

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BERZON, MARSHA S.	2. Court or Organization U.S. CA NINTH CIRCUIT	3. Date of Report 08/13/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 95 SEVENTH STREET P.O. BOX 1939393 SAN FRANCISCO, CA 94119-3939		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 15

Name of Person Reporting

BERZON, MARSHA S.

Date of Report

08/13/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	UC HASTINGS COLLEGE OF THE LAW - TEACHING	\$4,166.70
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	SELF-EMPLOYED ATTORNEY
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	AMERICAN BAR ASSOCIATION	03/02/17-03/05/17	RANCHO MIRAGE, CA	EDUCATIONAL ACTIVITIES	TRAVEL, LODGING, MEALS
2.	UNIVERSITY OF SOUTHERN CALIFORNIA (USC)	03/10/17-03/10/17	LOS ANGELES, CA	EDUCATIONAL ACTIVITIES	TRAVEL, LODGING, MEALS
3.	ARIZONA STATE UNIVERSITY	03/22/17-03/23/17	TEMPE, AZ	EDUCATIONAL ACTIVITIES	TRAVEL, LODGING, MEALS
4.	UNIVERSITY OF TEXAS	09/14/17-09/15/17	AUSTIN, TX	EDUCATIONAL ACTIVITIES	TRAVEL, LODGING, MEALS
5.					

FINANCIAL DISCLOSURE REPORT

Page 3 of 15

Name of Person Reporting	Date of Report
BERZON, MARSHA S.	08/13/2018

6. _____

FINANCIAL DISCLOSURE REPORT

Page 4 of 15

Name of Person Reporting

BERZON, MARSHA S.

Date of Report

08/13/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 5 of 15

Name of Person Reporting

BERZON, MARSHA S.

Date of Report

08/13/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. BANK OF AMERICA ACCOUNTS	A	Interest	K	T					
2. STERLING BANK & TRUST ACCOUNTS	C	Interest	N	T					
3. UNION BANK ACCOUNTS	A	Interest	L	T					
4. AMERICAN INTL GROUP - COMMON STOCK	A	Dividend			Sold	04/21/17	K	C	
5. CR BARD INCORPORATE - COMMON STOCK	A	Dividend			Sold	12/29/17	K	E	
6. BERKSHIRE HATHWAY - COMMON STOCK		None	K	T					
7. CORNING INC - COMMON STOCK	C	Dividend	M	T					
8. FISERV INC - COMMON STOCK		None	K	T					
9. PEPSICO INC - COMMON STOCK	B	Dividend	K	T					
10. UNITED TECHNOLOGIES - COMMON STOCK	A	Dividend	K	T					
11. FLOWSERVE CORP	A	Dividend			Sold	02/23/17	K		
12. MONDELEZ INTL	A	Dividend	K	T					
13. QUALCOMM INC	A	Dividend	K	T					
14. TIME WARNER INC	A	Dividend	K	T					
15. WW GRAINGER INC	A	Dividend			Sold	04/21/17	K		
16. ALLERGAN PLC	A	Dividend	J	T					
17. OWENS ILLINOIS INC		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 15

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. COLFAX CORPORATION		None	K	T					
19. STERICYCLE INC		None	K	T					
20. COGNIZANT TECH SOLU	A	Dividend	K	T					
21. MEDTRONIC PLC	A	Dividend	K	T	Buy	02/24/17	K		
22. NOVARTIS AG	A	Dividend	K	T	Buy	02/23/17	K		
23. EXPEDIA INC	A	Dividend	K	T	Buy	04/21/17	K		
24. PRICELINE GROUP		None	K	T	Buy	04/21/17	K		
25. RETIREMENT ACCOUNT (H) *1									
26. -OPPENHEIMER INTERNATIONAL BOND FUND (I)	C	Dividend	L	T	Buy (add'l)	03/13/17	J		
27.					Sold (part)	10/26/17	J		
28.					Buy (add'l)	05/12/17	J		
29.					Buy (add'l)	11/10/17	J		
30.					Sold (part)	12/01/17	J	A	
31. -DFA U.S. SMALL CAP VALUE PORT	B	Dividend	K	T	Buy (add'l)	03/13/17	J		
32.					Sold (part)	10/26/17	J	A	
33.					Sold (part)	12/01/17	J	A	
34.					Sold (part)	05/12/17	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 15

