

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) HOLLANDER, ELLEN L.	2. Court or Organization UNITED STATES DISTRICT COURT, DISTRICT OF MARYLAND	3. Date of Report 05/09/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address UNITED STATES COURTHOUSE CHAMBERS OF ELLEN LIPTON HOLLANDER 101 WEST LOMBARD STREET BALTIMORE, MD 21201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	BOARD OF TRUSTEES	GOUCHER COLLEGE
2.	BOARD MEMBER	LIBRARY COMPANY OF THE BALTIMORE BAR
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	1989-2012	RETIREMENT SYSTEM FOR JUDGES OF THE STATE OF MARYLAND
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	MARYLAND STATE RETIREMENT AGENCY	\$99,701.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	SELF-EMPLOYED - ADVERTISING/MARKETING
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. WHOLE LIFE INSURANCE POLICY:									
2. NORTHWESTERN MUTUAL POLICY - CASH VALUE	A	Int./Div.	N	T					
3. CASH ACCOUNTS:									
4. M&T BANK ACCOUNT		None	J	T					
5. BANK OF AMERICA ACCOUNT		None	J	T					
6. WELLS FARGO BANK, N.A. - MORTGAGE ESCROW ACCOUNT	A	Int./Div.	J	T					
7. BROKERAGE HOLDINGS:									
8. WELLS FARGO ADVANTAGE TREASURY PLUS MM FUND	A	Int./Div.	J	T					
9. MERRILL LYNCH BANK DEPOSIT	A	Interest	L	T					
10. ADTRAN, INC.	A	Dividend	J	T	Sold (part)	09/20/12	J	A	
11. AMERICAN TOWER CORP	A	Dividend	K	T					
12. ANSYS, INC. - COMMON		None	K	T					
13. APACHE CORP - COMMON	A	Dividend	J	T					
14. AT&T COMMON STOCK	A	Dividend	J	T					
15. BEACON ROOFING SUPPLY, INC. - COMMON		None			Sold	09/28/12	J	C	
16. CELEGENE CORP - COMMON		None	K	T					
17. CISCO SYSTEMS, INC. - COMMON	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. CLEAN HARBORS, INC.		None	J	T	Buy	09/24/12	J		
19. COMCAST - COMMON STOCK		None	J	T					
20. CONCHO RESOURCES, INC.		None	J	T	Buy	08/16/12	J		
21. CONSOLIDATED EDISON - COMMON	A	Dividend	J	T					
22. DEALERTRAK HOLDINGS, INC - COMMON		None	J	T					
23. DENTSPLY INTERNATIONAL, INC. - COMMON	A	Dividend			Sold	04/19/12	J	B	
24. ECOLAB, INC - COMMON	A	Dividend	J	T					
25. EXPEDITORS INTL OF WASH INC - COMMON	A	Dividend	K	T	Buy (add'l)	08/09/12	J		
26. FASTENAL CO - COMMON	B	Dividend	L	T	Sold (part)	01/25/12	J	D	
27.					Sold (part)	02/15/12	J	D	
28.					Sold (part)	02/16/12	J	C	
29.					Sold (part)	02/22/12	K	E	
30.					Sold (part)	10/23/12	J	B	
31. FRONTIER COMMUNICATIONS, INC - COMMON	A	Dividend	J	T					
32. GARDNER DENVER, INC - COMMON	A	Dividend	J	T	Buy (add'l)	01/25/12	J		
33. IDEXX LAB, INC - COMMON		None	K	T	Sold (part)	01/30/12	J	C	
34.					Sold (part)	10/23/12	J	B	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. II-VI, INC. - COMMON		None	J	T					
36. ILLUMINA, INC.		None	J	T	Buy	07/26/12	J		
37. INTEL CORP - COMMON	B	Dividend	K	T	Sold (part)	03/29/12	J	D	
38. IBM - COMMON	C	Dividend	M	T					
39. JACOBS ENGINEERING GROUP - COMMON		None	K	T					
40. J&J - COMMON	A	Dividend	J	T					
41. LKQ CORP - COMMON		None	K	T	Sold (part)	05/17/12	J	A	
42. MARKEL CORP - COMMON		None	J	T					
43. METLIFE POLICYHOLDER - TRUST INTEREST		None	J	T					
44. NATIONAL INFORMATION CONSORTIUM, INC.	A	Dividend	J	T	Buy	04/30/12	J		
45. PAYCHEX, INC. - COMMON	A	Dividend	J	T					
46. POTASH CORP OF SASKATCHEWAN - COMMON	A	Dividend	J	T					
47. QUALCOMM, INC - COMMON	A	Dividend	K	T	Sold (part)	03/29/12	J	D	
48.					Sold (part)	10/23/12	J	B	
49. QUANTA SERVICES, INC - COMMON		None			Sold	01/20/12	J	A	
50. RESMED, INC. - COMMON	A	Dividend	K	T	Sold (part)	08/09/12	J	B	
51.					Sold (part)	10/23/12	J	B	

