

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Horn, Marian B.	2. Court or Organization United States Court of Federal Claims	3. Date of Report 04/29/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 717 Madison Place NW Washington, DC 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Professor of Law	George Washington University Law School
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Horn, Marian B.

Date of Report

04/29/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	GW Univ. Law School - Teaching	\$22,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	DTE Energy Co. - Pension
2. 2013	Patton Boggs LLP - Salary
3. 2013	Jackson Lewis P.C. - Salary
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Horn, Marian B.

Date of Report

04/29/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Visa and Master Card	Credit Card Loans	K
2.	Wells Fargo	Partnership Loan	K
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Horn, Marian B.

Date of Report

04/29/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Morgan Stanley Smith Barney Wash DC IRA Account	C	Int./Div.	O	T					
2. -Citibank NA So. Dakota Bank Deposit Program	A	Interest	J	T					
3. -Abbott	A	Dividend	K	T					
4. -Abbvie Inc. (X)	A	Dividend	K	T	Spinoff (from line 3)	01/02/13	J		
5. -Alcoa	A	Dividend	J	T					
6. -Berkshire Hathaway Inc. CL- B New		None	L	T					
7. -Centurylink (Y)									
8. -Cisco Sys	A	Dividend	K	T					
9. -Cohen & Steers Quality Income and Realty Fund	A	Dividend	J	T					
10. -Ebay		None	L	T					
11. -Google		None	M	T					
12. -Hospira		None	J	T					
13. -IBM	A	Dividend	K	T					
14. -Interdigital	A	Dividend	L	T					
15. -JP Morgan Chase	A	Dividend	J	T					
16. -Location Base Tech (Y)									
17. -Merck	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

Horn, Marian B.

Date of Report

04/29/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Natural Solutions (Y)									
19. -Pfizer	A	Dividend	J	T					
20. -PSA Inc New (Y)									
21. -SPDR Gold Tr.		None	J	T					
22. -Wells Fargo	A	Dividend	J	T					
23. Morgan Stanley Smith Barney Wash DC PM IRA Account	D	Dividend	N	T					
24. -Citibank NA So. Dakota Bank Deposit Program	A	Interest	J	T					
25. -BlackRock Equity Div	A	Dividend	K	T	Buy	01/03/13	K		
26.					Sold (part)	05/13/13	J	A	
27.					Buy	10/21/13	J		
28. -Fidelity Adv Biotechnology	A	Dividend	J	T	Buy	5/13/13	J		
29.					Sold	10/08/13	J	A	
30.					Buy	10/24/13	J		
31. -Guggenheim Mlti Hedge Strat		None	J	T	Buy	01/03/13	J		
32. -ING Global Real Estate	A	Dividend	J	T	Buy	01/03/13	J		
33.					Buy	05/13/13	J		
34. -Investco Balanced Risk	B	Dividend	K	T	Buy	01/03/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

Horn, Marian B.

Date of Report

04/29/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Buy	10/21/13	J		
36. -Investco Developing Mkts	A	Dividend	J	T	Buy	01/03/13	J		
37. -Ivy Asset Strategy	A	Dividend	J	T	Buy	01/03/13	J		
38. -Ivy Mid-Cap Growth	A	Dividend	J	T	Buy	01/03/13	J		
39. -Ivy Science and Tech	A	Dividend	K	T	Buy	05/13/13	K		
40.					Sold (part)	10/21/13	J	A	
41. -Loomis Sayles Bond Inst	A	Dividend	J	T	Buy	01/03/13	K		
42.					Buy	03/26/13	J		
43.					Sold (part)	05/13/13	J	A	
44. -Loomis Sayles Strat Alpha	A	Dividend	J	T	Buy	05/13/13	J		
45.					Buy	10/21/13	J		
46. -MFS Value	A	Dividend	K	T	Buy	01/03/13	K		
47.					Sold (part)	05/13/13	J	A	
48. -Oppenheimer Intl Growth	A	Dividend	K	T	Buy	01/03/13	J		
49. -PIMCO All Asset	A	Dividend	J	T	Buy	01/03/13	J		
50. -Royce Total Return	A	Dividend	J	T	Buy	01/03/13	J		
51. -Templeton Glb Tot Rtn	A	Dividend	K	T	Buy	01/03/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

Horn, Marian B.

