

FINANCIAL DISCLOSURE REPORT FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Haik, Richard T.	2. Court or Organization United States District Court, Western District of Louisiana	3. Date of Report 02/17/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge Became Senior Status March 2015	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 01/15/2016
7. Chambers or Office Address 800 Lafayette Street Suite 4200 Lafayette, Louisiana 70501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. President	Colonel J. George & S. Saloom Hannie Community Home, Inc.
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Court Administration Fund	06/19/15-06/23/15	Carmel, California	Seminar speaker - LAJ Maritime Law Conference	Travel, lodging and meals
2. Louisiana State Bar Association	6/10/15-6/12/15	Destin, Florida	Seminar speaker	Travel, lodging and meals
3. Louisiana State Bar Association	9/17/15-9/18/15	New Orleans, Louisiana	Seminar Speaker	Travel, lodging and meals
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Franks Casing Crew	Hunting Trip	\$2,500.00
2.	Clayton Clark	Hunting Trip	\$2,500.00
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Iberia Bank Accounts	A	Interest	K	T					
2. Midsouth Bank, NA Accounts	A	Interest	L	T					
3. Brokerage Account #1									
4. --Diamond Offshore Drilling, Inc. Common Stock	A	Dividend	J	T					
5. --Iberiabank Corp Common Stock	A	Dividend	J	T					
6. --Edward Jones Money Market Account		None	J	T					
7. --Lafayette Parish, LA Law Enforcement Dist. Rev. Ltd Bonds	A	Interest	J	T					
8. Brokerage Account #2									
9. --San Juan Basin Royalty	A	Interest	J	T					
10. --Deutsche Managed Municipal Bond Fund, formerly DWS Managed Municipal	A	Interest	J	T					
11. --Ohio State Solid Waste Disposal Revenue	A	Interest	J	T					
12. --Putnam Tax Exempt Income	A	Int./Div.	J	T					
13. --Bibb County, GA Development Authority Bonds		None	J	T					
14. --Cambria County, PA Revenue Bonds	A	Interest	J	T					
15. --Langhorne Manor Boro, PA Higher Education Bonds		None	J	T					
16. --Montgomery-Southside, AL Revenue Bonds	B	Interest			Redeemed	06/04/15	K		
17. --Savannah, GA Economic Development Authority Bonds	A	Interest			Redeemed	02/17/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. --Sumner, IL Healthcare Facilities Revenue Bonds		None	J	T					
19. --Verizon communications Common stock	A	Dividend	J	T					
20. --Tjwi Kimia intl. Finance Co. Senior Notes		None	J	T					
21. --Money Market Fund Investment Shares (X)		None	J	T					
22. --MFS Multimarket Income Trust Mutual Fund	A	Dividend	J	T					
23. --San Juan Basin Royalty Trust Units	A	Royalty	J	T					
24. --At Home Corp Subordinated Notes		None	J	T					
25. --Capital Income Builder Fund A	A	Dividend	K	T					
26. --LA State Gas & Fuels Tax Ser A Revenue	A	Interest	J	T					
27. --Louisiana Public Facilities Auth Rev Bonds	A	Interest	K	T					
28. --Katy Texas Impdt School District Bonds	B	Interest	K	T					
29. --Columbia Tax Exempt Fund Class A	A	Dividend	J	T					
30. --Iberia Parish LA Parishwide School Dist Rfdg Gen Obgin	A	Interest	K	T					
31. --Lafayette LA Public Improvement Tax Rev	A	Interest	J	T					
32. --Cutwater Select Income Fund	A	Dividend	J	T					
33. --Lafayette LA Public Trust Auth Rev	A	Interest	K	T					
34. --Virginia St Res Auth St Moral Bonds	A	Interest	K	T					

1 Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2 Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3 Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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35. --Tarpon Springs Florida utility Sys Rev Series A-2Bonds	A	Interest	J	T					
36. --Terrebonne Levee & consv Dist Bonds	A	Interest	J	T					
37. --Univ of Alabama Board of Trustees Gen Rev Bonds	A	Interest	J	T					
38. --Rainbow City Alabama Utilities Board Water and Swere Rev Bonds	A	Interest	J	T					
39. --Bothell Washington Combined Utility Revenue Bonds	A	Interest	J	T					
40. Chevron Corp.	A	Dividend	J	T	Buy	04/19/15	J		
41. Individual Retirement Account #1	E	Dividend	N	T					
42. --American Balanced Fund									
43. --American Mutual Fund									
44. --Capital World Growth & Income Fund									
45. --Europacific Growth Fund									
46. --Growth Fund of America									
47. --Income Fund of America									
48. --New Perspective Fund									
49. --Washington Mutual Investors Fund									
50. --American Funds Sh Term Bond Fund									
51. --Capital Income Builder Fund									

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)

52.	Colonel J. George & S. Saloom Hannie E, Inc.	E	Distribution	M
53.	Oxford Partners, LLC		None	J
54.	Gordon Global Investments, LLC		None	J
55.	1/3 Interest in Property located in Vermilion Parish, LA		None	J
56.	E-Kat, LLC		None	M
57.	Cedar Crest, LLC		None	L
58.	Balbeisi Investment Group, LLC		None	L

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Richard T. Haik**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544