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52. ROPER INDUSTRIES - COMMON	A	Dividend	J	T	Sold (part)	12/14/12	J	B	
53.					Sold (part)	03/29/12	J	D	
54.					Sold (part)	12/14/12	J	B	
55. SCHLUMBERGER, LTD - COMMON	A	Dividend	K	T	Sold (part)	09/20/12	J	A	
56.					Sold (part)	10/23/12	J	A	
57. SEI INVESTMENTS CO - COMMON	A	Dividend	J	T	Buy	02/28/12	J		
58.					Buy (add'l)	03/05/12	J		
59.					Buy (add'l)	08/28/12	J		
60.					Buy (add'l)	10/22/12	J		
61. SOUTHWESTERN ENERGY CO - COMMON		None	J	T	Buy	12/11/12	J		
62. STERICYCLE - COMMON		None	J	T					
63. TR PRICE GROUP - COMMON	A	Dividend	K	T	Sold (part)	10/23/12	J	B	
64. TECHNE CORP - COMMON	A	Dividend			Sold (part)	05/10/12	J	B	
65.					Sold	05/11/12	J	B	
66. TEVA PHARMACEUTICALS - ORD SHARES	A	Dividend	K	T					
67. THREE D SYSTEMS NEW - COMMON		None	K	T					
68. TRIMBLE NAVIGATION - COMMON		None	K	T					

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69. ULTRA PETROLEUM - COMMON		None			Buy (add'l)	02/03/12	J		
70.					Sold	08/16/12	J	A	
71. UNIT CORP - COMMON		None			Sold	08/07/12	J	A	
72. VERIZON COMMUNICATIONS - COMMON	A	Dividend	J	T					
73. VISA, INC - COMMON	A	Dividend	J	T					
74. AMERICAN FUNDS - AMERICAN BALANCED F-I	A	Dividend	J	T					
75. AMERICAN FUNDS - GROWTH FUND OF AMERICA F-I	A	Dividend	J	T					
76. ARTISAN SM CAP VALUE INVESTOR FUND	A	Dividend	J	T					
77. BLACKROCK GLOBAL ALLOCATION INSTL FUND	A	Dividend	J	T					
78. DAVIS NY VENTURE FUND - CLASS A	A	Dividend	J	T					
79. FIRST EAGLE GLOBAL FUND, CLASS A	A	Dividend	J	T					
80. HARTFORD MUTUAL FUNDS - HARTFORD MIDCAP I	A	Dividend	J	T					
81. IVY FUNDS - IVY ASSET STRATEGY FUND CLASS I	A	Dividend	J	T					
82. JP MORGAN SMALL CAP EQUITY FUND	A	Dividend	J	T					
83. PERKINS MID CAP VALUE FUND I	A	Dividend	J	T					
84. PIONEER CULLEN VALUE	A	Dividend	J	T					
85. T. ROWE PRICE MD TAX FREE BOND FUND	A	Int./Div.	J	T					

