

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) HUFF, MARILYN L.	2. Court or Organization USDC, SOUTHERN DIST. OF CALIF.	3. Date of Report 10/09/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) SENIOR DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 333 W. BROADWAY SUITE 1510 SAN DIEGO, CA 92101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust # 1 Note: Trust assets generally listed by (T) in Part VII
2. President	Federal Judges Association
3. American Inns of Court Louis Welsh Chapter	Executive Committee
4. ABTL--San Diego Chapter	Board Member
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 20

Name of Person Reporting

HUFF, MARILYN L.

Date of Report

10/09/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 1/17-12/17	Legal Training Consultant
2. 1/17-12/17	DLA Lawyer Compensation
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Federal Judges Association	5/23/17-5/25/17	Washington, D.C.	Board Meeting	Travel, Lodging, Food
2. University of Texas Law School	2/16/-2/18/17	Austin, TX	Speaker/Seminar	Travel, Food, Lodging
3. Federal Magistrate Judges Association	7/31-8/1	Chicago, IL	Board Meeting	Travel, Food, Lodging
4. ABA	10/11-10/12	Long Beach, CA.	Speaker/Seminar	Travel, Food, Lodging
5. Federal Judges Association	11/11-11/16	Santiago, Chile	IAJ Conference	Travel, Food, Lodging

FINANCIAL DISCLOSURE REPORT

Page 3 of 20

Name of Person Reporting

HUFF, MARILYN L.

Date of Report

10/09/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 20

Name of Person Reporting

HUFF, MARILYN L.

Date of Report

10/09/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Energy Transfer Partners (T)	A	Dividend	J	T					
2. Suncoke Energy (T)	A	Dividend	J	T					
3. Sunoco Logistic Partners (T)	A	Dividend	J	T	Spinoff (from line 2)	04/28/17	J		
4. Wells Fargo Accounts-SDCA (T) and 1	B	Interest	P1	T					
5. 401k & Profit Sharing * Total Value									
6. Sched of Assets & Transactions									
7. Vanguard Total Intl Stock In	D	Dividend	M	T	Sold (part)	06/14/17	K	D	
8. Vanguard Bond Ind Adm	E	Interest	O	T	Buy (add'l)	12/06/17	N		
9. Vanguard ST Bond Index Adm	E	Interest	O	T	Buy (add'l)	12/06/17	M		
10. Vanguard S&P 500 Adm	D	Dividend	O	T	Sold (part)	06/14/17	L	B	
11. Vanguard S&P 500 Adm	D	Dividend	O	T	Sold (part)	12/06/17	N	D	
12. Vanguard Value Index Adm	C	Dividend	M	T	Sold (part)	01/20/17	K	D	
13. Vanguard Growth Index Adm	B	Dividend	M	T	Sold (part)	01/17/17	K	E	
14. Vanguard Sm. Cap Stock Index Adm	C	Dividend	M	T	Sold (part)	01/13/17	K	D	
15. Vanguard Sm. Cap Stock Index Adm	C	Dividend	M	T	Sold (part)	12/06/17	L	D	
16. Dodge & Cox Intl.	B	Dividend	L	T					
17. Fidelity/Spartan Ext. Market	A	Dividend	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 20

