

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Miller, Jr., Charles S.                                                                                                              | <b>2. Court or Organization</b><br><br>District of North Dakota                                                                                                                                                                                                                          | <b>3. Date of Report</b><br><br>05/12/2014                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>Magistrate Judge - full time                                                  | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2013<br>to<br>12/31/2013 |
| <b>7. Chambers or Office Address</b><br><br>220 East Rosser Ave<br>P.O. Box 670<br>Bismarck, ND 58502                                                                                                     |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|                                 |                      |
|---------------------------------|----------------------|
| 1. Director and President Elect | Missouri Valley YMCA |
| 2.                              |                      |
| 3.                              |                      |
| 4.                              |                      |
| 5.                              |                      |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|    |  |
|----|--|
| 1. |  |
| 2. |  |
| 3. |  |

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 13

Name of Person Reporting

Miller, Jr., Charles S.

Date of Report

05/12/2014

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME  
(yours, not spouse's)

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**IV. REIMBURSEMENTS** *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

5. \_\_\_\_\_

FINANCIAL DISCLOSURE REPORT

Page 3 of 13

Name of Person Reporting

Miller, Jr., Charles S.

Date of Report

05/12/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

| SOURCE | DESCRIPTION | VALUE |
|--------|-------------|-------|
| 1.     |             |       |
| 2.     |             |       |
| 3.     |             |       |
| 4.     |             |       |
| 5.     |             |       |

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

| CREDITOR | DESCRIPTION | VALUE CODE |
|----------|-------------|------------|
| 1.       |             |            |
| 2.       |             |            |
| 3.       |             |            |
| 4.       |             |            |
| 5.       |             |            |

# FINANCIAL DISCLOSURE REPORT

Page 4 of 13

Name of Person Reporting

Miller, Jr., Charles S.

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05/12/2014

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Wells Fargo Bank Accounts                                                                                                | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2.                                                                                                                          |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 3.                                                                                                                          |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 4. Brokerage #1                                                                                                             |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 5. --RTK--Common Stock                                                                                                      |                                         |                                               | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. --Fidelity Brokerage Services LLC Cash Account                                                                           | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 7.                                                                                                                          |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 8.                                                                                                                          |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 9. Brokerage #2                                                                                                             |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 10. --Schwab Money Market Fund                                                                                              |                                         |                                               | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 11.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 12.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 13. IRA #1                                                                                                                  | D                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 14. --Fidelity Contrafund                                                                                                   |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 15. --Fidelity Puritan Fund                                                                                                 |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 16.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 17.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |

|                         |                                 |                             |                               |                                |                        |
|-------------------------|---------------------------------|-----------------------------|-------------------------------|--------------------------------|------------------------|
| 1. Income Gain Codes:   | A ~\$1,000 or less              | B ~\$1,001 - \$2,500        | C ~\$2,501 - \$5,000          | D ~\$5,001 - \$15,000          | E ~\$15,001 - \$50,000 |
| (See Columns B1 and D4) | F ~\$50,001 - \$100,000         | G ~\$100,001 - \$1,000,000  | H1 ~\$1,000,001 - \$5,000,000 | H2 ~More than \$5,000,000      |                        |
| 2. Value Codes          | J ~\$15,000 or less             | K ~\$15,001 - \$50,000      | L ~\$50,001 - \$100,000       | M ~\$100,001 - \$250,000       |                        |
| (See Columns C1 and D3) | N ~\$250,001 - \$500,000        | O ~\$500,001 - \$1,000,000  | P1 ~\$1,000,001 - \$5,000,000 | P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes   | P3 ~\$25,000,001 - \$50,000,000 |                             | P4 ~More than \$50,000,000    |                                |                        |
| (See Column C2)         | Q = Appraisal                   | R = Cost (Real Estate Only) | S = Assessment                | T = Cash Market                |                        |
|                         | U = Book Value                  | V = Other                   | W = Estimated                 |                                |                        |

