

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) O'HARA, JAMES P.	2. Court or Organization U.S. DISTRICT COURT	3. Date of Report 3/26/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) MAGISTRATE JUDGE, FULL-TIME	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2013 to 12/31/2013
7. Chambers or Office Address 219 U.S. COURTHOUSE 500 STATE AVENUE KANSAS CITY, KS 66101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	UNIVERSITY OF KANSAS LAW SCHOOOL - TEACHING	\$1,503.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Law & Economics Institute for Judges	3/11/2013 - 3/15/2013	Orange, CA	Educational seminar	meals, lodging, transportation
2. American Conference Institute	5/30/2013 - 5/31/2013	New York, NY	Educational seminar	meals, lodging, transportation
3. American Conference Institute	9/30/2013 - 10/1/2013	San Francisco, CA	Educational seminar	meals, lodging, transportation
4. American Conference Institute	10/24/2013 - 10/25/2013	New York, NY	Educational seminar	meals, lodging, transportation
5. Sedona Conference	11/6/2013 - 11/8/2013	Phoenix, AZ	Educational seminar	meals, lodging, transportation

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Valley View State Bank (savings & checking accounts)	A	Interest	J	T					
2. Mariner Rollover IRA No. 1 (formerly Fidelity Rollover IRA No. 1)									
3.									
4. - Fidelity Cash Reserves	A	Interest	J	T					
5. - Vanguard Index Fds Reit ETF	A	Dividend	J	T	Sold (part)	02/06/13	J	B	
6.					Buy	10/16/13	J		
7. - Eagle Sm Cap Growth Fd Cl 1		None			Sold (part)	06/21/13	J	A	
8.					Sold	08/20/13	J	C	
9. - Harding Loevner Int'l Equity Port Inst'l	A	Dividend	K	T	Buy	08/20/13	J		
10.					Buy	09/27/13	J		
11. - Metropolitan West Tot Return Bond Cl M	B	Dividend	K	T	Sold (part)	02/04/13	J	A	
12.					Sold (part)	10/08/13	K	A	
13. - Oppenheimer Int'l Bond Class A	A	Dividend	J	T	Sold (part)	10/08/13	J	A	
14.					Sold (part)	11/04/13	J	A	
15. Convergence Core Plus Fund Institutional Cl (con't line 16)	A	Dividend	K	T	Buy	02/04/13	J		
16. (f/k/a Mariner 1 30/30 Fund Institutional Cl Shares)					Buy	10/21/13	J		
17.									

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Wasatch Emerging Mkt Sm Cap Fd		None	K	T	Buy	09/27/13	J		
19. - Palmer Square Absolute Return Cl 1	A	Dividend	K	T					
20. - Nuance Concentrated Value Fd Inst'l	A	Dividend	K	T	Buy	10/21/13	K		
21. - Tortise MLP Pipeline Fd Inst'l	A	Dividend	K	T					
22. - Cullen High Dividend Equity Class 1	A	Dividend			Sold	10/21/13	K	D	
23. - Dodge & Cox Income	A	Dividend			Sold	10/08/13	J	B	
24. - Ishares Gold Trust		None			Sold (part)	06/25/13	J	A	
25.					Sold	10/16/13	J	A	
26. - Pimco High Yield Inst'l	A	Dividend			Sold (part)	02/04/13	J	A	
27.					Sold	10/08/13	J	A	
28. - Eaton Vance Floating Raste Advantage	A	Dividend	J	T	Buy	06/21/13	J		
29. - Palmer Square Fountain Short Duration High Income I	A	Dividend	K	T	Buy	10/08/13	J		
30.					Buy	10/21/13	J		
31. - Mainstay Marketfield Fund Class I	A	Dividend	K	T	Buy	10/08/13	K		
32. - Stone Ridge Reinsurance Risk Premium I	A	Dividend	J	T	Buy	03/25/13	J		
33. - Stone Ridge High Yield Reinsurance Risk Premium I	A	Dividend	J	T	Buy	03/25/13	J		
34. - Templeton Global Bond Fund Class A	A	Dividend	J	T	Buy	10/08/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. - Touchstone Small Cap Core Fund Class I	A	Dividend	J	T	Buy	08/20/13	J		
36. - Plum Creek Timber Co., Inc.	A	Dividend	J	T	Buy	06/25/13	J		
37. - Rayonier, Inc.	A	Dividend	J	T	Buy	06/25/13	J		
38. Mariner Rollover IRA No. 2 (formerly Fidelity Rollover IRA No. 2)									
39. - Fidelity Cash Reserves	A	Interest	J	T					
40. - Vanguard Index Fds Reit ETF	A	Dividend	J	T	Sold (part)	02/06/13	J	A	
41.					Buy	10/16/13	J		
42. - Eagle Sm Cap Growth Fd Cl I		None			Sold (part)	06/21/13	J	A	
43.					Sold	08/20/13	J	A	
44. - Harding Loevner Int'l Equity Port Inst'l	A	Dividend	J	T	Buy	08/20/13	J		
45.					Buy	09/27/13	J		
46. - Metropolitan West Tot Return Bond Cl M	A	Dividend	J	T	Sold (part)	02/04/13	J	A	
47.					Sold (part)	10/08/13	J	A	
48. - Oppenheimer Int'l Bond Class A	A	Dividend	J	T	Sold (part)	10/08/13	J	A	
49.					Sold (part)	11/04/13	J	A	
50. - Convergence Core Plus Fund Institutional C1 (con't line 51)	A	Dividend	J	T	Buy	02/04/13	J		
51. (f/k/a Mariner I 30/30 Fund Institutional C1 Shares)					Buy	10/21/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. - Wasatch Emerging Mkts Sm Cap Fd		None	J	T	Buy	09/27/13	J		
53. - Palmer Square Absolute Return Cl 1	A	Dividend	J	T					
54. - Nuance Concentrated Value Fd Inst'l	A	Dividend	J	T	Buy	10/21/13	J		
55. - Tortise MLP Pipeline Fd Inst'l	A	Dividend	J	T					
56. - Cullen High Dividend Equity Class 1	A	Dividend			Sold	10/21/13	J	A	
57. - Dodge & Cox Income	A	Dividend			Sold	10/08/13	J	A	
58. - Ishares Gold Trust		None			Sold (part)	06/25/13	J	A	
59.					Sold	10/16/13	J		
60. - Pimco High Yield Inst'l	A	Dividend			Sold (part)	02/04/13	J	A	
61.					Sold	10/08/13	J	A	
62. - Eaton Vance Floating Raste Advantage	A	Dividend	J	T	Buy	06/21/13	J		
63. - Palmer Square Fountain Short Duration High Income I	A	Dividend	J	T	Buy	10/08/13	J		
64.			K	T	Buy	10/21/13	J		
65. - Mainstay Marketfield Fund Class I	A	Dividend	K	T	Buy	10/08/13	J		
66. - Stone Ridge Reinsurance Risk Premiums I	A	Dividend	J	T	Buy	03/25/13	J		
67. - Stone Ridge High Yield Reinsurance Risk Premium I	A	Dividend	J	T	Buy	03/25/13	J		
68. - Templeton Global Bond Fund Class A	A	Dividend	J	T	Buy	10/08/13	J		

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69. - Touchstone Small Cap Core Fund Class I	A	Dividend	J	T	Buy	08/20/13	J		
70. - Plum Creek Timber Co., Inc.	A	Dividend	J	T	Buy	06/25/13	J		
71. - Rayonier, Inc.	A	Dividend	J	T	Buy	06/25/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ JAMES P. O'HARA**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544