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18. Fidelity/Spartan Ext. Market	B	Distribution	L	T					
19. Bank of Oklahoma Trust Services Cash (X)			K	T					
20. Vanguard Intl. Growth Port. Adm	A	Dividend	K	T	Sold (part)	11/29/17	K	D	
21. Vanguard Int'l. Growth Port Adm	A	Dividend	K	T	Sold (part)	12/06/17	L	E	
22. Pimco Foreign Hedged	B	Interest	M	T	Sold (part)	01/17/17	L		
23. Pimco Foreign Hedged	B	Interest	M	T	Sold (part)	11/29/17	K	D	
24. Vanguard Windsor II Adm	B	Dividend	M	T					
25. Vanguard Sm.Value Ind. Adm	C	Dividend	M	T	Sold (part)	12/05/17	L	E	
26. I Shares MSCI EFTem Mkts	B	Dividend	L	T					
27. I Shares S&P 600 Sm. Cap Growth Index	A	Dividend	L	T					
28. Vanguard High Yield Adm	D	Interest			Sold	12/06/17	M	E	
29. Vanguard Large Cap ETF	C	Dividend	N	T					
30. Vanguard Infl. Prot.	C	Interest	M	T	Buy (add'l)	12/06/17	K		
31. Vanguard ST Infl Prot Adm	B	Interest	M	T					
32. Pimco Low Duration	A	Interest	L	T					
33. I Shares Micro cap EFT	A	Dividend	L	T	Sold (part)	01/24/17	J	C	
34. Wisdom Tree Int'l. ETF	A	Dividend	K	T	Sold (part)	01/24/17	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 20

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35. CH Cash Management (AP)	A	Interest	L	T	Distributed (part)	01/18/17	M	A	
36. CH Cash Management (AP)	A	Interest	L	T	Distributed (part)	01/20/17	K	A	
37. CH Cash Management (AP)	A	Interest	L	T	Distributed (part)	01/24/17	K	A	
38. CH Cash Management (AP)	A	Interest	L	T	Distributed (part)	02/16/17	K	A	
39. CH Cash Management (AP)	A	Interest	L	T	Distributed (part)	03/17/17	K	A	
40. CH Cash Management (AP)	A	Interest	L	T	Distributed (part)	04/14/17	K	A	
41. CH Cash Management (AP)	A	Interest	L	T	Distributed (part)	05/17/17	K	A	
42. CH Cash Management (AP)	A	Interest	L	T	Distributed (part)	06/16/17	K	A	
43. CH Cash Management (AP)	A	Interest	L	T	Distributed (part)	07/17/17	K	A	
44. CH Cash Management (AP)	A	Interest	L	T	Distributed (part)	08/17/17	K	A	
45. CH Cash Management (AP) n	A	Interest	L	T	Distributed (part)	09/25/17	K	A	
46. CH Cash Management (AP)	A	Interest	L	T	Distributed (part)	10/16/17	K	A	
47. CH Cash Management (AP)	A	Interest	L	T	Distributed (part)	11/17/17	K	A	
48. Vanguard Emerging Mkts ETF	C	Interest	M	T					
49. Vanguard ST Inv. Gr. Adm	D	Interest	O	T	Buy (add'l)	12/06/17	L		
50. Vanguard Dev. Mkts Adm	C	Dividend	M	T	Buy (add'l)	12/06/17	K		
51. Vanguard Emerg. Mkts. Adm	D	Dividend	M	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 20

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52. Vanguard Intl. Value	C	Dividend	M	T	Sold (part)	01/17/17	K	B	
53. Vanguard Int'l. Value	C	Dividend	M	T	Buy (add'l)	12/06/17	L		
54. Vanguard Sm FtSE Ex US ETF	B	Dividend	L	T					
55. Equity (Sterling) Trust Co. IRA mm Phair RE (First Reg. Bank)	B	Distribution	K	T					
56. END OF ASSETS IN 401(k)									
57. IRA Am. Gen. (Western National)	B	Interest	K	T					
58. Charles Schwab IRA See below									
59. SCHEDULE OF ASSETS & TRANSACTIONS									
60. Marathon Petroleum	A	Dividend	K	T					
61. Eli Lilly	A	Dividend	K	T					
62. Alcoa Inc.		None	J	T					
63. Arconic Inc.	A	Dividend	J	T					
64. Pimco Hi Yld Inst.	A	Interest			Sold	06/13/17	K		
65. Vanguard Emerg. Govt ETF	B	Interest	K	T	Sold (part)	09/20/17	K	C	
66. Marathon (USX) Oil	A	Dividend	L	T					
67. DFA 5 Yr. Global	A	Interest	K	T	Buy (add'l)	01/13/17	L		
68. DFA U.S. Micro Cap	A	Dividend	M	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 20