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35.					Sold (part)	11/10/17	J	A	
36. -VANGUARD EQUITY INCOME FUND (ADM)	B	Dividend	L	T	Buy (add'l)	03/13/17	J		
37.					Sold (part)	10/26/17	J	A	
38.					Sold (part)	12/01/17	J	A	
39.					Sold (part)	05/12/17	J	A	
40.					Sold (part)	11/10/17	J	A	
41. -VANGUARD REIT INDEX FUND (ADM)	B	Dividend	K	T	Buy (add'l)	03/13/17	J		
42.					Sold (part)	10/26/17	J	A	
43.					Sold (part)	12/01/17	J	A	
44.					Sold (part)	05/12/17	J	A	
45.					Sold (part)	11/10/17	J	A	
46. -VANGUARD SMALL-CAP GROWTH INDEX FUND (ADM)	A	Dividend	K	T	Buy (add'l)	03/13/17	J		
47.					Sold (part)	10/26/17	J	A	
48.					Sold (part)	12/01/17	J	A	
49.					Sold (part)	05/12/17	J	A	
50.					Sold (part)	11/10/17	J	A	
51. -VANGUARD 500 INDEX FUND (ADM)	C	Dividend	M	T	Buy (add'l)	03/13/17	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 8 of 15

Name of Person Reporting

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52.					Sold (part)	10/26/17	J	B	
53.					Sold (part)	12/01/17	J	B	
54.					Sold (part)	05/12/17	J	B	
55.					Sold (part)	11/10/17	J	B	
56. -VANGUARD TOTAL INTL. STOCK INDEX FUND (ADM)	C	Dividend	M	T	Buy (add'l)	03/13/17	J		
57.					Sold (part)	10/26/17	J	A	
58.					Sold (part)	12/01/17	J	A	
59.					Sold (part)	05/12/17	J	A	
60.					Sold (part)	11/10/17	J	A	
61. -VANGUARD INTERMED.-TERM BOND INDEX FUND (ADM)	D	Dividend	N	T	Buy (add'l)	03/13/17	J		
62.					Sold (part)	10/26/17	J		
63.					Buy (add'l)	05/12/17	J		
64.					Buy (add'l)	11/10/17	J		
65.					Sold (part)	12/01/17	J		
66. -VANGUARD SHORT-TERM BOND INDEX FUND (ADM)	C	Dividend	N	T	Buy (add'l)	03/13/17	J		
67.					Buy (add'l)	05/12/17	J		
68.					Buy (add'l)	11/10/17	J		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 15

Name of Person Reporting

BERZON, MARSHA S.

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08/13/2018

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69.					Sold (part)	10/26/17	J		
70.					Sold (part)	12/01/17	J		
71. -VANGUARD INFLATION-PROTECTED SECS (ADM)	B	Dividend	L	T	Buy (add'l)	03/13/17	J		
72.					Sold (part)	10/26/17	J		
73.					Buy (add'l)	05/12/17	J		
74.					Buy (add'l)	11/10/17	J		
75.					Sold (part)	12/01/17	J	A	
76. SPOUSE'S CAPITAL ACCOUNT IN LAW FIRM PARTNERSHIP - BANK OF AMERICA*2	A	Interest	J	U					
77. CHARLES SCHWAB BANK N A DEPOSIT ACCOUNT	A	Interest	K	T					
78. DFA CALIFORNIA SHORT-TERM MUNI BOND	C	Dividend	N	T	Sold (part)	03/02/17	K	C	
79. DFA EMERGING MARKETS CORE EQUITY	D	Dividend	N	T					
80. DFA INTERNATIONAL VALUE	C	Dividend	M	T	Buy (add'l)	03/02/17	K		
81.					Buy (add'l)	11/01/17	J		
82. DFA INT'L SMALL CAP VALUE	C	Dividend	L	T	Sold (part)	03/02/17	J	B	
83. DFA INT'L SMALL COMPANY	C	Dividend	L	T	Sold (part)	03/02/17	J	A	
84. DFA REAL ESTATE SECURITIES	A	Dividend	J	T	Buy	03/02/17	J		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 15

