

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dein, Judith G.	2. Court or Organization U.S. District Court, MA	3. Date of Report 05/5/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge-full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address United States District Court One Courthouse Way Boston, Massachusetts 02210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Fellow	Massachusetts Bar Foundation
2.	Trustee	Union College, Schenectady, NY
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Goulston & Storrs - lawyer
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Agilent Technologies Inc. stock		None	J	T					
2. Blackrock Global Allocation A	C	Dividend	J	T					
3. Columbia Short Term Bond Fund	B	Dividend			Sold	04/16/13	M	A	
4. SBC Communications Inc.	A	Dividend	J	T					
5. Bank of America account	B	Interest	M	T					
6. Bank of America account	A	Interest	L	T					
7. Vodafone Airtouch PLC	A	Dividend	J	T					
8. ML S&P 500 MITTS	A	Interest			Closed	01/01/13	J		See comment 1
9. Baron Growth Fund	D	Int./Div.	M	T					
10. TRowe Mid Cap Fund	C	Int./Div.	M	T					
11. MainStay S&P 500 Index Fund (Class 1) (now Vanguard)	B	Int./Div.	M	T					
12. Israeli Bonds	A	Dividend	J	T					
13. Columbia Marsico Focused CI C	A	Interest			Sold	08/23/12	L		See comment 2
14. Main Stay MAP Fund CI 1	A	Int./Div.	M	T					
15. AT&T stock	A	Dividend	J	T					
16. Mass. ST CONS LN	B	Interest	L	T					
17. Wells Fargo&Co Ser. J 8% perpetual	B	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,001 - \$50,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Blackrock Global Allocation FD Inc A	D	Dividend	M	T					
19. Loomis Sayles Invest Gr Bond Fund	C	Dividend	L	T					
20. BANA INDU SV 8473.49 MPCD DJIA	D	Int./Div.	K	T					
21. Needham Bank Acct & CD	A	Interest	L	T					
22. FIA Card Services NA RASP	A	Interest	J	T					
23. Consumer Discretionary Mut. Fund	A	Interest			Sold	04/16/13	K		
24. Health Care Select SPDR Mut. Fund	A	Interest			Sold	04/16/13	K		
25. ISHARES IBOXX \$ Invest. Grade Corp. Bond Fund	A	Interest	K	T					
26. ISHARES MBS BON Fund	A	Interest	K	T					
27. Sector SPDR Consumers STPL	A	Interest			Sold	04/16/13	K		
28. Sector SPDR Energy	A	Interest			Sold	04/16/13	J		
29. Sector SPDR Industrial	A	Interest	K	T					
30. SPDR Barclay Capital International	A	Interest	K	T					
31. Vanguard Information	A	Interest	K	T					
32. Vanguard Short Term Bond	A	Interest			Sold	04/16/13	L		
33. Phoenix Concierge Acct	A	Interest	K	T					
34. Capital Income Builder Fund Class C-Am. Funds M/F	A	Interest	K	T					

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2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

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35. First Eagle Global Fund Class A M/F	A	Dividend	L	T					
36. Franklin Income Fund Class C M/F	A	Dividend			Sold	03/15/13	J		
37. IVA Worldwide Fund Class C M/F	A	Int./Div.	J	T					
38. Income Fund of Am. Class C-Am. Funds M/F	A	Interest	K	T					
39. Neuberger Berman Equity Inc. Fund Class C M/F	A	Interest	K	T					
40. Nuveen Limited Term Mun Bond Fund Class C M/F	A	Interest	K	T					
41. PIMCO All Asset Fund Class C M/F	A	Interest			Sold	09/18/13	J		
42. Thornburg Ltd Term Mun. Fund Class C M/F	A	Interest	L	T					
43. Western Asset Managed Municipals Fund Class I (X)	A	Dividend	L	T					
44. Vanguard Dividend Appreciation ETF	A	Dividend	K	T	Buy (add'l)	05/21/13	J		
45. Vanguard REIT ETF	A	Dividend	K	T	Buy (add'l)	05/21/13	J		
46. Vanguard Russell 1000 Value ETF	B	Dividend	M	T	Buy (add'l)	05/21/13	J		See comment 3
47. Vanguard Russell 1000 Value ETF	A	Dividend	L	T					
48. Blackrock Equity Dividend Fund	A	Dividend	K	T					
49. Blackrock Equity Dividend Fund	A	Dividend	K	T					
50. First Eagle Global Fund	B	Dividend	L	T					
51. Loomis Sayles Strategic Income Fund	B	Dividend	K	T					