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69. DFA Int. Val.	B	Dividend	L	T					
70. DFA Int. Lg. Cap. Port.	B	Dividend	L	T					
71. DFA Lg. Cap. Val. US	A	Dividend	K	T					
72. DFA Int. Sm. Cap. Val.	A	Dividend	K	T					
73. DFA Int. Small Cap	A	Dividend	K	T					
74. Vanguard Int. Growth Ad	A	Dividend	K	T					
75. Vanguard Growth Index Adm	A	Dividend	L	T					
76. Schwab Money Market - H	A	Interest	K	T					
77. Home Depot	A	Dividend	K	T					
78. Vanguard ST Infl. Prot	A	Interest	K	T					
79. Vanguard Hi Yld Corp.	A	Interest			Buy	06/13/17	K		
80. Vanguard Hi Yld Corp.	A	Interest			Sold	09/20/17	K	A	
81. Vanguard Infl.	A	Interest	K	T					
82. Vanguard Short Term Bd Adm	C	Interest	M	T					
83. Vanguard GNMA	A	Interest			Sold	01/17/17	K	A	
84. DFA Emerging Core Eq	A	Dividend	K	T					
85. Vanguard Ex. Mkt. Adm	A	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 20

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86. Vanguard ST Invest Adm	B	Interest	L	T					
87. Vanguard Bond Mkt. Ind.	C	Interest	M	T	Buy	09/20/17	M		
88. DFA US Sm. Cap. Value	A	Dividend	K	T					
89. AQR Sm M	A	Dividend			Sold	09/20/17	K	D	
90. AQR M Large	A	Dividend			Sold	09/20/17	L	D	
91. DFA US Large Cap.	B	Dividend	M	T					
92. DFA US Small Cap. Value	A	Dividend	K	T					
93. DFA Real Estate	A	Dividend	K	T					
94. DFA Intl. REIT	A	Dividend	J	T					
95. Pimco Low Duration	A	Interest	K	T					
96. DFA Two Yr. Global	C	Interest	M	T					
97. Stone Ridge Reinsurance		None	M	T	Buy (add'l)	09/20/17	K		
98. END OF ASSETS IN IRA-MLH									
99. Schwab Cal Muni Mut Fund	C	Interest	M	T					
100. GCWF (A-E)Invest. Partners I		None	J	T					
101. GCWF (F-N)Invest Partners II		None	K	T					
102. DLA Piper Venture 2006		None	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 10 of 20

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103. Onco Fluor, Inc. (Fluro Probe) (T)		None	J	W					
104. Schwab BFT Assets (T) Listed Below									
105. Vanguard CA Inst Adm (T)	C	Interest	M	T					
106. Altria (T)	B	Dividend	K	T					
107. Kraft/Heinz (T)	A	Dividend	J	T					
108. Mondelez Int. (T)	A	Dividend	J	T					
109. Extreme Networks (T)		None	J	T					
110. Halyard Health (T)		None	J	T					
111. SPDR Dow Jones (DIA) Ind (T)	D	Dividend	N	T	Buy (add'l)	02/28/17	K		
112. DFA US Micro Cap Port (T)	A	Dividend	K	T					
113. DFA Real Estate (T)	C	Dividend	L	T					
114. Mid Amer Apt. Cmnty (T)	B	Dividend	L	T					
115. DFA Intl. REIT (T)	A	Interest	K	T	Buy (add'l)	01/17/17	L		
116. Vanguard Ind. ex US RE ETF (T)	B	Dividend	K	T					
117. Starbucks (T)	B	Dividend	L	T					
118. Pure Funds Cyber ETF (T)		None			Sold	01/03/17	K		
119. Cisco Systems (T)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 20

Name of Person Reporting

HUFF, MARILYN L.