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86. POLYPORE INTL, INC - COMMON		None			Buy	04/09/12	J		
87.					Sold	09/18/12	J	A	
88. ISRAELI BONDS	A	Interest	J	T					
89. IRA ACCOUNT #1:									
90. AMERICAN BALANCE FUND	A	Dividend	J	T					
91. ARTISAN FUNDS SM CAP VALUE FUND	A	Dividend	J	T					
92. BLACKROCK GLOBAL ALLOC FD	A	Dividend	J	T					
93. AMERICAN FUNDS CAPITAL WORLD G&I FD	A	Dividend	J	T					
94. FIRST EAGLE GLOBAL FUND I	A	Dividend	J	T					
95. AMERICAN FUNDS GROWTH FUND OF AMERICA	A	Dividend	J	T					
96. AMERICAN FUNDS GROWTH FUND OF AMERICA CL F1	A	Dividend	J	T					
97. HARTFORD MUTUAL FUNDS - HARTFORD MID-CAP FUND	A	Dividend	J	T					
98. ING GLOBAL REAL ESTATE FUND	A	Dividend	J	T					
99. IVY FUNDS INC - ASSET STRATEGY FUND	A	Dividend	J	T					
100. JANUS INVESTMENT FUND - PERKINS MID CAP VALUE FD	A	Dividend	J	T					
101. DAVIS NY VENTURE FUND INC CL Y	A	Dividend	J	T					
102. PIMCO FDS PAC INVT MGMT SVCS TOTAL RETURN FD A	A	Dividend	J	T					

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103. JP MORGAN SMALL CAP EQUITY FUND	A	Dividend	J	T					
104. PIONEER SER TR III FUNDAMENTAL VALUE FUND CL Y	A	Dividend	J	T					
105. (FORMERLY KNOWN AS PIONEER SER TR III CULLEN VALUE FUND)									
106. INVESCO GLOBAL CORE EQUITY FD A	A	Dividend	J	T					
107. AIM SECTOR FDS INVESCO VAN KAMPEN COMSTOCK FD CL A	A	Dividend	J	T					
108. IRA ACCOUNT #2:									
109. ARTISAN FUNDS, INC - ARTISAN SMALL CAP VALUE INVESTOR FD	A	Dividend	J	T	Sold (part)	03/30/12	J	A	
110.					Sold (part)	08/29/12	J	A	
111. BLACKROCK GLOBAL ALLOCATION FUND	A	Dividend	J	T	Sold (part)	03/30/12	J	A	
112.					Sold (part)	06/05/12	J	A	
113.					Sold (part)	08/29/12	J	A	
114.					Sold (part)	10/12/12	J	A	
115. FIRST EAGLE FDS, INC - GLOBAL FUND CL I	A	Dividend	J	T	Sold (part)	01/12/12	J	A	
116.					Sold (part)	03/30/12	J	A	
117.					Sold (part)	06/05/12	J	A	
118.					Sold (part)	08/29/12	J	A	
119. AMERICAN FUNDS - GROWTH FUND OF AMERICA F1	A	Dividend	J	T	Sold (part)	03/30/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

HOLLANDER, ELLEN L.

