

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Cox, Susan E.	2. Court or Organization US District Court	3. Date of Report 08/1/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Magistrate Judge -Full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 219 South Dearborn Ave. Room 1334 Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2015

Baxter International - Salary & Bonus

2. 2015

Baxalta Incorporated - Salary & Bonus

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wells Fargo Bank	Mortgage on Rental Property #1 - Bloomingdale, MI	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. First National Bank of LaGrange Accounts	A	Interest	K	T					
2. Chase Bank	A	Interest	J	T					
3. Wells Fargo Bank Account	A	Interest	J	T					
4. US Employees Credit Union Account	A	Interest	K	T					
5. Baxter International - Exercisable Stock Options		None	M	T	Distributed (part)	07/01/15	M	A	
6. Baxter International - Unvested Stock Options		None	J	T	Distributed (part)	07/01/15	L	A	
7. Baxter Int'l Common Stock	C	Dividend	M	T	Distributed (part)	07/01/15	L	A	
8. Baxalta Inc. - Exercisable Stock Options		None	N	T	Spinoff (from line 5)	07/01/15	M		
9. Baxalta Inc. - Unvested Stock Options		None	L	T	Spinoff (from line 6)	07/01/15	L		
10. Baxalta Inc. Common Stock	C	Dividend	M	T	Spinoff (from line 7)	07/01/15	L		
11. Edward Lifesciences Common		None	K	T					
12. Farm - Wilson County, KS (1/12th Interest)	A	Rent	K	W					
13. Rental Property #1 - Bloomingdale, MI (50% interest) (X)	A	Rent	M	R					
14. BAXALTA INC. 401K PLAN (X)									
15. Stable Income Fund	C	Dividend	M	T					
16. Composite Fund	A	Dividend			Sold	07/01/15	M	A	
17. S&P 500 Equity Index Fund	E	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. General Equity Fund	A	Dividend			Sold	07/01/15	K	A	
19. International EAFE Equity Index Fund	A	Dividend	L	T					
20. Target Retirement Fund	A	Dividend	M	T	Buy	07/01/15	M		
21. Small Cap Fund	A	Dividend	L	T					
22. Baxter Int'l Common	D	Dividend	M	T					
23. BROKERAGE ACCOUNT #1									
24. American Tower Corp	A	Dividend			Sold	09/23/15	J	A	
25. Berkshire Hathaway Inc C1 B		None	K	T	Buy (add'l)	09/23/15	J		
26. CSX Corp	A	Dividend	K	T					
27. EBAY Common		None			Sold (part)	07/07/15	J	A	
28.					Sold	09/23/15	J	D	
29. Energy Transfer Equity	A	Dividend	J	T	Buy	09/23/15	J		
30. Enterprise Products LP	A	Dividend	J	T					
31. Fidelity Cash Reserves Fund	A	Interest	J	T					
32. Fidelity Floating Rate High Income	A	Int./Div.	J	T					
33. Fidelity Select Industrials	A	Dividend			Sold	09/23/15	J	A	
34. Fidelity Select Natural Gas	A	Dividend			Sold	09/23/15	J	A	

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35. Fidelity Short Term Bond Fund	A	Interest	K	T	Buy (add'l)	09/23/15	J		
36. General Motors Corp	A	Dividend	K	T	Buy (add'l)	09/23/15	J		
37. HCP Inc	A	Dividend			Sold	09/23/15	K	A	
38. Kohlberg Kravis Roberts	A	Dividend	J	T					
39. Las Vegas Sands Corp	A	Dividend	J	T					
40. Matthews Asia Dividend	A	Dividend	J	T					
41. McDonalds Corp	A	Dividend			Sold	09/23/15	J	B	
42. Nestle	A	Dividend			Sold	09/23/15	J	A	
43. Northern Property Trust	A	Dividend	J	T					
44. Oakmark International	B	Dividend	K	T					
45. PayPal Holdings		None	J	T	Spinoff (from line 27)	07/07/15	J		
46. Pioneer High Yield Bond	A	Interest	J	T	Buy	09/23/15	J		
47. Starbucks	A	Dividend	K	T					
48. Symantec Corp	A	Dividend	J	T	Buy	09/23/15	J		
49. Toyota Motor Corp	A	Dividend	J	T					
50. BROKERAGE ACCOUNT #2									
51. Abbott Laboratories	A	Dividend	J	T					

