

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) KUGLER, ROBERT B.	2. Court or Organization United States District Court - District of New Jersey	3. Date of Report 10/09/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address Mitchell Cohen U.S. Courthouse One John F. Gerry Plaza Camden, New Jersey 08101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2013

Self-Employed Stenographer

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Bank	A	Interest	J	T					
2. Franklin Templeton Mutual Qualified Fund	A	Dividend	J	T					
3. Trust (Income Beneficiary)	C	Dividend	M	T					
4. Partnership, Coins		None	J	T					
5. TD Bank	A	Interest	J	T					
6. AIG Sun America	A	Interest	J	T					
7. Trust (Trustee) - #7 - #89 are assets of the Trust									
8. -Vanguard Bond Fund	A	Dividend	L	T					
9. -Vanguard NJ Tax Exempt	A	Dividend	L	T					
10. -Vanguard Dividend Growth	D	Dividend	L	T					
11. -Vanguard 500 Index Fund	E	Dividend	M	T					
12. -Wells Fargo	A	Interest	K	T					
13. -AGL Resources Inc.	A	Dividend	J	T	Buy	02/13/13			
14. -Atlantic Power Corp.	A	Dividend	J	T	Buy	03/25/13			
15. -Atlantic Power Corp. (see 14)					Sold	07/09/13	J	A	
16. -Actavis Inc. (formerly Watson, see Note)	A	Dividend	J	T	Sold	07/22/13	J	B	
17. -American National Insurance Co.	A	Dividend	J	T	Sold	08/23/13	J	A	

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes	F ~\$50,001 - \$100,000 (See Columns C1 and D3)	J ~\$15,001 - \$50,000 K ~\$15,001 - \$50,000 L ~\$50,001 - \$100,000 M ~\$100,001 - \$250,000 N ~\$250,001 - \$500,000 O ~\$500,001 - \$1,000,000 P1 ~\$1,000,001 - \$5,000,000 P2 ~\$5,000,001 - \$25,000,000 P3 ~\$25,000,001 - \$50,000,000 P4 ~More than \$50,000,000			
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) U = Book Value V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Atmos Energy Corp.	B	Dividend	J	T					
19. -Bassett Furniture Inds. Inc.	A	Dividend	J	T	Buy	10/24/13			
20. -Boardwalk Pipeline Partners	A	Dividend	J	T	Sold	11/11/13	J	A	
21. -Calamos Asset Mgmt. Inc.	A	Dividend	J	T					
22. -Cambrex Corp.	A	Dividend	J	T	Buy	02/11/13			
23. -Centerpoint Energy Inc.	B	Dividend	J	T					
24. -Charles River Labs Inc.	A	Dividend	J	T	Sold	08/23/13	J	A	
25. -Codorus Valley Bancorp	A	Dividend	J	T	Buy	04/08/13			
26. -Columbus McKinnon Corp	A	Dividend	J	T	Sold	04/08/13	J	A	
27. -Companhia De Bebidas	A	Dividend	J	T	Buy	05/02/13			
28. -Core Molding Tech Inc.	A	Dividend	J	T	Buy	05/08/13			
29. -Corelogic	A	Dividend	J	T	Buy	03/22/13			
30. -Delta Natural Gas Co. Inc.	A	Dividend	J	T	Buy	02/19/13			
31. - DuPont Fabros Technology Inc.	A	Dividend	J	T	Buy	09/03/13			
32. -Eastern Virginia Bank Inc.	A	Dividend	J	T	Buy	07/10/13			
33. -EV Energy Partners	A	Dividend	J	T	Buy	03/14/13			
34. -EV Energy Partners (see 33)					Sold	07/01/13	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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35. -F & M Bank Corp.	A	Dividend	J	T	Buy	11/01/13			
36. -First Banc Shares Inc	B	Dividend	J	T					
37. -First Energy Corp.	A	Dividend	J	T	Sold	07/01/13	J	A	
38. -First United Corp. Maryland	A	Dividend	J	T	Buy	06/11/13			
39. -Greenbriar Companies	A	Dividend	J	T	Buy	01/14/13			
40. -Greenbriar Companies (see 39)					Sold	04/23/13	J	A	
41. -Horace Mann Educators Corp.	A	Dividend	J	T	Buy	03/13/13			
42. -Horace Mann Educators Corp. (see 41)					Sold	08/12/13	J	A	
43. -Ingredion Inc.	A	Dividend	J	T	Buy	06/05/13			
44. -Iowa First Bancshares Corp.	A	Dividend	J	T					
45. -Kellogg Co.	A	Dividend	J	T					
46. -Laclede Group Inc.	B	Dividend	J	T					
47. -Liberty Property Trust	A	Dividend	J	T	Buy	07/11/13			
48. -Logansport Financial Corp.	A	Dividend	J	T	Buy	06/05/13			
49. -LMI Aerospace Inc.	A	Dividend	J	T	Sold	08/14/13	J	A	
50. -Manning & Napier Inc.	A	Dividend	J	T	Buy	07/11/13			
51. - Mantech Intl Corp.	A	Dividend	J	T	Buy	01/29/13			