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85. DFA U.S. LARGE CAP VALUE	E	Dividend	N	T	Buy (add'l)	11/01/17	K		
86.					Sold (part)	03/02/17	J	B	
87. DFA U.S. MICRO CAP	C	Dividend	L	T	Sold (part)	11/01/17	K	C	
88. DFA U.S. SMALL CAP	D	Dividend	M	T	Sold (part)	03/02/17	K	D	
89.					Sold (part)	11/01/17	K	D	
90. DFA U.S. SMALL CAP VALUE	D	Dividend	N	T	Buy (add'l)	11/01/17	K		
91.					Sold (part)	03/02/17	K	C	
92. PIMCO FOREIGN BOND HEDGED	C	Dividend	N	T	Buy (add'l)	03/02/17	K		
93. PIMCO REAL RETURN INSTL	C	Dividend	M	T					
94. POWERSHARES QQQ ETF	A	Dividend	L	T	Sold (part)	03/02/17	J	C	
95. SPDR S&P 500 INDEX ETF	E	Dividend	O	T	Sold (part)	03/02/17	K	C	
96.					Sold (part)	11/01/17	M	E	
97. VANGUARD CA INTERM TERM TAX- EX ADMIRAL	D	Dividend	O	T	Buy (add'l)	03/02/17	M		
98.					Buy (add'l)	06/30/17	K		
99.					Buy (add'l)	12/22/17	K		
100. VANGUARD EQUITY INCOME FUND ADMIRAL	D	Dividend	M	T					
101. VANGUARD FIXED INCOME INFLATION PROTECTED	D	Dividend	O	T	Buy (add'l)	03/02/17	N		

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 11 of 15

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102.					Buy (add'l)	11/01/17	K		
103.					Buy (add'l)	06/30/17	J		
104.					Buy (add'l)	02/06/17	J		
105. VANGUARD FTSE DEVELOPED MARKETS ETF	D	Dividend	N	T	Sold (part)	11/01/17	L	D	
106. VANGUARD INFORMATION TECHNOLOGY ETF	B	Dividend	M	T	Sold (part)	11/01/17	K	E	
107. VANGUARD INTERM-TERM TAX- EXMPT ADMIRAL	C	Dividend	M	T	Buy (add'l)	03/02/17	K		
108.					Buy (add'l)	11/01/17	K		
109. VANGUARD LTD TERM TAX EXEMPT ADMIRAL	E	Dividend	P1	T	Buy (add'l)	02/06/17	K		
110. VANGUARD SHORT TERM TAX-EX ADMIRAL	C	Dividend	N	T					
111. ANAHEIM CALIF ELEM SCH DIST 4%	B	Interest	K	T					
112. ANTIOCH CALIF PFA 5% DUE 5/1/23	B	Interest	K	T					
113. CALIFORNIA MUN FIN AUTH REV 5% DUE 10/1/24	B	Interest	K	T					
114. CALIFORNIA ST DEPT WTR RES CEN 5% DUE 12/1/28	B	Interest	K	T					
115. CALIFORNIA ST DEPT WTR RES PWR 4% DUE 5/1/18	A	Interest	K	T	Buy	05/10/17	K		
116. CALIFORNIA ST DEPT WTR RES PWR PWR SUPPL 5% DUE 5/1/17	B	Interest			Matured	05/01/17	K		
117. CAMPBELL CALIF UN HIGH SCH DIS 5% DUE 8/1/28	B	Interest	K	T					
118. CARLSBAD CALIF UNI SCH DIST 5% DUE 8/1/27	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 15

Name of Person Reporting

BERZON, MARSHA S.