Date of Report

04/29/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Sold (part)	05/13/13	J	A	
53.					Buy	10/21/13	J		
54. -Thornburg Intl Value	A	Dividend	K	T	Buy	01/03/13	J		
55.					Buy	10/21/13	J		
56. -Touchtone Sands Cap Sel	A	Dividend	K	T	Buy	01/03/13	K		
57.					Sold (part)	05/13/13	J	A	
58.					Sold (part)	10/21/13	J	A	
59. 2nd Smith Barney IRA Account	B	Dividend	K	T					
60. -Citibank NA So. Dakota Bank Deposit Program	A	Interest	J	T					
61. -Blackrock Global Energy and Resources	B	Dividend	J	T					
62. -DTE Energy	A	Dividend	J	T					
63. - Ebay		None	K	T					
64. -Templeton Emerg Markets Fund	A	Dividend	J	T					
65. (H) Morgan Stanley Smith Barney Brokerage Account	D	Int./Div.	M	T					
66. -Citibank NA So. Dakota Bank Deposit Program	A	Interest	L	T					
67. -CBS Corp Cl B	A	Dividend	K	T					
68. -CEF Invesco Value (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

Horn, Marian B.

Date of Report

04/29/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. -Franklin Insured TX FR	B	Dividend			Sold	06/10/13	L		
70. -Invesco Value Municipal Income	A	Dividend			Sold	06/10/13	K		
71. -Invesco VK High Yield muni A	A	Dividend			Sold	06/10/13	K		
72. -Mirant Corp Esc (Y)									
73. -Morgan Stanley Cap Trust (MSZ)	A	Dividend			Sold	06/10/13	J		
74. -Norfolk Southern Corp (X)	B	Dividend	K	T	Sold (part)	12/24/13	K	D	
75. -Nuveen Municipal Value FD	B	Interest			Sold	06/10/13	L		
76. -Phillips 66 Com	A	Dividend	J	T					
77. -Southern Co	A	Dividend			Sold	04/11/13	K		
78. -SPDR Gold Tr Gold SHS		None			Sold	12/24/13	J		
79. -Unit IMIT Municipal Insured Long Term Series XXXX MPS	A	Interest			Sold	06/10/13	J	A	
80. -Unit IMIT Municipal Insured Long Term Series XXXX MPS	A	Interest			Redeemed	01/25/13	J		
81.					Sold	06/10/13	J	A	
82.					Redeemed	06/25/13	J		
83. -Unit IMIT Municipal Insured Long Term Series XXXX MPS	A	Interest			Redeemed	01/25/13	J		
84.					Sold (part)	04/11/13	J		
85.					Sold (part)	06/10/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

Horn, Marian B.

Date of Report

04/29/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. -Verizon Communications	A	Dividend	J	T					
87. -Viacom Inc New Class B	A	Dividend	K	T					
88. -WGL Hlds INC	A	Dividend	J	T					
89. (H) Estate Account [REDACTED]									
90. -Conoco Phillips	A	Dividend	J	T	Sold (part)	03/21/13	J	A	
91. -Group Cap 1 (X part)	A	Dividend	J	T					
92. -Unit IMIT Municipal Insured Long Term Series [REDACTED] MPS	A	Interest			Redeemed	01/08/13	J		
93. Stamp Collection		None	K	T					
94. Residential Property, Washington, DC	D	Rent	N	W					
95. Residential Property, Washington, DC (X)		None	N	W					
96. Patton Boggs 401k	C	Int./Div.	M	T					
97. -Berkshire Hathaway Inc Del CLB	A	Dividend	M	T					
98. -M&I Stable PPL fund	A	Interest	J	T					
99. -Marshall Prime Money Market CLI	A	Interest	K	T					
100. (H) Patton Boggs Defined Benefits Plan (X)	D	Interest	M	T					
101. The Carbon Project		None	K	T					
102.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

Horn, Marian B.

Date of Report

04/29/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Information about Patton Boggs Defined Benefits Plan was omitted from last year's report because we never received any documentation of this account.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Horn, Marian B.

Date of Report

04/29/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Marian B. Horn**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544