

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Levy, Robert M.	2. Court or Organization USDC EDNY	3. Date of Report 01/08/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 225 Cadman Plaza East Brooklyn, NY 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Professor of Law	New York University School of Law
2. Adjunct Professor of Law	Brooklyn Law School
3. Lecturer in Law	Columbia Law School
4. Limited Partner	Fourlee Partnership
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. ongoing	ACLU Employee Retirement Plan
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	New York University School of Law	\$14,000.00
2. 2013	Columbia Law School	\$7,605.00
3. 2013	Brooklyn Law School	\$5,350.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase	credit card	K
2.	Citibank	credit card	J
3.	Mass Mutual	policy loan	J
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Mass Mutual Life Ins--MML Gr Allocn	A	Dividend	J	T					
2. Mass Mutual Life Ins	A	Dividend	K	T					
3. Mass Mutual Life Ins	A	Dividend	K	T					
4. Mass Mutual Life Ins	A	Dividend	J	T					
5. Mass Mutual Life Ins	B	Dividend	K	T					
6. Mass Mutual Life Ins	C	Dividend	L	T					
7. Mass Mutual Variable Life MMI Equity	A	Dividend	J	T					
8. Mass Mutual Life	A	Dividend	J	T					
9. Four Lee Limited Partnership	C	Int./Div.	L	T					
10. Deferred Compensation Plan	E	Dividend	N	T					
11. -Copeland Stable Income Fd " "	B	Dividend	M	T	Sold (part)	02/20/13	L	A	
12. - " " "	B	Dividend	M	T	Buy (add'l)	10/30/13	M		
13. -Fidelity OTC	C	Dividend	L	T	Buy	10/30/13	L		
14. -Vanguard Wellington	A	Dividend	L	T	Buy	02/20/13	L		
15. -TRowePr Retirement 2015	A	Dividend			Sold (part)	02/20/13	L	B	
16. " " "	A	Dividend			Sold	07/26/13	L	A	
17. -TRowePr Retirement 2020	C	Dividend	M	T	Buy	02/20/13	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -TrowePrRetirement 2025	B	Dividend	L	T	Buy	07/26/13	L		
19. -Vangrd Small Cap Indx Inst	A	Dividend	J	T	Sold (part)	10/30/13	L	B	
20. -Vangrd Prm Cap Adml	A	Dividend			Buy	04/08/13	L		
21. -Vangrd Prm Cap Adml	B	Dividend			Sold	10/30/13	L	C	
22. IRA: Stifel Nicolaus	B	Dividend	K	T					
23. -Oppenheimer Dvlp Mrkts FD CLA	A	Dividend	J	T					
24. Incapital Zack Sel EQ Incm 3q1332 Mo Ca	A	Dividend	J	T	Buy	08/16/13	J		
25. Invesco Unit 1320	A	Dividend	J	T	Buy	05/30/13	J		
26. First Trust 3738 Dur Plus 62	A	Dividend	J	T	Buy	01/16/13	J		
27. First Trust 3749 Dur Plus 63	A	Dividend	J	T					
28. First Trust 4159 Sabrient Div Mo Ca	A	Dividend	J	T	Buy (add'l)	05/30/13	J		
29. First Trust 4159 Sabrient Div Mo Ca	A	Dividend	J	T	Buy	04/19/13	J		
30. First Trust Sub 10 Div 9 Mo Ca	A	Dividend	J	T	Buy	07/19/13	J		
31. -First Eagle FD of America Cl A	A	Dividend	J	T					
32. -IVA Fiducary Trust IVA Worldwide Fd Class A	A	Dividend	J	T					
33. -Nuveen Investment Trust TradeWinds Val Opps FD CL A	A	Dividend	J	T					
34. -Advisors Disciplined 852 Inflation Incm Strat SER 2012-1	A	Dividend			Sold	07/03/13	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -Advisors Disciplined TR Unit 734 Blue Chip Ser 2011-12	A	Dividend			Sold	07/03/13	J	A	
36. -Biovest	A	Dividend	J	T					
37. Ameriprise	D	Dividend	M	T					
38. -Pimco Total Return	A	Dividend	J	T	Sold (part)	11/07/13	J	A	
39. -MFS Value A	A	Dividend			Sold	11/07/13	J	A	
40. -CNL Properties (REIT)	C	Distribution	K	T					
41. -Eaton Vance Strategic Income A	A	Dividend	J	T					
42. Janus Bal A	A	Dividend	J	T					
43. Mut Global Discovery	A	Dividend	J	T					
44. Fidelity Contrafund	A	Dividend			Sold	11/06/13	J	A	
45. -Fidelity Adv Small Cap-A	A	Dividend			Sold	11/07/13	J	A	
46. -Fid Adv Strat Income-A	A	Dividend	J	T					
47. -Fid Adv New Insights	A	Dividend	J	T					
48. -Thorn Intl Val-A	A	Dividend			Sold	08/09/13	J	A	
49. -1st Eagle Fund	A	Dividend	J	T					
50. -Oppenheimer Developing Mkts-A	A	Dividend			Sold	11/07/13	J	A	
51. -Doubleline Tot Ret Bd-N Doubleline Fds	A	Dividend	J	T					

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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52. -Perm Portfolio	A	Dividend			Sold	11/06/13	J	A	
53. -American Century Diversified Bond	A	Dividend			Sold	11/06/13	J	A	
54. Managers Yacktman Service	A	Dividend	J	T	Buy	01/16/13	J		
55. -Franklin Income CL A	A	Dividend	J	T	Buy	11/07/13	J		
56. -Lord Abett Short Duration Income C1 A	A	Dividend	J	T	Buy	11/07/13	J		
57. -Trans America Short Term Bond	A	Dividend	J	T	Buy	11/07/13	J		
58. -Virtus Multi Sector Short Term Bond	A	Dividend	J	T	Buy	11/07/13	J		
59. Rental of Second Home, Suffolk County, NY	E	Rent	O	W					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In response to the Committee's inquiries,

1) re: part VII, p. 6, line 43 "Mut Glob Discovery, this fund was purchased in 2010 and inadvertently omitted.

2) in part VII, p. 5, line 19 of my (prior) 2012 report I inadvertently reported a "partial sale" of Federated TT1 Ret Govt IS, but correctly reported no gross value at the end of the 2012 reporting period. This should have been a total sale. There is no gross value in the current (2013) reporting period.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robert M. Levy**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544