Date of Report

08/13/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
119. CUCAMONGA VY CALIF WTR DIST FI 5% DUE 9/1/22	B	Interest	K	T					
120. FOOTHILL-DE ANZA CALIF CMNTYC 5.25% DUE 8/1/20	B	Interest	K	T					
121. IMPERIAL CALIF IRR DIST WTR SY 4% DUE 7/1/18	B	Interest	K	T					
122. LONG BEACH CALIF HBR REV 5% DUE 5/15/20	B	Interest	K	T					
123. LOS ANGELES CNTY CALIF MET TRA 5% DUE 7/1/23	A	Interest	K	T	Buy	01/26/17	K		
124. LOS ANGELES CNTY CALIF SANTN D 5% 10/1/18	B	Interest	K	T					
125. MARIN CALIF CMNTY COLLEGE DIST 4% DUE 8/1/21	A	Interest	K	T	Buy	05/15/17	L		
126. MIDPENINSULA REGL OPEN SPACE D 5% DUE 9/1/29		None	K	T	Buy	12/14/17	K		
127. MORELAND CALIF SCH DIST 5% DUE 8/1/24	B	Interest	K	T					
128. OLIVENHAIN CA MUN WTR DIST CALIF 5% DUE 6/1/23	B	Interest	K	T					
129. ORANGE CNTY CALIF SANTN WASTEW 5% DUE 2/1/20	B	Interest	K	T					
130. PERALTA CALIF CMNTY COLEEGE DI 5% DUE 8/1/25	B	Interest	K	T					
131. SAN BERNARDINO CNTY CALIF TRAN 4% DUE 3/1/21	B	Interest	K	T					
132. SAN DIEGO CALIF PUB FACS FING 5% DUE 5/1/17	A	Interest			Matured	05/15/17	K		
133. SAN DIEGO CNTY CALIF WTR AUTH 5.25% DUE 5/1/18	B	Interest	K	T					
134. SAN FRANCISCO CALIF BART 5% DUE 7/1/20	B	Interest	K	T					
135. SAN FRANCISCO CALIF CITY& CNT 5% DUE 5/1/18	B	Interest			Sold	01/26/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 13 of 15

Name of Person Reporting

BERZON, MARSHA S.

Date of Report

08/13/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
136. SAN FRANCISCO CNTY CALIF TRANS 4% DUE 2/1/27		None	K	T	Buy	10/19/17	K		
137. SAN LUIS CALIF COASTAL UNI SCH 5% DUE 8/1/21	B	Interest	K	T					
138. SAN MATEO CNTY CALIF TRAN DIST 5% DUE 6/1/26	B	Interest	K	T					
139. SANTA CLARA VY CALIF TRANSN AU 5% DUE 4/1/17	A	Interest			Matured	01/26/17	K		
140. SCHWAB CA MUNI MONEY FUND	A	Interest	K	T					
141. SOUTHERN CALIF PUB PWR AUTH TR 5% DUE 7/1/19	B	Interest	K	T					
142. SWEETWATER CALIF UN HIGH SCH D 5% DUE 8/1/38	B	Interest			Sold	10/20/17	K		
143. UNITED STATES TREAS NTS	A	Interest			Buy	08/23/17	K		
144.					Sold	12/14/17	K		
145. UNIVERSITY CALIF PUBL EDUC 5% DUE 5/15/27	B	Interest	K	T					
146. IRA (H)									
147. -DFA INT'L SMALL CAP VALUE		None			Sold	03/02/17	J	A	
148. -DFA REAL ESTATE SECURITIES	B	Dividend	K	T	Buy (add'l)	03/03/17	J		
149. -PIMCO FOREIGN BOND HEDGED	A	Dividend	J	T					
150. -VANGUARD REIT INDEX ETF	B	Dividend	L	T					
151. -VANGUARD TOTAL BOND MKT INDEX ADMIRAL	C	Dividend	M	T	Sold (part)	11/01/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 15

Name of Person Reporting

BERZON, MARSHA S.

Date of Report

08/13/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

*1 SALES 12/01/17 MADE TO MEET REQUIRED MINIMUM DISTRIBUTION (RMD).

*2 THE EARNINGS REPORTED IN PART VII REPRESENT [REDACTED] DISTRIBUTIVE SHARE OF PARTNERSHIP INTEREST INCOME..

FINANCIAL DISCLOSURE REPORT

Page 15 of 15

Name of Person Reporting

BERZON, MARSHA S.

Date of Report

08/13/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ MARSHA S. BERZON

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544