

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Thompson, Anne E.	2. Court or Organization U.S. District Court	3. Date of Report 05/01/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 402 E. State Street Room 4000 Trenton, NJ 08608		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member - Criminal Law Drafting Committee	National Conference of Bar Examiners
2.	Co-Trustee	Trust #1 - U/W Wm. Thompson Art. Fifth
3.	Co-Trustee	Trust #2 - U/W Wm. Thompson Art. Sixth
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 19

Name of Person Reporting

Thompson, Anne E.

Date of Report

05/01/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2014	National Conference of Bar Examiners -- Fees earned for drafting, editing & revising bar exam and evaluating performance of test questions.	\$7,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. National Conference of Bar Examiners	April 24-28, 2014	Las Vegas, NV	Activity of Organization	Airfare, Hotel, Meals & Local Transportation
2. National Conference of Bar Examiners	October 23-27, 2014	Chicago, IL	Activity of Organization	Airfare, Hotel, Meals & Local Transportation
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 19

Name of Person Reporting

Thompson, Anne E.

Date of Report

05/01/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 19

Name of Person Reporting

Thompson, Anne E.

Date of Report

05/01/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Sun Nat'l Bank Checking Account	A	Interest	K	T					
2. Dukes County Bank Account		None			Closed	02/01/14	J		
3. Glenmede Tax-Exempt Fund		None	J	T					
4. Intel Corp	A	Dividend	J	T					
5. Freeport McMoran Copper Gold Inc.	A	Dividend	J	T					
6. Coach Inc.	A	Dividend	J	T					
7. Baxter Intl Inc	A	Dividend	J	T					
8. Metropolitan Life Insurance Company	A	Interest	J	T	Open	02/01/14	J		
9. TRUST #1 -- U/W Wm. Thompson Art. Fifth	D	Dividend	O	T					
10. -- Fidelity Diversified Int'l Fund									
11. -- Fidelity Money Market Cash Reserves									
12. -- Fidelity Advisor New Insights Fund									
13. -- Fidelity Real Estate Investment Fund									
14. -- Fidelity Blue Chip Growth Fund									
15. -- Fidelity NJ Municipal Income Fund					Sold	01/07/14	K	B	
16. -- Fidelity Short-Inter Mediate Muni Income Fund					Sold (part)	05/20/14	J	A	
17. -- AllianceBern Muni Inc Fund II NJ					Sold	01/07/14	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 19

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18. -- Baron Growth Fund									
19. -- DWS Strategic High Yield Tax Free CL S									
20. -- Diamond Hill Small Cap Fund CL A									
21. -- Franklin NJ Tax Free Income Fund A					Sold	01/07/14	K	A	
22. -- Harbor Capital Appreciation Fund									
23. -- Hartford Capital Appreciation Fund CL A									
24. -- Hotchkiss & Wiley Mid Cap Value Fund CL A									
25. -- Morgan Stanley Mid Cap Growth Port CL P									
26. -- Mutual Series Mutual Shares CL A					Sold	01/07/14	J	C	
27. -- Nuveen NJ Muni Bond Fund CL A					Sold	01/07/14	K	C	
28. -- T Rowe Price Mid Cap Value Fund Adv Class									
29. -- T Rowe Price Value Fund Adv Class									
30. -- Royce PA Mutual Fund									
31. -- S&P 500 Depository Receipt					Buy (add'l)	03/13/14	J		
32. -- S&P 500 Depository Receipt					Buy (add'l)	05/22/14	J		
33. -- CRM Mid Cap Value Investor Fund					Sold	03/13/14	J	D	
34. -- Invesco Int'l Growth Fund									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 19

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -- Thornburg Int'l Value Fund					Sold	03/14/14	J	A	
36. -- Vitrus Foreign Opportunities Fund									
37. -- Templeton Global Bond Fund					Buy (add'l)	01/07/14	J		
38. -- Templeton Global Bond Fund					Sold (part)	12/23/14	J	A	
39. -- MFS International Diversification Fund									
40. -- T Rowe Tax Free Inc Fund									
41. -- EV Parametric Structured Emerging Markets					Sold (part)	03/14/14	J	A	
42. -- EV Parametric Structured Emerging Markets					Sold (part)	11/28/14	J	A	
43. -- EV Parametric Structured Emerging Markets					Sold	12/24/14	J	A	
44. -- Aston/River Road Dividend All Cap									
45. -- Causeway International Value									
46. -- FMI Large Cap Fund									
47. -- Lazard Emerging Markets									
48. -- MFS Research Int'l									
49. -- Primecap Odyssey Growth Fund									
50. -- iShares TR Russell 1000 Value Index									
51. -- iShares TR Russell 100 Growth Index									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 19