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. -MDJ Resources Group Inc.	B	Dividend	J	T					
53. -Meta Financial Group Inc.	A	Dividend	J	T	Buy	02/08/13			
54. -Mid Penn Bancorp Inc.	A	Dividend	J	T	Buy	10/29/13			
55. -Monarch Financial Holding	B	Dividend	J	T					
56. -N J Res Corp.	B	Dividend	J	T					
57. -Northeast Bancorp	A	Dividend	J	T					
58. -Northway Financial Inc.	A	Dividend	J	T	Buy	09/26/13			
59. -Northwest Natural Gas Co.	A	Dividend	J	T	Buy	07/05/13			
60. -Nustar Group Holdings	A	Dividend	J	T	Buy	07/31/13			
61. -Oneida Financial Corp.	A	Dividend	J	T	Sold	08/13/13	J	A	
62. -PDI Inc.	A	Dividend	J	T	Buy	05/14/13			
63. -PDI Inc. (see 62)					Sold	08/14/13	J	A	
64. -Pembina Pipeline Corp.	A	Dividend	J	T	Buy	07/09/13			
65. -Pennseco Financial Services	A	Dividend	J	T	Merged (with line 66)				
66. -People's Financial Services Corp.	B	Dividend	K	T	Sold	12/06/13	J	A	
67. -PICO Holdings	A	Dividend	J	T	Buy	05/02/13			
68. -PICO Holdings (see 67)	A				Sold	08/16/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. -PICO Holdings	A	Dividend	J	T	Buy	12/24/13			
70. -Photo Medex Inc.	A	Dividend	J	T	Buy	05/14/13			
71. -Photo Medex Inc. (see 70)					Sold	05/14/13	J	A	
72. -Pinnacle Bancshares, Inc.	A	Dividend	J	T	Buy	06/13/13			
73. -Royal Dutch (Shell)	B	Dividend	K	T					
74. -Ryder Systems Inc.	A	Dividend	J	T	Sold	04/08/13	J	A	
75. -Sanderson Farms Inc.	B	Dividend	J	T					
76. -Shiloh Industries	B	Dividend	J	T	Buy	09/23/13			
77. -Spectra Energy	B	Dividend	J	T					
78. -Stewart Info Services Corp.	A	Dividend	J	T	Buy	03/15/13			
79. -Stewart Info Services Corp. (see 78)					Sold	07/01/13	J	A	
80. -STR Holdings Inc.	A	Dividend	J	T	Sold	01/29/13	J	A	
81. -Strattec Sec. Corp.	B	Dividend	J	T					
82. -Summit State Bank (CA)	A	Dividend	J	T	Buy	03/28/13			
83. -Unitil Corp.	B	Dividend	J	T					
84. -Universal Health Realty	A	Dividend	J	T	Buy	11/20/13			
85. -Watson Pharma Inc. (see note)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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86. -Winthrop Realty Trust	A	Dividend	J	T	Buy	10/01/13			
87. -Vox International Corp.	A	Dividend	J	T	Buy	01/17/13			
88. -Vox International Corp. (see 87)					Sold	07/01/13	J	A	
89. -Mortgage NJ Property	A	Interest	N	T					
90. Real Estate - (Collier County FL) Parcel 1 (see note)		None	N	T					
91. Real Estate - (Collier County FL) Parcel 2		None	N	S					
92. Real Estate - (Atlantic County, NJ) Parcel 1		None	O	S					
93. Real Estate - Atlantic County, NJ) Parcel 2		None	N	S					
94. Real Estate - (Camden County, NJ) Parcel 1		None	O	S					
95. Real Estate - (Camden County, NJ) Parcel 2		None	M	S					
96. Real Estate - (Camden County, NJ) Parcel 3	D	Rent	O	S					
97. T Rowe Price Alaska 529 (no control)	C	Dividend	M	T					
98. ING Direct	A	Interest	L	T					
99. TD Bank	A	Interest	K	T					
100. Pioneer Stock Fund	A	Dividend	J	T					
101. Met Life (whole life)	A	Dividend	J	T					
102. U.S. Savings Bonds	A	Interest	K	T					