Date of Report

10/09/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Quality Systems, Inc. (T)		None	J	T					
121. Occidental Pet.(T)	B	Dividend	K	T					
122. Claymore Guggenheim Strat. (T)	A	Int./Div.	K	T	Buy (add'l)	06/02/17	J		
123. Claymore Guggenheim Strat. (T)	A	Int./Div.	K	T	Buy (add'l)	07/05/17	J		
124. Claymore Guggenheim Strat. (T)	A	Int./Div.	K	T	Buy (add'l)	08/02/17	J		
125. Claymore Guggenheim Strat. (T)	A	Int./Div.	K	T	Buy (add'l)	09/05/17	J		
126. Claymore Guggenheim Strat. (T)	A	Int./Div.	K	T	Buy (add'l)	10/04/17	J		
127. Claymore Guggenheim Strat. (T)	A	Int./Div.	K	T	Buy (add'l)	11/01/17	J		
128. Claymore Guggenheim Strat. (T)	A	Int./Div.	K	T	Buy (add'l)	12/01/17	J		
129. Guggenhm Spinoff ETF (T)	A	Dividend	K	T					
130. Pro Sh. S&P 500 ETF (T)	A	Dividend	K	T					
131. Vanguard Intl. Growth FD Adm (T)	A	Dividend	L	T	Buy	06/16/17	J		
132. Vanguard Intl. Growth FD Inv. (T)		None			Buy	05/26/17	J		
133. Vanguard Intl. Growth FD Inv. (T)		None			Sold	06/16/17	J		
134. Powershares S&P 400 Div. Arist (T)	A	Dividend	K	T	Buy	02/22/17	K		
135. ISH Index/Core SP 500 (T)	B	Dividend	M	T					
136. ISH Index FD TR Msci/EAFE (T)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 20

Name of Person Reporting

HUFF, MARILYN L.

Date of Report

10/09/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. ISH S&P Mid Cap 400 (T)	A	Dividend	M	T	Buy (add'l)	02/28/17	K		
138. ISH S&P Sm Cap 600 ETF (T)	A	Dividend	J	T					
139. ISH Trust/ Core High Div ETF (T)	B	Dividend	L	T					
140. ISH HY YLD Corp ETF F/K/A ISH Trust (T)	A	Interest	J	T					
141. Allianz Div. Fund (T)	A	Dividend	K	T					
142. RSB IRA/Schwab Rollover Morgan Stanley (ASSETS BELOW)									
143. Mod. Growth (IRA)(TA) (IDEX)	E	Distribution			Sold	01/17/17	L	B	
144. Morgan Stanley (Smith Barney) MM	A	Interest			Sold	01/17/17	J	D	
145. Vanguard Hi Yield Ad.	A	Dividend			Buy	01/19/17	J		
146. Vanguard Hi Yield Ad.	A	Dividend			Sold	09/21/17	K	A	
147. Vanguard ST Infl. Prot.	A	Dividend	J	T	Buy	01/19/17	J		
148. Vanguard Total Bond Mkt.	A	Interest	K	T	Buy	09/21/17	K		
149. DFA 2 yr. Glb. Fix Inc.	A	Interest	K	T	Buy	01/18/17	K		
150. Vanguard ST Bond Ind.	A	Interest	J	T	Buy	01/23/17	J		
151. END OF ASSETS IN RSB IRA									
152. Vanguard Div. App. ETF	A	Dividend	K	T	Buy	02/16/17	K		
153. Power Shares High Yld. Eq. ETF (T)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 20

Name of Person Reporting

HUFF, MARILYN L.

