

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gilliam Jr., Haywood S.	2. Court or Organization U.S. District Court, Northern District of California	3. Date of Report 05/13/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 450 Golden Gate Avenue San Francisco, CA 94102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	Wiley Manuel Law Foundation (resigned April 2016)
2. Board Member	Vincent Academy/Partners in Oakland Education (resigned May 2015)
3. Trustee	Trust #1
4. Board President	Northern District of California Practice Program
5. Board of Governors Member	Association of Business Trial Lawyers Northern California Chapter

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 12/18/2014	Separation agreement with Covington & Burling providing for payment of deferred compensation and return of paid-in capital
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	Deferred partnership compensation from Covington & Burling	\$185,560.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	San Francisco Superior Court salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Association of Criminal Defense Lawyers	June 18-19, 2015	San Diego, CA	Speaker on panel at 6th Annual West Coast White Collar Conference	Transportation, hotel
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Tier REIT I (formerly Behringer Harvard REIT I)	A	Dividend	J	T					
2. Security Benefit Life Total Value Annuity	A	Interest	L	T					
3. Covington & Burling Capital Account (Y)									
4. Covington & Burling Defined Benefit Plan (Y)									
5. IRA #1	D	Dividend	N	T					
6. -Ace Limited Stock (X)					Buy	11/12/15	J		
7. -Automatic Data Processing Stock					Buy (add'l)	11/12/15	J		
8. -Bank of the Ozarks Stock					Buy (add'l)	03/06/15	J		
9.					Sold (part)	08/26/15	J	B	
10. -Canadian National Railway Stock					Buy (add'l)	03/03/15	J		
11. -Cardinal Health Stock					Buy (add'l)	06/10/15	J		
12.					Buy (add'l)	11/17/15	J		
13. -Church & Dwight Stock					Buy (add'l)	06/18/15	J		
14.					Buy (add'l)	11/12/15	J		
15. -CVS Stock (X)					Sold	02/11/15	J	B	
16. -Ecolab Stock									
17. -EOG Resources Stock					Buy (add'l)	03/03/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Energy Transfer Partners LP					Sold (part)	03/05/15	J	A	
19.					Buy (add'l)	06/10/15	J		
20. -Enterprise Products Partners LP					Buy (add'l)	03/03/15	J		
21. -Factset Research Systems Stock									
22. -Grainger Stock					Sold	02/11/15	J		
23. -Harris Corporation Stock					Buy (add'l)	11/12/15	J		
24. -HCC Holdings Stock					Buy (add'l)	02/23/15	J		
25.					Sold	10/28/15	J	C	
26. -Hormel Foods Stock					Buy (add'l)	06/18/15	J		
27. -IBM Stock					Sold	01/21/15	J		
28. -Lowes Stock					Sold	02/19/15	J	B	
29. -Magellan Midstream Partners LP Stock									
30. -Nestle Stock (X)					Buy	02/20/15	J		
31.					Buy (add'l)	06/18/15	J		
32.					Buy (add'l)	11/12/15	J		
33. -Novo Nordisk Stock (X)					Buy (add'l)	11/12/15	J		
34. -Nike Stock					Buy (add'l)	02/23/15	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	06/19/15	J		
36.					Sold	08/21/15	J	B	
37. -ONEOK Partners LP Stock					Sold	04/22/15	J		
38. -Parker Hannifin Corporation Stock					Buy (add'l)	02/20/15	J		
