

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Catliota, Thomas J.	2. Court or Organization U.S. Bankruptcy Court - MD	3. Date of Report 08/13/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. Courthouse 6500 Cherrywood Lane Greenbelt, MS 20770		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Guardian	American Funds
2.	Member	LPL, LLC
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2011

Meadowbrook Foundation, Inc.- Salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED1. American Conference
Institute

07/27/11

Chicago, IL

Seminar

Transportation, Meals, Lodging

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Conroy Development Corp. (); See note 1.	E	Distribution	P1	W					
2. LPL,LLC		None	O	W					
3. Seligmann Communications Fund - A	A	Dividend			Sold	07/21/11	K	C	
4. American Funds- Guardian:									
5. -Capital World Growth Fund	A	Dividend	J	T					
6. -EuroPacific Growth Fund	A	Dividend	J	T					
7. -Fundamental Investors	A	Dividend	J	T					
8. -Growth Fund of Ameica	A	Dividend	J	T					
9. -Investment Company of America	A	Dividend	J	T					
10. -New Economy Fund	A	Dividend	J	T					
11. -New Perspective Fund	A	Dividend	J	T					
12. -SMALLCAP World Fund	A	Dividend	J	T					
13. -Bond Fund of America	A	Dividend	J	T					
14. Sun Trust Bank	A	Interest	J	T					
15. Self Directed IRA:									
16. -Growth Fund of America	B	Int./Div.	M	T	Sold (part)	06/15/11	L	A	
17.					Sold (part)	06/15/11	L	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Sold	06/15/11	L	A	
19.					Buy	10/27/11	M		
20. -Fundamental Investors	C	Int./Div.	M	T	Sold	06/15/11	N	A	
21.					Buy	10/27/11	M		
22. -AMCAP Fund-A			K	T	Buy	10/27/11	K		
23. -New Economy Fund	A	Dividend	K	T	Buy	10/27/11	K		
24.					Buy (add'l)	10/27/11	J		
25. -Investment Company of America	A	Dividend	M	T	Buy	10/27/11	L		
26.					Buy (add'l)	10/27/11	K		
27. -Washington Mutual Investors - A	A	Int./Div.	M	T	Buy	10/27/11	M		
28. -American High Income Trust	C	Int./Div.			Buy	06/15/11	L		
29.					Sold	10/27/11	L	A	
30. -Bond Fund of America	C	Interest			Buy	06/15/11	N		
31.					Buy (add'l)	06/15/11	L		
32.					Sold (part)	10/27/11	M	A	
33.					Sold (part)	10/27/11	M	A	
34.					Sold	10/27/11	K	A	

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35. -Intermediate Bond Fund of America	C	Interest			Buy (add'l)	06/15/11	L		
36.					Sold (part)	10/27/11	M	A	
37.					Sold (part)	10/27/11	K	A	
38.					Sold (part)	10/27/11	K	A	
39.					Sold	10/27/11	J	A	
40. -Franklin Real Estate - A	B	Dividend			Sold	06/16/11	K	A	
41. -Franklin Global Real Estate-A	A	Dividend			Sold	06/16/11	K	A	
42. -Franklin Mutual Shares A	A	Dividend			Sold	06/16/11	K	A	
43. -Franklin High Income-A	B	Int./Div.	K	T	Buy	06/16/11	K		
44. -Franklin Low Dur Tot Return	A	Int./Div.	K	T	Buy	06/16/11	K		
45. -Franklin Float Daily Access	B	Int./Div.	K	T	Buy	06/16/11	K		
46. Chevy Chase Bank Certificate of deposit	A	Int./Div.	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note 1.The 2011 income for Conroy Development is estimated, as the K-1 forms are not yet available.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Thomas J. Catliota**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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