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Page 5 of 13

Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code I<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                             |   |           |   |   |                |          |   |   |  |
|---------------------------------------------|---|-----------|---|---|----------------|----------|---|---|--|
| 18. IRA #2 (spouse)                         | A | Int./Div. | L | T |                |          |   |   |  |
| 19. --Schwab Money Market Fund              |   |           |   |   |                |          |   |   |  |
| 20. --Schwab Cash Account                   |   |           |   |   |                |          |   |   |  |
| 21. --Artisan International Fund            |   |           |   |   | Buy            | 10/18/13 | J |   |  |
| 22. --PIMCO StocksPLUS Total Return Fd      |   |           |   |   | Sold<br>(part) | 03/12/13 | J | A |  |
| 23.                                         |   |           |   |   | Sold           | 06/12/13 | J | A |  |
| 24. --ProFunds Short NASDAQ 100 Fund        |   |           |   |   | Buy            | 11/07/13 | J |   |  |
| 25.                                         |   |           |   |   | Sold           | 12/17/13 | J |   |  |
| 26. --ProFunds Ultra Japan Fund             |   |           |   |   | Buy            | 11/15/13 | J |   |  |
| 27.                                         |   |           |   |   | Buy<br>(add'l) | 11/22/13 | J |   |  |
| 28. --ProFunds UltraShort Dow 30 Fund       |   |           |   |   | Buy            | 10/04/13 | J |   |  |
| 29.                                         |   |           |   |   | Sold           | 10/11/13 | J |   |  |
| 30. --Rydex Dynamic Strengthening US Dol Fd |   |           |   |   | Buy            | 05/14/13 | J |   |  |
| 31.                                         |   |           |   |   | Sold           | 06/05/13 | J |   |  |
| 32. --Rydex Energy Services Fund            |   |           |   |   | Buy            | 09/13/13 | J |   |  |
| 33.                                         |   |           |   |   | Sold           | 10/25/13 | J | A |  |
| 34. --Rydex Health Care Fund                |   |           |   |   | Buy            | 07/19/13 | J |   |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 6 of 13

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/01/13                | J                               |                                |                                                                   |
| 36.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 11/01/13                | J                               | A                              |                                                                   |
| 37. --Rydex Inverse Mid Cap Fund                                                                                            |                                         |                                               |                                                 |                                           | Buy                                             | 04/04/13                | J                               |                                |                                                                   |
| 38.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 04/08/13                | J                               |                                |                                                                   |
| 39.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 04/17/13                | J                               | A                              |                                                                   |
| 40.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 07/02/13                | J                               |                                |                                                                   |
| 41.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 07/11/13                | J                               |                                |                                                                   |
| 42. --Rydex Inverse NASDAQ 100 Fund                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 06/13/13                | J                               |                                |                                                                   |
| 43.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/30/13                | J                               |                                |                                                                   |
| 44. --                                                                                                                      |                                         |                                               |                                                 |                                           | Sold                                            | 09/10/13                | J                               |                                |                                                                   |
| 45. --Rydex Inverse S&P 500 Strategy Fund                                                                                   |                                         |                                               |                                                 |                                           | Buy                                             | 02/26/13                | J                               |                                |                                                                   |
| 46.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 03/05/13                | J                               |                                |                                                                   |
| 47.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 05/16/13                | J                               |                                |                                                                   |
| 48.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 10/18/13                | J                               |                                |                                                                   |
| 49. --Rydex Leisure Fund                                                                                                    |                                         |                                               |                                                 |                                           | Buy                                             | 07/09/13                | J                               |                                |                                                                   |
| 50. --Rydex Nova Fund                                                                                                       |                                         |                                               |                                                 |                                           | Buy                                             | 03/06/13                | J                               |                                |                                                                   |
| 51.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 05/02/13                | J                               | A                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 7 of 13