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52. -- Fidelity Municipal Income					Buy (add'l)	01/07/14	L		
53. -- Artisan Small Cap Fund									
54. -- Wells Fargo Short-Term Muni Inc					Buy (add'l)	01/07/14	J		
55. -- Wells Fargo Short-Term Muni Inc					Buy (add'l)	03/14/14	J		
56. -- Wells Fargo Short-Term Muni Inc					Sold (part)	05/20/14	J	A	
57. -- iShares TR Russell 1000 Index Fund									
58. -- Vanguard Growth Vipers									
59. -- Vanguard Value Vipers									
60. -- Aberdeen Emerging Markets					Sold	03/14/14	J	A	
61. -- Oppenheimer International Bond					Sold	11/28/14	J	A	
62. -- Financial Select Sector									
63. -- Franklin Federal Tax Free Income Fund					Buy	01/07/14	J		
64. -- Acadian Emerging Markets Port Inst.					Buy	01/07/14	J		
65. -- Acadian Emerging Markets Port Inst.					Buy (add'l)	03/14/14	J		
66. -- Blackrock National Muni Fund C1 A					Buy	01/07/14	K		
67. -- Sector Spdr Tr Shs Ben Int Financial					Buy	01/09/14	J		
68. -- Fidelity Low Priced Stock					Buy	03/14/14	J		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 19

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69. -- Fidelity Low Priced Stock					Buy (add'l)	05/20/14	J		
70. -- Oppenheimer International Growth Cl A					Buy	03/14/14	J		
71. -- Oppenheimer International Growth Cl A					Sold	12/24/14	J	A	
72. -- Transamerica International Small Cap Value					Buy	03/14/14	J		
73. -- Invesco Convertible Securities Fd Cl Y					Buy	05/20/14	J		
74. -- Invesco Convertible Securities Fd Cl Y					Buy (add'l)	09/17/14	J		
75. -- Invesco Convertible Securities Fd Cl Y					Sold (part)	11/28/14	J	A	
76. -- Invesco Convertible Securities Fd Cl Y					Sold	12/09/14	J	A	
77. -- Nuveen Intermediate Duration Muni Bond					Buy	12/24/14	J		
78. -- Fidelity International Cap Appreciation Fund					Buy	12/24/14	J		
79. -- MFS International Value Fund					Buy	12/24/14	J		
80. -- Oakmark International Cl I					Buy	12/24/14	J		
81. -- Wasatch Frontier Emerging Small Co's					Buy	12/24/14	J		
82. -- Spdr Ser Tr Barclays Conv Secs Etf					Buy	12/29/14	J		
83. TRUST #2 -- U/W Wm. Thompson Art. Sixth	D	Dividend	O	T					
84. -- Fidelity Diversified Int'l Fund									
85. -- Fidelity Money Market Cash Reserves									

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FINANCIAL DISCLOSURE REPORT

Page 9 of 19

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86. -- Fidelity Advisor Mid Cap Fund II CL I									
87. -- Fidelity Advisor Int'l Discovery Fund CL I					Buy (add'l)	03/20/14	J		
88. -- Fidelity Real Estate Investment Fund									
89. -- Fidelity Blue Chip Growth Fund									
90. -- Allianz NFJ Dividend Value Fund					Sold (part)	01/07/14	J	C	
91. -- Allianz NFJ Dividend Value Fund					Sold	03/20/14	J	D	
92. -- Baron Growth Fund									
93. -- DWS Strategic High Yield Tax Free CL S									
94. -- Diamond Hill Small Cap Fund CL A									
95. -- Franklin NJ Tax Free Income Fund A					Sold	01/07/14	K	A	
96. -- Harbor Capital Appreciation Fund					Sold (part)	03/20/14	J	B	
97. -- Hartford Capital Appreciation Fund CL A									
98. -- iShares TR Russell 100 Growth Index Fund									
99. -- Mutual Series Mutual Shares CL A									
100. -- Nuveen NJ Muni Bond Fund CL A					Sold	01/07/14	K	A	
101. -- RS Value Fund					Sold (part)	01/07/14	J	B	
102. -- RS Value Fund					Sold	03/20/14	J	D	