Date of Report

10/09/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Power Shares QQQ Trl ETF (T)		None	N	T					
155. Kimberly Clark (T)	B	Dividend	K	T					
156. Vanguard Windsor II Adm (T)	A	Dividend	K	T					
157. Vanguard Windsor II Inv. (T)	B	Dividend	J	T	Buy	04/01/15	J		
158. USE Credit Union SDCA Accts	A	Interest	J	T					
159. Vanguard CA MM(T)	C	Interest	O	T					
160. Coronado First Bank/Cal Private Bancorp (T)		None	M	T					
161. Vanguard Life Strategy (T)	B	Dividend	L	T					
162. Schwab US Div Eq ETF (T)	A	Dividend	K	T					
163. Illumina (T)		None	M	T					
164. Becton Dickinson (T)	A	Dividend	J	T					
165. SPDR Dow Jones S&P 500 ETF (T)	D	Dividend	N	T					
166. SPDR DOW Jones S&P Div ETF (T)	B	Dividend	N	T	Sold (part)	03/31/17	J	A	
167. SPDR S & P Div ETF	B	Dividend	N	T	Sold (part)	04/05/17	J	D	
168. SPDR Em Mkts Div ETF (T)	A	Dividend	K	T					
169. Philip Morris (T)	B	Dividend	L	T					
170. Raytheon (T)	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 20

Name of Person Reporting

HUFF, MARILYN L.

Date of Report

10/09/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Chase Accts./JP Morgan (T)	A	Interest	N	T					
172. CitiBank Accts (T)	A	Interest	N	T					
173. US Bank (T) (SD Nat'l Bank) (T)	A	Interest	N	T					
174. Union Bank Acct (T)		None	K	T					
175. Clorox (T)	B	Dividend	L	T					
176. Andersons (T)	B	Dividend	L	T					
177. Pepsico (T)	B	Dividend	K	T					
178. Coca Cola (T)	B	Dividend	L	T					
179. Cal. B & T Acct (T)	A	Interest	N	T					
180. Stoneridge LM LLC (T)	D	Distribution	L	T					
181. Enclave Lake Murray (T)	D	Distribution	L	T					
182. Overton (T)		None	M	T	Buy	11/30/17	M		
183. Artesia Escondido(T)	D	Distribution	M	T					
184. Whitson/Warwick (T)		None	M	T					
185. Sterling Apts (T)	D	Distribution	L	T					
186. MMB Investments (T)		None	K	T					
187. American Express (T)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 20

Name of Person Reporting

HUFF, MARILYN L.

Date of Report

10/09/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Wal Mart (T)	B	Dividend	L	T					
189. Vanguard FTSE Dev ETF (T)	B	Dividend	L	T					
190. SPDR Emg Mkt Eq EFT (T)	A	Dividend	K	T					
191. Cal. Resources Corp. (T)		None	J	T					
192. PV Opportunity Fund III (T)	D	Distribution	L	T					
193. PV Opportunity Fund IV (T)	D	Interest	L	T					
194. PV Opportunity Fund V (T)		None	J	T	Buy	05/08/17	J		
195. American Properties/Vista Point (T)	D	Distribution	L	T					
196. Barcelona 326 Juniper (T)	D	Distribution	L	T					
197. Schwab Gurtin List of Assets Below (T)									
198. Schwab CA Muni MM (T)	B	Interest	M	T					
199. SD CA Redev 31 RDA (T)	B	Interest			Redeemed	02/17/17	M		
200. CA St. GO 39 (T)	B	Interest	K	T	Buy	12/08/17	K		
201. CA St GO 38 (T)	D	Interest	M	T	Buy	06/21/17	L		
202. LA CA W/P 35 U (T)	A	Interest			Redeemed	07/01/17	L		
203. Wash CA COP Rev 8/01/37 (T)	B	Interest			Redeemed	08/01/17	K		
204. LA Dept W/P 38 (T)	B	Interest			Redeemed	07/01/17	L		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 20

Name of Person Reporting

HUFF, MARILYN L.