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52. --Rydex Precious Metals Fund                                                                                            |                                         |                                               |                                                 |                                           | Buy                                             | 05/22/13                | J                               |                                |                                                                   |
| 53.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/05/13                | J                               |                                |                                                                   |
| 54.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 07/16/13                | J                               |                                |                                                                   |
| 55. --Rydex S&P Mid Cap 400 Pure Growth                                                                                     |                                         |                                               |                                                 |                                           | Sold                                            | 02/26/13                | J                               | A                              |                                                                   |
| 56. --Rydex NASDAQ-100 Fund                                                                                                 |                                         |                                               |                                                 |                                           | Buy                                             | 03/08/13                | J                               |                                |                                                                   |
| 57.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 03/25/13                | J                               |                                |                                                                   |
| 58. --Rydex S&P Small Cap 600 Pure Value                                                                                    |                                         |                                               |                                                 |                                           | Buy                                             | 01/17/13                | J                               |                                |                                                                   |
| 59.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 02/07/13                | J                               | A                              |                                                                   |
| 60. --Rydex S&P 500 Index Fd                                                                                                |                                         |                                               |                                                 |                                           | Sold                                            | 06/21/13                | J                               | B                              |                                                                   |
| 61.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy                                             | 12/20/13                | J                               |                                |                                                                   |
| 62. --Rydex S&P 500 Pure Growth Fd                                                                                          |                                         |                                               |                                                 |                                           | Buy                                             | 03/05/13                | J                               |                                |                                                                   |
| 63.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 07/26/13                | J                               | A                              |                                                                   |
| 64. --SunAmerica Focused Dividend Strategy<br>Fd                                                                            |                                         |                                               |                                                 |                                           | Buy                                             | 01/06/13                | J                               |                                |                                                                   |
| 65.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 07/26/13                | J                               | A                              |                                                                   |
| 66. --Tocqueville Gold Fund                                                                                                 |                                         |                                               |                                                 |                                           | Buy                                             | 10/25/13                | J                               |                                |                                                                   |
| 67. --Weitz Partners Value Fd                                                                                               |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 03/12/13                | J                               | A                              |                                                                   |
| 68.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 09/27/13                | J                               | C                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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Page 8 of 13

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 70. SEP (spouse)                                                                                                            | A                                       | Int./Div.                                     | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 71. --(Same funds and transactions as IRA #2)                                                                               |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 72.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 73.                                                                                                                         |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 74. Wells Fargo Rollover C/F - Rollover IRA                                                                                 | E                                       | Int./Div.                                     | O                                               | T                                         | Distributed<br>(part)                           | 05/13/13                | K                               |                                |                                                                   |
| 75. --Wells Fargo Cash Sweep Account                                                                                        |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 76. --Vanguard Energy ETF (VDE)                                                                                             |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 77. --AA                                                                                                                    |                                         |                                               |                                                 |                                           | Sold                                            | 08/13/13                | J                               |                                |                                                                   |
| 78. --AAPL                                                                                                                  |                                         |                                               |                                                 |                                           | Buy                                             | 06/04/13                | K                               |                                |                                                                   |
| 79.                                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/14/13                | K                               |                                |                                                                   |
| 80.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/19/13                | J                               | C                              |                                                                   |
| 81. --ABBV                                                                                                                  |                                         |                                               |                                                 |                                           | Spinoff<br>(from line 83)                       | 01/02/13                | J                               |                                |                                                                   |
| 82.                                                                                                                         |                                         |                                               |                                                 |                                           | Sold                                            | 01/18/13                | J                               | A                              |                                                                   |
| 83. --ABT                                                                                                                   |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 84. --ANW                                                                                                                   |                                         |                                               |                                                 |                                           | Sold                                            | 06/04/13                | J                               | B                              |                                                                   |
| 85. --BKS                                                                                                                   |                                         |                                               |                                                 |                                           | Buy                                             | 11/26/13                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 9 of 13

Name of Person Reporting

Miller, Jr., Charles S.