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52. Alcatel Lucent ADR		None			Buy	02/27/15	J		
53.					Sold	09/23/15	J	A	
54. Apple Inc.	A	Dividend	K	T					
55. Bank of New York Mellon Corp	A	Dividend	J	T					
56. Baxalta Inc	A	Dividend	J	T	Spinoff (from line 57)	06/15/15	J		
57. Baxter Int'l	A	Dividend	J	T	Sold (part)	06/15/15	J	A	
58. Berkshire Hathaway Inc C1 B		None	J	T					
59. Canadian Imperial Bank of Commerce	A	Dividend	J	T					
60. Direxion 20+ Year Treasury Bear		None	J	T					
61. Dunkin Brands Group	A	Dividend			Buy	02/27/15	J		
62.					Sold	09/23/15	J	A	
63. EOG Resources Inc	A	Dividend			Buy	02/27/15	J		
64.					Sold	09/23/15	J	A	
65. Express Scripts		None	J	T					
66. FedEx Corp	A	Dividend	J	T					
67. Fidelity Convertible Securities	B	Int./Div.	J	T	Sold (part)	02/27/15	J	A	
68. Fidelity Floating Rate High Income	A	Int./Div.			Sold (part)	01/15/15	J	A	

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69.					Sold	02/27/15	J	A	
70. Fidelity Muni Money Market Fund	A	Interest	J	T					
71. Fidelity High Income	A	Int./Div.			Sold	12/30/15	J	A	
72. Fidelity Select Healthcare	A	Dividend	J	T	Buy	06/29/15	J		
73. Fidelity Short Term Bond	A	Interest	K	T	Buy	06/29/15	J		
74. First Trust Dow Jones Internet ETF	A	Dividend	J	T					
75. General Electric	A	Dividend	J	T					
76. Google Inc Cl A		None	J	T					
77. Ishares S&P US Preferred Stock Index	A	Dividend	K	T	Buy	06/26/15	J		
78. Ishares US Industrials	A	Dividend			Sold	09/23/15	J	A	
79. IBM Common	A	Dividend	J	T					
80. JPMorgan Chase	A	Dividend	K	T	Buy (add'l)	02/27/15	K		
81. Kohlberg Kravis Roberts	A	Dividend			Sold	10/26/15	J	A	
82. Magellan Midstream Partners	A	Dividend	K	T	Sold (part)	09/23/15	K	D	
83. Mainstay High Yield Muni Bond	A	Interest	K	T	Buy	06/29/15	K		
84.					Buy (add'l)	09/24/15	K		
85. Pioneer High Yield Bond	A	Interest	J	T					

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86. Powershares ETF Int'l Dividend	A	Dividend	J	T					
87. Rockwell Automation	A	Dividend			Buy	02/27/15	J		
88.					Sold	09/23/15	J	A	
89. Sanofi ADR	A	Dividend	J	T					
90. Target Corp	A	Dividend	J	T	Buy	09/01/15	J		
91. Unilife Corp		None			Buy	02/27/15	J		
92.					Sold	09/23/15	J	A	
93. United Parcel Services	A	Dividend	K	T					
94. Vanguard Total Bond ETF	A	Interest	K	T	Buy	09/23/15	K		
95. William Blair Small Cap Growth		None			Buy	02/27/15	J		
96.					Sold	09/23/15	J	A	
97. Wisdom Tree Europe Hedged Equity ETF	B	Dividend	J	T					
98. BROKERAGE ACCOUNT #3									
99. Apple Inc.	A	Dividend	J	T					
100. Direxion 20+ Year Treasury Bear		None	J	T					
101. Fidelity Blue Chip Growth	A	Dividend	J	T					
102. Fidelity Cash Fund	A	Interest	J	T					