Date of Report

10/09/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. SF CA CY/CN 27 (T)	D	Interest	M	T					
206. Modesto CA IRR 37 U (T)	B	Interest	L	T					
207. Chicago IL PK Dist 30 (T)	B	Interest	L	T					
208. Chicago IL PK Dist 28 (T)	B	Interest	L	T					
209. Chicago IL PK Dist 29 (T)	B	Interest	K	T					
210. Chicago IL PK 16 1-1-26 (T)	B	Interest	L	T					
211. Fresno CA 41 SCH (T)		None	L	T					
212. LA GO Sch 7-01-23 (T)	B	Interest			Redeemed	07/01/17	M		
213. NY St Twy Rev 1-1-28 (T)	C	Interest	M	T					
214. Charlotte NC Rev 1-15-31 (T)	B	Interest			Redeemed	01/15/17	M		
215. Sacramento CA Rev 12-1-36 (T)	C	Interest	M	T					
216. San Jose CA Rev 18 (T)	A	Interest	K	T	Buy	05/31/17	K		
217. San Mariono CA Rev 35 (T)	C	Interest	M	T	Buy	02/23/17	M		
218. Soledad CA GO 35 (T)	B	Interest	L	T	Buy	08/11/17	K		
219. WA St Health Care Rev 31 (T)	D	Interest	M	T	Buy	10/26/17	L		
220. LA CA Rev 40 (T)	D	Interest	M	T	Buy	12/27/17	M		
221. CA State GO 8-1-27 (T)	D	Interest	M	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 20

Name of Person Reporting

HUFF, MARILYN L.

Date of Report

10/09/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Swestern Cmty C GO 8-1-30 (T)		None	L	T					
223. Richland SC Rev 3-1-37 (T)	C	Interest			Redeemed	07/01/17	L		
224. END OF GURTIN									
225. BK OK IRA/Schwab Rollover Assets Below									
226. Schwab Bank MM	A	Interest	J	T					
227. Schwab Bank MM	E	Distribution	J	T					
228. Schwab US Div Eq ETF	A	Int./Div.			Sold	03/31/17	J		
229. DFA Real Estate	C	Interest	K	T					
230. SPDR 500 ETF	A	Dividend	J	T	Sold (part)	11/30/17	J	A	
231. SPDR DJIA ETF	A	Dividend	L	T	Sold (part)	08/02/17	J	A	
232. SPDR DJIA ETF	A	Dividend	L	T	Sold (part)	10/11/17	J	A	
233. SPDR S&P Div ETF	A	Dividend			Sold	03/31/17	J	A	
234. Schwab Hi Yld	A	Interest	J	T					
235. DFA US Large Co. Port	A	Dividend	K	T	Buy	03/31/17	J		
236. DFA US Sm Cap Port.	B	Dividend	K	T	Buy	03/31/17	K		
237. Vanguard ST Bond Index Fund	A	Interest	L	T	Buy	03/31/17	L		
238. Vanguard ST Inv. Gr. Fund	A	Interest	L	T	Buy	03/31/17	L		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 18 of 20

Name of Person Reporting

HUFF, MARILYN L.

Date of Report

10/09/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239. Vanguard Total Intl. Stock Ind.	A	Dividend	K	T	Buy	03/31/17	K		
240. DFA US Sm Cap Val Port Inst.	B	Dividend	K	T	Buy	03/31/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 20

Name of Person Reporting

HUFF, MARILYN L.

Date of Report

10/09/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Lines 122. In my 2017 report, I listed ISH Index SP 500 and ISH Core SP 500 on lines 121 and 122 , but I believe these are the same fund.
Lines 142 and 143. In my 2017 report, I combined Windsor II funds, but there are separate funds for Windsor II Adm and Windsor II Inv.
Lines 164, 165, 167 and 169. Distributions listed are return of capital.

FINANCIAL DISCLOSURE REPORT

Page 20 of 20

Name of Person Reporting

HUFF, MARILYN L.

Date of Report

10/09/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ MARILYN L. HUFF**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544