39.					Buy (add'l)	02/26/15	J		
40.					Buy (add'l)	03/03/15	J		
41. -Pepsico Stock					Sold	02/19/15	J	A	
42. -Perrigo Stock					Buy (add'l)	02/20/15	J		
43.					Sold	04/16/15	J	B	
44. -Plains All American Pipeline LP Stock					Buy (add'l)	06/05/15	J		
45.					Buy (add'l)	06/18/15	J		
46. -Polaris Industries Stock					Buy (add'l)	06/19/15	J		
47. -Praxair Stock					Sold	07/10/15	J		
48. -Qualcomm Stock (X)					Buy (add'l)	02/26/15	J		
49. -Roche Holdings Stock					Buy (add'l)	02/20/15	J		
50.					Buy (add'l)	02/26/15	J		
51. -Roper Industries Stock					Buy (add'l)	06/05/15	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -Sherwin Williams Stock									
53. -Suncor Energy Stock (X, Y)					Sold	08/21/15	J		
54. -Sunoco Logistics Partners Stock									
55. -T. Rowe Price Group Stock					Buy (add'l)	02/20/15	J		
56.					Buy (add'l)	02/23/15	J		
57.					Buy (add'l)	02/26/15	J		
58.					Buy (add'l)	03/03/15	J		
59. -Tiffany & Company Stock					Buy (add'l)	02/20/15	J		
60.					Buy (add'l)	02/23/15	J		
61.					Sold	08/21/15	J		
62. -TJX Stock					Buy (add'l)	02/23/15	J		
63.					Buy (add'l)	02/26/15	J		
64. -United Technologies Corporation Stock					Sold	08/21/15	J		
65. -Valspar Corporation Stock					Buy (add'l)	02/26/15	J		
66.					Buy (add'l)	03/03/15	J		
67. -VF Corporation Stock					Buy (add'l)	03/03/15	J		
68.					Buy (add'l)	06/05/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69.					Buy (add'l)	06/19/15	J		
70. IRA # 2	A	Interest	J	T					
71. IRA # 3	D	Dividend	N	T					
72. -BlackRock Capital Investment Bonds (X)					Buy	04/23/15	J		
73. -M/I Homes Bonds (X)					Buy	04/13/15	J		
74. -Redwood Trust Convertible Notes (X)					Buy	03/06/15	J		
75. -Broadsoft Bonds (X)					Buy	05/05/15	J		
76. -Chart Industries Bonds (X)					Buy	04/09/15	J		
77. -Blackstone Mortgage Trust Bonds (X)					Buy	03/09/15	J		
78. -Ares Capital Corporation Bonds (X)					Buy	03/13/15	J		
79. -KB Home Bonds (X)					Buy	04/23/15	J		
80.					Buy (add'l)	07/01/15	J		
81. -Apollo Commercial Real Estate Bonds (X)					Buy	03/09/15	J		
82. -Ryland Group Bonds (X)					Buy	03/04/15	J		
83. -Renewable Energy Group Bonds (X)					Buy	04/23/15	J		
84.					Sold (part)	06/03/15	J	B	
85. -ANI Pharmaceuticals Bonds (X)					Buy	05/21/15	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86.					Buy (add'l)	11/11/15	J		
87. -Resource Capital Corp. Bonds (X)					Buy	03/10/15	J		
88. -Forestar Group Bonds (X)					Buy	05/05/15	J		
89.					Buy (add'l)	05/29/15	J		
90.					Sold (part)	11/06/15	J		
91. -Prospect Capital Corp. Bonds (X)					Buy	04/23/15	J		
92. -Echo Global Logistics Bonds (X)					Buy	04/30/15	J		
93.					Buy (add'l)	05/05/15	J		
94. -PennyMac Bonds (X)					Buy	03/06/15	J		
95. -Encore Capital Group Bonds (X)					Buy	03/16/15	J		
96. -Portfolio Recovery Associates Bonds (X)					Buy	03/13/15	J		
97. -Sandisk Corporation Bonds (X)					Buy	03/27/15	J		
98. -TTM Technologies Bonds (X)					Buy	03/26/15	J		
99. -Colony Capital Bonds (X)					Buy	03/09/15	J		
100. -Emergent Biosolutions Bonds (X)					Buy	03/10/15	J		
101. -UBS AG Callable Exchangeable Securities 90270kaw9 (X)					Buy	04/23/15	J		
102. -Barclays Bank PLC Synthetic Convertible Notes 06741jv30 (X)					Buy	05/05/15	J		