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103. Fidelity Floating Rate High Income	A	Int./Div.			Sold	12/01/15	J	A	
104. Fidelity High Income	A	Int./Div.	J	T					
105. Fidelity Select Healthcare	A	Dividend	J	T	Buy	09/23/15	J		
106. Fidelity Select Natural Gas	A	Dividend			Sold	09/23/15	J	A	
107. Pioneer High Yield Bond	A	Interest	J	T					
108. S&P Midcap 400	A	Dividend	J	T					
109. BROKERAGE ACCOUNT #4									
110. Apple Inc.	A	Dividend	J	T					
111. Direxion 20+ Year Treasury Bear		None	J	T					
112. Fidelity Blue Chip Growth	A	Dividend	J	T					
113. Fidelity Cash Fund	A	Interest	J	T					
114. Fidelity High Income Fund	A	Int./Div.	J	T	Sold (part)	03/24/15	J	A	
115. Fidelity Select Natural Gas	A	Dividend			Sold	09/23/15	J	A	
116. Mainstay High Yield Muni	A	Interest	J	T	Buy	09/23/15	J		
117. S&P Midcap 400	A	Dividend	J	T					
118. BROKERAGE ACCOUNT #5									
119. Alcatel-Lucent ADR		None			Buy	06/03/15	J		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold	09/23/15	J	A	
121. American Express 5.3% 12/25 Bond	A	Interest			Buy	06/03/15	K		
122.					Sold	12/02/15	K	A	
123. Amgen Inc	A	Dividend	J	T	Buy	09/23/15	J		
124. Anadarko Petroleum	A	Dividend			Buy	06/03/15	J		
125.					Sold	09/23/15	J	A	
126. Apple Inc	A	Dividend	J	T	Buy	06/03/15	K		
127. Bank of America Corp	A	Dividend			Buy	06/03/15	J		
128.					Sold	06/04/15	J	A	
129. Blackstone Group	A	Dividend	J	T	Buy	06/26/15	J		
130.					Sold (part)	07/28/15	J	A	
131. Bristol Myers Squibb	A	Dividend			Buy	06/03/15	K		
132.					Sold	09/23/15	J	A	
133. Casey's General Stores	A	Dividend	K	T	Buy	06/03/15	J		
134. Caterpillar Inc	A	Dividend	J	T	Buy	06/03/15	J		
135. Chevron Corp	A	Dividend	J	T	Buy	06/26/15	J		
136. Danaher Corp	A	Dividend	J	T	Buy	06/03/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Cox, Susan E.

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08/1/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Dunkin Brands Group	A	Dividend			Buy	06/03/15	J		
138.					Sold	09/23/15	J	A	
139. EOG Resources		None			Buy	06/03/15	J		
140.					Sold	09/23/15	J	A	
141. Exxon Mobil Corp	A	Dividend	J	T	Buy	06/03/15	J		
142. Facebook		None	K	T	Buy	06/03/15	J		
143. FedEx Corp	A	Dividend	J	T	Buy	09/23/15	J		
144. FedEx Corp 8% 1/19 Bond	B	Interest	K	T	Buy	06/03/15	K		
145. Fidelity Cash Reserves	A	Interest	J	T	Buy	06/03/15	J		
146. Fidelity Convertible Securities	A	Int./Div.	J	T	Buy	09/23/15	J		
147. Fidelity Short Term Bond	A	Interest	J	T	Buy	06/26/15	J		
148. Fidelity Spartan 500 Index Inv	A	Dividend	K	T	Buy	12/24/15	K		
149. Freeport McMoran Copper & Gold	A	Dividend	J	T	Buy	06/03/15	J		
150. Google Inc Cl A		None	K	T	Buy	06/26/15	J		
151.					Buy (add'l)	09/23/15	J		
152. Ishares S&P US Preferred Stock	A	Dividend	J	T	Buy	09/23/15	J		
153. PJT Partners Inc	A	Dividend	J	T	Spinoff (from line 129)	07/28/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Cox, Susan E.