Date of Report

05/09/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold (part)	06/05/12	J	A	
121.					Sold (part)	08/29/12	J	A	
122.					Sold (part)	10/12/12	J	A	
123. HARTFORD MUTUAL FDS INC - MIDCAP FUND	A	Dividend	J	T	Sold (part)	03/30/12	J	A	
124.					Sold (part)	06/05/12	J	A	
125.					Sold (part)	08/29/12	J	A	
126. ING GLOBAL REAL ESTATE FUND	A	Dividend	J	T	Sold (part)	03/30/12	J	A	
127.					Sold (part)	06/05/12	J	A	
128.					Sold (part)	07/13/12	J	A	
129.					Sold (part)	08/29/12	J	A	
130. IVY FUNDS INC - ASSET STRATEGY FUND	A	Dividend	J	T	Sold (part)	03/30/12	J	A	
131.					Sold (part)	04/13/12	J	A	
132.					Sold (part)	06/05/12	J	A	
133.					Sold (part)	08/29/12	J	A	
134. JANUS INVT FUND - PERKINS MID CAP VALUE FUND	A	Dividend	J	T	Sold (part)	03/30/12	J	A	
135.					Sold (part)	06/05/12	J	A	
136.					Sold (part)	08/29/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

HOLLANDER, ELLEN L.

Date of Report

05/09/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. DAVIS NY VENTURE FUND INC - CL Y	A	Dividend	J	T	Sold (part)	03/30/12	J	A	
138.					Sold (part)	06/05/12	J	A	
139.					Sold (part)	08/29/12	J	A	
140.					Sold (part)	10/12/12	J	A	
141. PIMCO FDS PAC INVT MGMT SERV - TOTAL RETURN FUND	A	Dividend	J	T	Sold (part)	03/30/12	J	A	
142.					Sold (part)	04/13/12	J	A	
143.					Sold (part)	06/05/12	J	A	
144.					Sold (part)	08/29/12	J	A	
145. JP MORGAN SMALL CAP EQUITY FUND	A	Dividend	J	T	Sold (part)	03/30/12	J	A	
146.					Sold (part)	06/05/12	J	A	
147.					Sold (part)	08/29/12	J	A	
148. PIONEER SER TR III - FUNDAMENTAL VALUE FD CL Y (formerly	A	Dividend	J	T	Sold (part)	03/30/12	J	A	
149. known as Pioneer Ser Tr III - Cullen Value Fd)					Sold (part)	06/05/12	J	A	
150.					Sold (part)	07/13/12	J	A	
151.					Sold (part)	08/29/12	J	A	
152.					Sold (part)	10/12/12	J	A	
153. 401(k) PLAN:									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

HOLLANDER, ELLEN L.

Date of Report

05/09/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. AMERICAN FUNDS EURO PACIFIC GROWTH FD R6		None	K	T					
155. AMERICAN FUNDS GROWTH FUND OF AMERICA R6		None	L	T					
156. FIDELITY PURITAN FUND	A	Dividend	K	T					
157. GOLDMAN SACHS LARGE CAP VALUE FUND INST	A	Dividend	K	T					
158. PIMCO TOTAL RETURN INST		None	K	T					
159. T. ROWE PRICE SMALL CAP STOCK FUND	A	Dividend	K	T					
160. VANGUARD INSTL INDEX FD		None	L	T					
161. VANGUARD MID CAP INDEX INSTL FD		None	K	T					
162.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

HOLLANDER, ELLEN L.

Date of Report

05/09/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII - INVESTMENTS AND TRUSTS:

LINE 50 - RESMED, INC - COMMON

DURING THE 2011 REPORTING PERIOD, THERE WAS A CLERICAL ERROR IN REPORTING THE VALUE OF THIS SECURITY . (SEE 2011 REPORT LINE #47).

THE SECURITY WAS LISTED WITH A PARENTHETICAL (Y), INDICATING THAT THE ENTIRE POSITION IN THIS SECURITY HAD BEEN SOLD DURING THE GAP PERIOD.

HOWEVER, THE CORRECT RESPONSE SHOULD HAVE BEEN THAT THIS WAS A CURRENTLY HELD SECURITY WITH A VALUE CODE OF "J" (\$15,000 OR LESS), VALUATION METHOD OF "I" (CASH/MARKET)

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Name of Person Reporting

HOLLANDER, ELLEN L.

Date of Report

05/09/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ ELLEN L. HOLLANDER

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544