

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) St. John, Stephen C.	2. Court or Organization U.S. Bankruptcy Court, Eastern District of Virginia	3. Date of Report 06/10/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 600 Granby Street 4th Floor Norfolk, Virginia 23510		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Planning Committee	Mid-Atlantic Bankruptcy Conference, Virginia Continuing Legal Education
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

St. John, Stephen C.

Date of Report

06/10/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Richmond Bar Association	April 24, 2013	Richmond, Virginia	to appear in CLE seminar	travel
2.	Virginia Bar Association	May 10-11, 2013	Duck, North Carolina	to appear in CLE seminar	travel, lodging, and meals
3.	Northern Virginia Bankruptcy Bar Association	June 19-20, 2013	Arlington, Virginia	to appear in CLE seminar	travel, lodging, and meals
4.	Virginia Law Foundation	September 19-20, 2013	Charlottesville, Virginia	to appear in CLE seminar	travel, lodging, and meals
5.	Richmond Bar Association	October 9, 2013	Richmond, Virginia	to appear in CLE seminar	travel

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

St. John, Stephen C.

Date of Report

06/10/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Credit Card	K
2.	Wells Fargo Bank N.A.	Credit Card	J
3.	BB&T Bank	Credit Line	J
4.	JP Morgan Chase	Credit Card	J
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

St. John, Stephen C.

Date of Report

06/10/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Bank, N.A.	A	Interest	J	T					
2. BB&T Bank Trust Department IRA	D	Int./Div.	M	T					
3. -Sterling Capital Money Market Account	A	Interest	J	T					
4. -Sterling Capital Intermediate Bond Fund	A	Dividend			Sold	08/12/13	J		
5. -Sterling Capital Total Return Bond Fund	A	Dividend			Buy (add'l)	06/18/13	J		
6.					Sold	08/13/13	J	A	
7. -PIMCO Foreign Bond Fund Class I	A	Dividend	J	T	Buy	08/13/13	J		
8. -Sterling Capital Mid Value Fund	A	Dividend			Sold	08/13/13	J	C	
9. -Sterling Capital Equity Income Fund	A	Dividend	J	T	Buy (add'l)	08/13/13	J		
10. -Sterling Capital Special Opportunities Fd.	A	Dividend	J	T					
11. -CSX Corporation common stock	A	Dividend	K	T					
12. -DWS Capital Growth Fund	A	Dividend	J	T	Buy (add'l)	08/13/13	J		
13. -Eaton Vance Large Cap Value Fund	A	Dividend			Sold	08/13/13	J	B	
14. -Federated MDT Small Cap Growth Fund	A	Dividend	J	T					
15. -Forward International Small Companies Institutional Fund	A	Dividend			Sold	08/13/13	J	B	
16. -Goldman Sachs Growth Opportunity Fund	A	Dividend	J	T					
17. -Harbor International Fund	A	Dividend	K	T	Buy (add'l)	09/17/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

St. John, Stephen C.

Date of Report

06/10/2014

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Buy (add'l)	11/06/13	J		
19.					Buy (add'l)	12/17/13	J		
20. -Harding Loevner International Equity Fund	A	Dividend	J	T	Buy (add'l)	08/13/13	J		
21.					Buy (add'l)	12/17/13	J		
22. -Lazard Emerging Markets Portfolio Fund	A	Dividend	J	T					
23. -Oppenheimer Developing Markets Fund	A	Dividend	J	T					
24. -Cohen & Steers Realty Shares Fund	A	Dividend	J	T					
25. -Credit Suisse Commodity Return Strategy Fund	A	Dividend			Sold	03/18/13	J		
26. -Virtus Quality Small Cap Fund	A	Dividend	J	T					
27. -American Century Diversified Bond Fund	A	Dividend			Sold	08/13/13	K		
28. -Doubleline Total Return Bond Fund	B	Dividend	K	T	Sold (part)	08/14/13	J		
29. -Metropolitan West Total Return Bond Fund	A	Dividend	K	T	Buy (add'l)	08/13/13	J		
30. -Sterling Capital Corporate Fund-I (see attachment re: name change)	A	Dividend			Buy (add'l)	03/18/13	J		
31.					Sold	08/13/13	J		
32. -Eaton Vance Atlanta Capital Focused Growth Fund-I	A	Dividend			Sold	08/13/13	J	B	
33. -Dodge & Cox Income Fund #147	A	Dividend	K	T	Buy	08/13/13	K		
34. -Federated Intermediate Corporate Bond Class IS Fund	A	Dividend	J	T	Buy	08/13/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

St. John, Stephen C.

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35. -Neuberger Berman Strategic Income Fund-I	A	Dividend	J	T	Buy	08/13/13	J		
36. -Artisan Mid Cap Value #1464	A	Dividend	J	T	Buy	08/13/13	J		
37. -Artisan International Small Cap Fund #1465	A	Dividend	J	T	Buy	08/13/13	J		
38. -PIMCO Commodity Real Return Strategy Inst CI Fund #45	A	Dividend	J	T	Buy	03/18/13	J		
39. BB&T Bank	A	Interest	J	T					
40. Wells Fargo Advisors CD	A	Interest	K	T					
41. TD Ameritrade IRA	A	Int./Div.	K	T					
42. -T. Rowe Price Personal Strategy Growth Mutual Fund	A	Dividend	K	T					
43. -Money Market Account	A	Interest	J	T					
44. Wells Fargo Advisors Brokerage Account	E	Int./Div.	N	T					
45. -American Electric Power Inc. common stock	A	Dividend	J	T					
46. -Wells Fargo Advisors Money Market Account	A	Interest	K	T					
47. -FirstEnergy Corporation common stock	A	Dividend	J	T					
48. -Exxon Mobil Corporation common stock	A	Dividend	J	T					
49. -Dominion Resources Incorporated common stock	D	Dividend	M	T					
50. -Ameren Corporation common stock	A	Dividend	J	T					
51. -CH Energy Group common stock	A	Dividend			Sold	07/09/13	K	D	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

St. John, Stephen C.

Date of Report

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52. -Entergy Corporation common stock	B	Dividend	K	T					
53. -UIL Holdings Corporation common stock	A	Dividend	K	T					
54. -Spectra Energy Corporation common stock	A	Dividend	K	T					
55. -Exelon Corporation common stock	B	Dividend	K	T					
56. -PEPCO Holdings Incorporated common stock	B	Dividend	K	T					
57. -Scana Corporation common stock	B	Dividend	K	T					
58. -Federated High Income Bond Fund	A	Dividend	J	T					
59. -Duke Energy Corporation common stock	B	Dividend	K	T					
60. -The Southern Company common stock	B	Dividend	K	T					
61. Massachusetts Mutual Life Insurance Co. (Cash Value Policy)	C	Dividend	M	T					
62. BB&T Money Market Account	A	Interest	M	T					
63. BB&T Bank Account	A	Interest	J	T					
64. Bank of America Account	A	Interest			Closed	06/19/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting	Date of Report
St. John, Stephen C.	06/10/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Attachment:

Additional Information

Part VII, Investments and Trusts:

Item 30: Item was previously listed as Sterling Capital Corporate Fund; Sterling Capital Corporate Fund changed its name to Sterling Capital Corporate Fund-I effective January 31, 2013.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

St. John, Stephen C.

Date of Report

06/10/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Stephen C. St. John**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544