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08/1/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Praxair Inc	A	Dividend			Buy	06/03/15	J		
155.					Sold	09/23/15	J	A	
156. Procter & Gamble Co	A	Dividend	J	T	Buy	06/26/15	J		
157. Resmed Inc	A	Dividend			Buy	06/03/15	J		
158.					Sold	09/23/15	J	A	
159. Southern Co	A	Dividend			Buy	06/03/15	J		
160.					Sold	09/23/15	J	A	
161. Southwest Airlines	A	Dividend	K	T	Buy	06/26/15	J		
162. Starbucks Corp	A	Dividend	K	T	Buy	06/03/15	J		
163. Vanguard Total Bond ETF	A	Interest	K	T	Buy	09/23/15	K		
164. Verizon Communications	A	Dividend	K	T	Buy	06/26/15	J		
165.					Buy (add'l)	09/23/15	J		
166. William Blair Mid-Large Cap VA	A	Dividend			Buy	06/03/15	J		
167.					Sold	09/23/15	J	A	
168. William Blair Small Cap Growth	A	Dividend			Buy	06/03/15	J		
169.					Sold	09/23/15	J	A	
170. CUSTODIAL ACCOUNT #1									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Cox, Susan E.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. First National Bank of LaGrange Account	A	Interest	K	T					
172. CUSTODIAL ACCOUNT #2									
173. First National Bank of LaGrange Account	A	Interest	J	T					
174. IL Bright Directions 529 Plan #1 - Fund 80A	B	Int./Div.	K	T					
175. IL Bright Directions 529 Plan #2 - Fund 60A	B	Int./Div.	L	T					
176. TRUST #1									
177. Alcatel-Lucent	A	Dividend			Sold	02/27/15	J	A	
178. Bank of America	A	Dividend			Sold	02/27/15	J	A	
179. Dunkin Brands	A	Dividend			Sold	02/27/15	J	A	
180. EOG Resources	A	Dividend			Sold	02/27/15	J	A	
181. JP Morgan Chase	A	Dividend			Sold	02/27/15	L	B	
182. Rockwell Automation	A	Dividend			Sold	02/27/15	J	A	
183. Unilife Corp.	A	Dividend			Sold	02/27/15	J	A	
184. TRUST #2									
185. Alcatel-Alsthom	A	Dividend			Sold	03/13/15	J	A	
186. American Express Bond	A	Interest			Sold	03/13/15	M	A	
187. Anadarko Petroleum	A	Dividend			Sold	03/13/15	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Cox, Susan E.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Apple Inc.	A	Dividend			Sold	03/13/15	L	B	
189. Bank of America	A	Dividend			Sold	03/13/15	K	A	
190. Bristol Myers Squibb	A	Dividend			Sold	03/13/15	K	A	
191. Casey's General Stores	A	Dividend			Sold	03/13/15	K	A	
192. Caterpillar	A	Dividend			Sold	03/13/15	K	A	
193. Danaher	A	Dividend			Sold	03/13/15	K	B	
194. Dunkin Brands	A	Dividend			Sold	03/13/15	K	A	
195. EOG Resources	A	Dividend			Sold	03/13/15	K	A	
196. Exxon Mobil	A	Dividend			Sold	03/13/15	K	A	
197. Facebook	A	Dividend			Sold	03/13/15	K	B	
198. FedEx Bond	A	Interest			Sold	03/13/15	L	A	
199. Freeport McMoran	A	Dividend			Sold	03/13/15	K	B	
200. Praxair	A	Dividend			Sold	03/13/15	K	B	
201. Resmed	A	Dividend			Sold	03/13/15	L	A	
202. Southern Company	A	Dividend			Sold	03/13/15	K	A	
203. Starbucks	A	Dividend			Sold	03/13/15	K	B	
204. Wm. Blair Small Cap Fund	A	Dividend			Sold	03/13/15	K	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Cox, Susan E.

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08/1/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Wm. Blair Value Discovery	A	Dividend			Sold	03/13/15	K	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) Part I: In prior years, Filer was listed as "Custodian" of the two custodial accounts included in the Disclosure. However, the actual custodian is and has always been Filer's spouse. Accordingly, the position of "Custodian" for Filer has been deleted. The Custodial accounts continue to be included in the Disclosure.
- 2) Part III, B: Filer's spouse became an employee of Baxalta, Inc. 7/1/15 when Baxter Int'l spun-off Baxalta, Inc. as a separate entity.
- 3) Part VII, Line 13: This property was a personal residence in prior years and became a rental property 6/1/15. Property was originally purchased 11/25/09 for \$217,000. Filer owns a 50% interest.
- 4) Part VII, Line 14: Filer's spouse became an employee of Baxalta, Inc. 7/1/15 when Baxter Int'l spun-off Baxalta, Inc. as a separate entity. Filer's spouse's Baxter 401(k) Plan became the Baxalta Inc. 401k Plan 7/1/15.
- 5) Part VII, Lines 176-205: Filer's spouse became a beneficiary of Trusts #1 and #2 on 11/14/14 when his mother passed away, but was not Trustee and had no control over the assets. All of the assets in both Trusts #1 and #2 were transferred to the beneficiaries in 2015 and the Trusts ceased to exist. Filer's spouse's share of the Trusts was transferred to Brokerage Accounts #2 and #5 in 2015.

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Name of Person Reporting

Cox, Susan E.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Susan E. Cox

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544