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103. -Barclays Bank PLC Synthetic Convertible Notes 06741jv89 (X)					Buy	03/04/15	J		
104. -Barclays Bank PLC Synthetic Convertible Notes 06741js59 (X)					Buy	04/23/15	J		
105.					Sold	09/09/15	J		
106. -Societe Generale Medium Term Notes (X)					Buy	04/23/15	J		
107. -Atlas Air Bonds (X)					Buy	09/09/15	J		
108. -Seacor Holdings Bonds (X)					Buy	03/04/15	J		
109. -j2 Global Bonds (X)					Buy	03/17/15	J		
110. -Helix Energy Solutions Group Bonds (X)					Buy	03/04/15	J		
111.					Buy (add'l)	06/02/15	J		
112. -Finisar Corp. Bonds (X)					Buy	03/09/15	J		
113. IRA # 4									
114. -AQR Managed Futures Strategy Fund (X)					Buy	10/01/15	J		
115. -Alger Capital Appreciation Institutional Fund Class I (X)					Buy	12/23/15	J		
116. -Alliance Bernstein High Income Fund Advisor Class					Sold	09/30/15	J		
117. -American Century Value Vund Investor Class					Sold	05/05/15	J	A	
118. -BlackRock Multi-Asset Income Fund					Sold	09/30/15	J		
119. -Calamos Global Convertible Fund Class I (X)					Buy	12/23/15	J		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Calamos Convertible Fund Class A (X)					Buy	09/30/15	J		
121.					Sold	12/23/15	J		
122. -Calamos Market Neutral Income Fund Class A					Sold	05/05/15	J	A	
123. -Deutsche X-Trackers MSCI EAFE Equity ETF (X)									
124. -Federated Strategic Value Dividend Fund (X)					Buy	10/13/15	J		
125. -Goldman Sachs Rising Dividend Growth Fund Institutional					Sold (part)	05/05/15	J	A	
126.					Sold	09/30/15	J	A	
127. -Goldman Sachs Strategic Income Fund Class I					Sold	05/05/15	J		
128. -Ivy International Core Equity Fund Class A (X)					Buy	10/13/15	J		
129. -John Hancock Bond Fund Class I (X)					Buy	05/05/15	J		
130.					Sold	09/30/15	J		
131. -John Hancock Disciplined Value Fund Class A (X)					Buy	12/23/15	J		
132. -John Hancock Global Absolute Return Strategies Class A (X)					Buy	05/05/15	J		
133.					Sold	09/30/15	J		
134. -Oakmark Global Fund					Sold	09/30/15	J		
135. -Oppenheimer Discovery Fund Class Y					Sold	09/30/15	J	A	
136. -Oppenheimer Senior Floating Rate Fund Class Y (X)					Buy	09/30/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Oppenheimer SteelPath MLP Alpha Class Y (X)									
138. -iShares TR TIPS BD ETF (X)									
139. -iShares TR iBoxx USD Investment Grade Corporate Bond ETF (X)									
140. -iShares TR Barclays 7-10 Year Treasury Bond ETF (X)					Buy	09/30/15	J		
141. -iShares TR Barclays 1-3 Year Treasury Bond ETF (X)					Buy	09/30/15	J		
142. -iShares TR International Treasury Bond ETF (X)					Buy	12/13/15	J		
143. -iShares Global Tech ETF (X)					Buy	05/07/15	J		
144.					Sold	09/30/15	J		
145. -iShares TR MSCI ACWI ETF (X)									
146. -iShares TR MBS ETF (X)									
147. -iShares TR 1-3 Year Credit Bond ETF (X)					Buy	09/30/15	J		
148. -iShares TR MSCI EAFE Minimum Volatility ETF (X)					Buy	09/30/15	J		
149. -iShares Morningstar Small Cap Value ETF (X)(Y)									
150. -Lord Abbett Bond Debenture Fund Class F					Sold	09/30/15	J		
151. -Lord Abbett Short Duration Income Fund Class F					Sold	09/30/15	J		
152. -Matthews Asia Dividend Fund Investor Class					Sold	09/30/15	J	A	
153. -Neuberger Berman Long Short Fund Institutional Class (X)					Buy	05/08/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold	09/30/15	J		
155. -PowerShares DWA Momentum Portfolio ETF (X)					Buy	09/30/15	J		
156. -PowerShares DWA Developed Markets Momentum Portfolio (X)(Y)									
