reserve	A	Dividend	K	T					
104. General Electric	A	Dividend	K	T					
105. Transocean Inc New	A	Dividend	J	T					
106. Pengrowth Energy Corp	A	Dividend	J	T					
107. Morgan Stanley VAR 35 DU	A	Dividend	J	T	Buy	09/23/15	J		
108. Credit Suisse High Yield	A	Dividend	J	T	Buy	03/20/15	J		
109. Credit Suisse High Yield	A	Dividend	J	T	Buy	03/20/15	J		
110. Credit Suisse High Yield	A	Dividend	J	T	Buy	03/20/15	J		
111. Pengrowth Energy Corp F	A	Dividend	J	T	Buy	03/17/15	J		
112. General Electric Company	A	Dividend	J	T	Buy	02/10/15	J		
113. General Electric Company	A	Dividend	J	T	Buy	02/10/15	J		
114. Nuveen Build America Bon	A	Dividend			Buy	01/01/15	J		
115. Nuveen Build America Bon	A	Dividend			Sold	09/23/15	J	A	
116. Pimco Invest Grade Corp	A	Dividend			Buy	01/01/15	J		
117. Pimco Invest Grade Corp	A	Dividend			Sold	09/23/15	J	A	
118. Pimco Commoditiesplys ST	A	Dividend			Buy	01/01/15	J		
119. Pimco Commoditiesplys ST	A	Dividend			Sold	03/20/15	J	A	

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120.									
121. CHARLES SCHWAB DHB									
122. Charles Schwab & Co	B	Interest	J	T	Buy	01/01/15	J		
123. Charles Schwab & Co	D	Dividend	J	T	Buy	01/01/15	J		
124.									
125. AMERITRADE	A	Interest	J	T					
126. AMERITRADE	A	Dividend	J	T					
127.									
128. BANK OF DUDLEY/DUBLIN GA	A	Interest	J	T	Buy	01/01/15	J		
129.									
130. METLIFE/COMPUTERSHARE	A	Dividend	J	T	Buy	01/01/15	J		
131.									
132.									
133.									
134.									
135.									
136.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

Name of Person Reporting	Date of Report
BOWEN, DUDLEY H.	11/03/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

BOWEN, DUDLEY H.

Date of Report

11/03/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **DUDLEY H. BOWEN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544