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52. Loomis Sayles Strategic Income Fund	B	Dividend	K	T					
53. Mainstay High Yield Corp. Bond fund	B	Dividend	K	T					
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55. MFS Emerging Markets Debt Fund	B	Dividend	K	T					
56. MFS Emerging Markets Debt Fund	A	Dividend	J	T					
57. Nuveen Diversified Commodity Fund	B	Dividend	K	T	Buy (add'l)	05/21/13	K		
58. Nuveen Energy MLP Total Return	B	Dividend	K	T					
59. PIMCO Real Return Fund	A	Dividend	J	T					See comment 4.
60. PIMCO Real Return Fund	A	Dividend			Sold	04/16/13	J		
61. PIMCO Total Return Fund	A	Dividend	K	T					
62. PIMCO Total Return Fund	A	Dividend	K	T					
63. TCW Total Return Bond Fund	A	Dividend	K	T					
64. TCW Total Return Bond Fund	A	Dividend	K	T					
65. Templeton Global Bond Fund	A	Dividend	K	T					
66.					Buy (add'l)	10/09/13	J		
67.					Buy (add'l)	11/07/13	J		
68. Templeton Global Bond Fund	A	Dividend	K	T					

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69. Royce Pennsylvania Mutual Fund	B	Dividend	K	T	Buy (add'l)	04/16/13	J		
70. Franklin Growth Fund	A	Dividend	L	T					
71. Franklin Growth Fund	C	Dividend	L	T					
72. Vanguard Russel 1000 Growth ETF	A	Dividend	L	T					
73. Calamos Growth & Income Fund Class C M/F	A	Interest			Sold	03/15/13	K		
74. Clearbridge Equity Income Fund Class C M/F	A	Interest	K	T	Buy (add'l)	03/15/13	J		
75. Thornburg Investment Income Builder Fund Class C M/F	B	Interest	K	T					
76. Thornburg Limited Term Municipal Fund Class A M/F	A	Interest	K	T					
77. Capital Income Builder Fund Class F1-American Funds N/L	A	Interest	J	T					
78. UBS Bank USA Dep. Acct (various)	A	Interest	N	T					
79. ISHARES High Divid ETF(x)	B	Dividend	K	T	Buy	04/16/13	K		
80. Western Asset Managed Municipals Fund Class A(x)	A	Dividend	L	T	Buy	04/16/13	L		
81. Principal FDS Inc Global Divers. Income Fund Class P(x)	B	Dividend	L	T	Buy	04/16/13	K		
82.					Buy (add'l)	05/21/13	K		
83. Vanguard Russell 1000 Growth ETF(x)	A	Dividend	K	T	Buy	04/16/13	K		
84. Pioneer Strategic Income Fund Class A(x)	B	Dividend	L	T	Buy	04/16/13	L		
85. ING Lg Cap Value Fund(x)	A	Dividend	J	T	Buy	03/15/13	J		

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86. PIMCO Unrestrained Bond Fund(x)	A	Dividend	J	T	Buy	09/18/13	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. This small account was closed in 2012 when all of the Merrill Lynch accounts were moved to UBS
2. This should have been listed as sold on 08/23/12 in last year's report along with the rest of the Columbia Marcisco assets.
3. If the same asset is listed twice, it is because it is held in two different accounts.
4. PIMCO was sold in one account in full, and not sold in another account.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Judith G. Dein

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544