157. -PowerShares FTSE RAFI US 100 Portfolio Fund (X)					Buy	09/30/15	J		
158.					Sold	12/23/15	J		
159. -PowerShares S&P 500 Low Volatility Portfolio ETF (X)					Buy	09/30/15	J		
160. -Putnam Equity Income Fund					Sold	09/30/15	J	A	
161. -SPDR S&P 500 ETF (X)									
162. -SPDR Health Care Select Sector ETF (X)									
163. -SPDR Energy Select Sector ETF (X)									
164. -SPDR Technology Select Sector ETF (X)					Buy	09/30/15	J		
165. -Templeton Global Bond Advantage Fund (X)					Buy	09/30/15	J		
166. -Thornburg Limited Term Income Fund Class I (X)					Sold	12/23/15	J	A	
167. -Vanguard Growth ETF (X)					Buy	09/30/15	J		
168.					Sold	12/23/15	J	A	
169. -Vanguard Malvern Short-Term Inflation Protected Securities ETF (X)					Buy	05/07/15	J		
170.					Sold (part)	09/30/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -Vanguard International Equity Index Pacific ETF (X)					Buy	09/30/15	J		
172. -Vanguard International Equity Index Europe ETF (X)					Buy	12/23/15	J		
173. -Vanguard REIT ETF (X)					Buy	09/30/15	J		
174. -Vanguard Small-Cap ETF (X)									
175. -Vanguard Mega Cap ETF					Sold (part)	05/07/15	J	A	
176.					Sold	09/30/15	J	A	
177. -Wells Fargo Advantage Premier Large Company Growth Fund					Sold	05/05/15	J	A	
178. -WisdomTree Europe Hedged Equity ETF (X)(Y)									
179. -WisdomTree Japan Hedged Equity ETF (X)					Buy	05/14/15	J		
180.					Sold (part)	08/28/15	J		
181. -WisdomTree Large Cap Dividend ETF (X)					Buy	09/30/15	J		
182.					Sold	12/23/15	J	A	
183. Brokerage Account #1									
184. -Gamco Global Gold, Natural Resources and Income Trust (X)	A	Dividend	J	T					
185. -iShares S&P Global Energy Sector ETF (Y)									
186. American Funds College 2018 Fund -- 529	C	Dividend	M	T					
187. Schwab Managed Retirement Trust Income Fund (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Citibank cash accounts	A	Interest	M	T					
189. Chase cash accounts	A	Interest	J	T					
190. Trust #1									
191. [REDACTED] (Oakland, CA)		None	P1	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, page 4, line 3 - Covington & Burling capital account funds were returned to me after my resignation from the firm.

Part VII, page 4, line 4 - Covington & Burling Defined Benefit Plan funds were rolled over into IRA #3.

Part VII, page 4, line 15 - CVS stock initially purchased in 2014 after submission of my nomination report.

Part VII, page 6, line 48 - Qualcomm stock initially purchased in 2014 after submission of my nomination report.

Part VII, page 7, line 53 - Suncor Energy stock initially purchased in 2014 after submission of my nomination report.

Part VII, page 8, line 70 - IRA #2 holdings transferred to new Roth IRA #4.

Part VII, page 9, line 101 - Exchangeable securities are linked to the performance of Google common stock.

Part VII, page 9, line 102 - Convertible notes are linked to the performance of International Paper Company common stock.

Part VII, page 10, line 103 - Convertible notes are linked to the performance of General Electric common stock.

Part VII, page 10, line 104 - Convertible notes are linked to the performance of Freeport-McMoRan Copper & Gold Inc. common stock.

Part VII, page 14, line 184 - Gamco Global Gold, Natural Resources and Income Trust fund initially purchased in 2014 after submission of my nomination report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Haywood S. Gilliam Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544