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103. PBA Natural Gas Storage RPSTG LP	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

As to Part VII, Paragraph 4, the partnership is with a close [REDACTED] and is invested in gold coins.

As to Part VII, Paragraph 90, the real estate was sold 1/30/14.

As to Part VII, Paragraphs 16 and 85, Watson changed its name on 1/24/13, to Activis.

As to Part VII, Paragraphs 65 and 66, Pennseco Financial merged into People's Financial on 11/30/13.

As to Part VII, Paragraphs 92 and 102, are owned [REDACTED]

As to Part VII, Paragraphs 90 and 96, the assessments reflect a tax equalization rate (average ratio of assessed to true value) near 100%.

As to Part VII, Paragraph 3, I am an income beneficiary in a Trust established by a deceased [REDACTED] and have a contingent interest in the corpus. I have no power to direct, buy, sell, or otherwise dispose of any assets. As of 12/13/13, the Trust was invested in the following funds and securities: Aberdeen Global High Income Fund; Nuveen Limited Term Municipal Bond Fund; Vanguard Intermediate Tax Exempt Fund; Fidelity Advisors Emerging Markets Income Fund; Pimco Foreign Bond Fund; Wells Fargo Advantage International Bond Fund; Coach, Inc., Comcast Corp; Johnson Controls, Inc.; Target Corp.; TJX Cos, Inc; Walt Disney Co.; Monster Beverage Corp.; Exxon Mobil Corp.; National Oilwell Varco Inc.; Affiliated Managers Group, Inc.; Berkshire Hathaway, Inc.; Capital One Financial Corp.; CME Group, Inc.; Goldman Sachs Group, Inc.; J.P. Morgan Chase & Co.; U.S. Bancorp; Baxter International, Inc.; Gilead Sciences, Inc.; United Healthcare Group, Inc.; General Electric Co.; Union Pacific Corp.; Apple, Inc.; Cisco Systems, Inc.; EMC Corp.; IBM Corp.; Microsoft Corp.; Oracle Corp.; Celanese Corp.; Verizon Communications; Public Service Enterprise Group, Inc.; BHT Billiton Ltd.; Diageo PLC; Eaton Corp.; Schlumberger Ltd.; iShares Russell 2000 ETF; Munder Midcap Growth Fund; Touchstone Midcap Fund; Harbor International Fund; Lazard Emerging Markets Portfolio; AQR Managed Futures Strategy Fund; ASG Global Alternatives Fund; Driehans Active Income Fund; Eaton Vance Global Macro Absolute Return Fund; Merger F D International Fund; Credit Suisse Commodity Return Strategy Institutional International Real Estate Portfolio.

As to Part VII, Paragraph 89, this is a purchase money mortgage given to the Trust by a close [REDACTED] which secures a loan made by the Trust.

As to Part VII, Paragraphs 7 - 89, I have no income interest in the assets and have a contingent interest in the corpus of the Trust. As Trustee, I have the power to buy, sell or otherwise invest or dispose of the assets of the Trust.

This 2013 Financial Disclosure was updated to correct typographical errors.

As to Part VII, Paragraphs 90 through 96, the assessments are as follows: Collier County Parcel 1, - \$457,000; Collier County Parcel 2, - \$236,000; Atlantic County, NJ Parcel 1, - \$848,000; Atlantic County, NJ Parcel 2 - \$305,000; Camden County, NJ Parcel 1, - \$858,000; Camden County, NJ Parcel 2, - 193,000; Camden County, NJ Parcel 3, - \$912,000.

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Name of Person Reporting

KUGLER, ROBERT B.

Date of Report

10/09/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **ROBERT B. KUGLER**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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