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FINANCIAL DISCLOSURE REPORT

Page 10 of 19

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103. -- T Rowe Price Mid Cap Value Fund Adv Class									
104. -- T Rowe Price Value Fund Adv Class									
105. -- Royce PA Mutual Fund									
106. -- S&P 500 Depository Receipt					Buy (add'l)	12/26/14	J		
107. -- CRM Mid Cap Value Investor Fund					Sold	03/20/14	J	C	
108. -- Invesco Int'l Growth Fund									
109. -- Artisan Int'l Value Fund									
110. -- American EuroPacific Growth Fund									
111. -- Thornburg Int'l Value Fund					Sold	03/20/14	J	B	
112. -- AllianceBern Muni Inc II NJ Fund					Sold	01/07/14	K	A	
113. -- Fidelity NJ Muni Income					Sold	01/07/14	L	A	
114. -- MFS International Diversification Fund									
115. -- Fidelity Advisor New Insights									
116. -- EV Parametric Structure Emerging Markets					Sold (part)	03/20/14	J	A	
117. -- EV Parametric Structure Emerging Markets					Sold (part)	12/23/14	J	A	
118. -- T Rowe Price Tax Free Income									
119. -- Dodge & Cox Stock Fund									

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FINANCIAL DISCLOSURE REPORT

Page 11 of 19

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120. -- IShares TR 1000 Index									
121. -- IShares TR 1000 Value Index					Buy (add'l)	03/24/14	J		
122. -- Oakmark International					Sold (part)	01/07/14	J	A	
123. -- Oakmark International					Buy (add'l)	10/08/14	J		
124. -- Oakmark International					Sold (part)	12/15/14	J	A	
125. -- IShares Msci EAFE Index									
126. -- IShares TR Russell Midcap Value Index									
127. -- Templeton Global Bond					Buy (add'l)	03/20/14	J		
128. -- Templeton Global Bond					Buy (add'l)	10/08/14	J		
129. -- Templeton Global Bond					Sold (part)	12/23/14	J	A	
130. -- T Rowe Price International Stock					Buy (add'l)	03/20/14	J		
131. -- Wells Fargo Short-Term Muni Inc					Buy (add'l)	01/07/14	J		
132. -- Wells Fargo Short-Term Muni Inc					Buy (add'l)	03/20/14	J		
133. -- Wells Fargo Short-Term Muni Inc					Sold (part)	05/28/14	J	A	
134. -- Aberdeen Emerging Markets					Sold	03/20/14	J	A	
135. -- Fidelity Emerging Markets					Buy (add'l)	03/20/14	J		
136. -- Oppenheimer International Bond					Sold (part)	10/08/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 19

Name of Person Reporting

Thompson, Anne E.

Date of Report

05/01/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -- Oppenheimer International Bond					Buy (add'l)	12/23/14	J		
138. -- Blackrock National Muni					Buy (add'l)	01/07/14	M		
139. -- Nuveen Intermediate Duration Muni Bond A					Buy	01/07/14	K		
140. -- Nuveen Intermediate Duration Muni Bond A					Buy (add'l)	03/20/14	J		
141. -- Nuveen Intermediate Duration Muni Bond A					Buy (add'l)	12/23/14	J		
142. -- Sector Spdr Tr Shs Ben Int Financial					Buy	01/09/14	J		
143. -- Sector Spdr Tr Shs Ben Int Financial					Buy (add'l)	03/24/14	J		
144. -- Sector Spdr Tr Shs Ben Int Financial					Buy (add'l)	12/26/14	J		
145. -- Fidelity Low Priced Stock					Buy	03/20/14	J		
146. -- Invesco Convertible Securities Fd C1 Y					Buy	05/28/14	J		
147. -- Invesco Convertible Securities Fd C1 Y					Buy (add'l)	08/04/14	J		
148. -- Invesco Convertible Securities Fd C1 Y					Sold	12/09/14	J	A	
149. -- Franklin International Small Cap Growth Advisor					Buy	12/23/14	J		
150. -- MFS International Value Fund					Buy	12/23/14	J		
151. -- Wasatch Fortier Emerging Small Cp's					Buy	12/23/14	J		
152. -- Spdr Ser Tr Barclays Conv Secs Etf					Buy	12/26/14	J		
153. GLENMEDE IRA	E	Distribution	O	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 19

Name of Person Reporting

Thompson, Anne E.

Date of Report

05/01/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -- Glenmede Core Fixed Income Port					Sold (part)	11/19/14	K	A	
155. -- Exxon Mobil Corp									
156. -- Johnson & Johnson									
157. -- Glenmede Govt Cash Fund									
158. -- Devon Energy					Sold	07/29/14	K	D	
159. -- Qualcomm Corp					Sold (part)	07/28/14	J	B	
160. -- AT&T Inc					Buy (add'l)	03/06/14	J		
161. -- Exelon Corp					Sold	04/08/14	J	A	
162. -- Intel Corp					Sold	07/28/14	K	D	
163. -- Templeton Global Bond Fund									
164. -- Legg Mason Brandywine Global Fund									
165. -- Matthews Asian & Growth Income Fund									
166. -- Kellogg Co									
167. -- E I DuPont de Nemours & Co					Sold	10/14/14	J	B	
168. -- Conocophillips									
169. -- Mosaic Co					Sold	07/28/14	J	B	
170. -- Microchip Technology Inc					Sold	01/22/14	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 19

Name of Person Reporting

Thompson, Anne E.