Date of Report

05/12/2014

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 86. --BMO                                                                                                                   |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 87. --BNS                                                                                                                   |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 88. --CAG                                                                                                                   |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/16/13                | J                               | A                              |                                                                   |
| 89. --CSCO                                                                                                                  |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/16/13                | J                               | A                              |                                                                   |
| 90. --EMR                                                                                                                   |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/16/13                | J                               | C                              |                                                                   |
| 91. --ETN                                                                                                                   |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/16/13                | J                               | C                              |                                                                   |
| 92. --FCX                                                                                                                   |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 93. --GIS                                                                                                                   |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 94. --GLW                                                                                                                   |                                         |                                               |                                                 |                                           | Sold                                            | 10/30/13                | J                               | B                              |                                                                   |
| 95. --HCBK                                                                                                                  |                                         |                                               |                                                 |                                           | Sold                                            | 06/14/13                | J                               | C                              |                                                                   |
| 96. --HPQ                                                                                                                   |                                         |                                               |                                                 |                                           | Sold                                            | 01/07/13                | J                               | A                              |                                                                   |
| 97. --HRL                                                                                                                   |                                         |                                               |                                                 |                                           | Buy                                             | 01/07/13                | J                               |                                |                                                                   |
| 98. --INTC                                                                                                                  |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 99. --JNJ                                                                                                                   |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/26/13                | J                               | C                              |                                                                   |
| 100. --KO                                                                                                                   |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/26/13                | J                               | B                              |                                                                   |
| 101. --LEG                                                                                                                  |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 102. --MCD                                                                                                                  |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/26/13                | J                               | B                              |                                                                   |

|                                                  |                                                                  |                                                      |                                                             |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------|------------------------------------------------------|-------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                    | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H1 ~\$1,000,001 - \$5,000,000       | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000                  | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000    | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | P3 ~\$25,000,001 - \$50,000,000<br>Q ~Appraisal<br>U ~Book Value | R ~Cost (Real Estate Only)<br>V ~Other               | P4 ~More than \$50,000,000<br>S ~Assessment<br>W ~Estimated | T ~Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

Page 10 of 13

Name of Person Reporting

Miller, Jr., Charles S.

Date of Report

05/12/2014

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103. --MMM                                                                                                                  |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/26/13                | J                               | C                              |                                                                   |
| 104. --MSFT                                                                                                                 |                                         |                                               |                                                 |                                           | Sold                                            | 08/23/13                | J                               | C                              |                                                                   |
| 105. --NAT                                                                                                                  |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 11/01/13                | J                               |                                |                                                                   |
| 106. --NSC                                                                                                                  |                                         |                                               |                                                 |                                           | Buy                                             | 01/09/13                | J                               |                                |                                                                   |
| 107. --PBR                                                                                                                  |                                         |                                               |                                                 |                                           | Buy                                             | 07/17/13                | J                               |                                |                                                                   |
| 108.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 11/26/13                | J                               | A                              |                                                                   |
| 109. --PG                                                                                                                   |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 110. --PRGN                                                                                                                 |                                         |                                               |                                                 |                                           | Sold                                            | 06/04/13                | J                               | B                              |                                                                   |
| 111. --RY                                                                                                                   |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 112. --SIM                                                                                                                  |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 113. --SORL                                                                                                                 |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 03/05/13                | J                               |                                |                                                                   |
| 114.                                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 08/13/13                | J                               | A                              |                                                                   |
| 115. --SYU                                                                                                                  |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/26/13                | J                               | B                              |                                                                   |
| 116. --TEVA                                                                                                                 |                                         |                                               |                                                 |                                           | Sold                                            | 11/26/13                | J                               |                                |                                                                   |
| 117. --TRGT                                                                                                                 |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 118. --TRST                                                                                                                 |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/26/13                | J                               | B                              |                                                                   |
| 119. --TSCDY                                                                                                                |                                         |                                               |                                                 |                                           | Sold                                            | 10/30/13                | J                               | B                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

Page 11 of 13

Name of Person Reporting

Miller, Jr., Charles S.

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120. --UNP                                                                                                                  |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/26/13                | J                               | C                              |                                                                   |
| 121. --UTX                                                                                                                  |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 03/05/13                | J                               | A                              |                                                                   |
| 122. --XLNX                                                                                                                 |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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# FINANCIAL DISCLOSURE REPORT

Page 12 of 13

Name of Person Reporting

Miller, Jr., Charles S.

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII

Line 119 - error in omitting acquisition in October 2012. Error did not affect the totals for the Rollover IRA for 2012.

# FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

Miller, Jr., Charles S.

Date of Report

05/12/2014

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Charles S. Miller, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544