Date of Report

05/01/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -- Glenmede Fund Inc Advisor Small Cap					Sold (part)	02/05/14	J	B	
172. -- Glenmede Fund Inc Advisor Small Cap					Buy (add'l)	08/05/14	J		
173. -- Dupont Capital Emerging Markets					Sold (part)	04/07/14	K	A	
174. -- Dupont Capital Emerging Markets					Sold	06/03/14	J	A	
175. -- American Express Co.					Sold (part)	02/27/14	J	C	
176. -- Matthews Pacific Tiger Fund									
177. -- Van Eck Global Hard Assets									
178. -- Freeport McMoran Copper Gold Inc					Buy (add'l)	11/17/14	J		
179. -- Tupperware Corp					Buy (add'l)	10/16/14	J		
180. -- Coach Inc					Sold	07/28/14	J	A	
181. -- Graco Inc					Sold	03/17/14	J	A	
182. -- Apple Inc									
183. -- Apache Corp					Sold	07/29/14	K	C	
184. -- Coca Cola Co					Sold	05/21/14	J	A	
185. -- Merck & Co Inc					Sold	07/28/14	J	C	
186. -- General Electric					Sold	08/04/14	J	A	
187. -- Abbvie Inc Co					Sold	10/02/14	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 19

Name of Person Reporting

Thompson, Anne E.

Date of Report

05/01/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -- Wells Fargo Co									
189. -- Astrazeneca PLC					Sold	03/17/14	K	D	
190. -- Seyworks Solutions					Sold (part)	07/01/14	J	D	
191. -- Seyworks Solutions					Sold (part)	12/18/14	J	D	
192. --National Oilwell Varco Inc									
193. -- Ensc0 PLC									
194. -- Celgene Corp									
195. -- Allergan Inc					Sold (part)	07/28/14	J	C	
196. -- Allergan Inc					Sold (part)	10/08/14	J	C	
197. -- Ebay Inc									
198. -- Franklin Resources Inc									
199. -- Cisco Systems									
200. -- Baxter Intl Inc									
201. -- Blackrock Strategic Income									
202. -- Union Pacific Corp					Buy	01/22/14	J		
203. -- Procter & Gamble					Buy	01/29/14	J		
204. -- Target Corp					Buy	02/04/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 19

Name of Person Reporting

Thompson, Anne E.

Date of Report

05/01/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -- Precision Castparts Corp					Buy	04/16/14	J		
206. -- Precision Castparts Corp					Buy (add'l)	07/29/14	J		
207. -- First Rep Bk San Fran Cali New					Buy	04/23/14	J		
208. -- First Rep Bk San Fran Cali New					Sold	08/06/14	J	A	
209. -- Google Inc					Buy	05/12/14	J		
210. -- Petsmart Inc					Buy	05/21/14	J		
211. -- Petsmart Inc					Sold	07/31/14	J	B	
212. -- Ross Stores					Buy	05/21/14	J		
213. -- Ross Stores					Sold	10/15/14	K	B	
214. -- Now Inc					Spinoff (from line 212)	06/02/14	J		
215. -- Now Inc					Sold	06/27/14	J	A	
216. -- W W Grainger Inc					Buy	07/21/14	K		
217. -- Emerson Electric					Buy	08/05/14	J		
218. -- Loomis Sayles Bond Fund					Buy	08/05/14	K		
219. -- T Rowe Price Emerging Mkt					Buy	08/05/14	K		
220. -- McCormick & Co Inc					Buy	10/06/14	J		
221. -- Tractor Supply					Buy	10/07/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 17 of 19

Name of Person Reporting

Thompson, Anne E.

Date of Report

05/01/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. -- Whiting Petroleum					Buy	10/08/14	J		
223. -- Whiting Petroleum					Buy (add'l)	11/14/14	J		
224. -- Priceline.Com Inc					Buy	10/21/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 18 of 19

Name of Person Reporting

Thompson, Anne E.

Date of Report

05/01/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 19 of 19

Name of Person Reporting

Thompson, Anne E.

Date of Report

05/01/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Anne E. Thompson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544