

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SHAPERO, WALTER	2. Court or Organization U.S. BANKRUPTCY COURT	3. Date of Report 12/09/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) UNITED STATES BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address THEODORE LEVIN COURTHOUSE 231 W. LAFAYETTE, ROOM 1029 DETROIT MI 48226		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2. DIRECTOR/TREASURER	SAMUEL & HAROLD SHAPERO FOUNDATION
3.	
4.	
5. CO-TRUSTEE	FAMILY TESTAMENTARY TRUST (██████████) (ASSETS PART VII, LINES 30-31)
6. CO-TRUSTEE	FAMILY TRUST FOR ██████████ DATED 12/30/50 (ASSETS PART VII, LINES 34-35)(TRUST TERMINATED 8/9/13)
7. CO-TRUSTEE	FAMILY TRUST ██████████ DATED 4/30/51 (ASSETS PART VII, LINES 38-39)(TRUST TERMINATED 2/19/13)
8. PARTNER	BROMOCO (INVESTMENT PARTNERSHIP NOMINALLY HOLDING CERTAIN LTD. PTRSHP INTERESTS)
9. TRUSTEE	FAMILY TRUST (██████) (11/6/92) F/B/O
10. TRUSTEE	BS (ASSETS PART VII, LINES 40-44)(TRUST TERMINATED 1/17/13)
11.	
12. TRUSTEE	CS (ASSETS PART VII, LINES 49-52)
13.	
14. TRUSTEE	SS (ASSETS PART VII, LINES 57-60)
15. TRUSTEE	MS (ASSETS PART VII, LINES 61-63)

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16. TRUSTEE

YS (ASSETS PART VII, LINES 64-66)

17. TRUSTEE/BENEFICIARY

[REDACTED] LIVING TRUST DATED 8/26/92; [REDACTED]
TRUSTEE (ASSETS PART VII, LINES 14-29 & 32 & 33)

18.

19.

20. CO-TRUSTEE

FAMILY TRUST (WS CRT DATED 6/6/97) (ASSETS PART VII, LINES
67-170 & 237-242 & 257-304 & 326-348)

21.

22.

23. TRUSTEE/BENEFICIARY

[REDACTED] LIVING TRUST DATED 7/13/95; [REDACTED]
[REDACTED] TRUSTEE (ASSETS PART VII, LINES 349-368)**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*☐NONE *(No reportable agreements.)*DATEPARTIES AND TERMS

1. 01/01/82

BUTZEL LONG PENSION PLAN (PLAN TRUSTEES ARE MEMERS OF THE BUTZEL LONG LAW FIRM)

2.

3.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 12/31/2013	STATE OF MICHIGAN - EDUCATOR
2. 12/31/2013	TEACHERS INSURANCE & ANNUITY ASSOC - PENSION
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. FIRST MERIT CORP (FRMLY CITIZENS REPUBLIC BANCORP INC.)	A	Dividend	K	T					
2. FRANKLIN ADJ US GOVT SEC FUND	B	Dividend	N	T	Buy	01/17/13	N		
3. BERKSHIRE HATHAWAY B (COM) TAX FREE TRUST		None	L	T					
4. ABERDEEN ISRAEL FUND (FRMLY THE FIRST ISRAEL FUND INC.)	A	Dividend	J	T					
5. FRANKLIN CUSTODIAN FUNDS/US GOVT SER	C	Dividend	N	T	Buy	01/17/13	N		
6.		None							
7. MORGAN STANLEY BANK ACCOUNT	A	Interest	M	T					
8.		None							
9. INVESCO VK EQUITY & INCOME (FRMLY VAN KAMPEN E & I FUND)	A	Dividend	K	T					
10. STATE OF ISRAEL BOND		None	J	T					
11. JP MORGAN CHASE BANK FORMERLY BANK ONE (SAV. & CHECK)	A	Interest	L	T					
12. WESTERN RESERVE PTRSHP. MHP N. MADISON OHIO	A	Rent	K	U					
13. BUTZEL LONG PENSION	C	Distribution	K	T					
14. [REDACTED] LIVING TRUST (8/26/92)(POSITION 17)									
15. LOOMIS SAYLES SMALL CAP VALUE FUND I	E	Dividend	M	T					
16. JP MORGAN FLOAT RATE INCOME FUND	A	Dividend	K	T	Buy	01/11/13	K		
17. LOOMIS SAYLES VALUE FUND	D	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. JP MORGAN SHORT DURATION BOND FUND	A	Dividend	L	T					
19. JP MORGAN TAX FREE MONEY MARKET	A	Dividend	K	T	Sold (part)	07/01/13	L		
20. FRANKLIN GROWTH FUND (FORMERLY FRANKLIN CUSTODIAN FUND)	A	Dividend	M	T					
21. FRANKLIN SMALL MID-CAP GR FUND (FORMERLY FRANKLIN STRATEGIC SERIES)	A	Dividend	L	T					
22. FRANKLIN INSURED TAX-FREE INCOME FUND	E	Dividend	O	T					
23. FRANKLIN MI INSURED TAX FREE	E	Dividend	N	T					
24. FRANKLIN MUTUAL SERIES SHARES FUND	B	Dividend	M	T					
25. FRANKLIN MUTUAL BEACON FD (FORM MUT SER BEACON FD)	C	Dividend	N	T					
26. PUTNAM INVESTORS FUND	A	Dividend	K	T					
27. JP MORGAN INTER. TAX FREE BOND FUND	B	Dividend	L	T					
28. PUTNAM VOYAGER FUND	A	Dividend	J	T					
29. TEMPLETON GROWTH FUND	B	Dividend	M	T					
30. ASSETS OF POSITION 5 CO-TRUSTEE									
31. JP MORGAN CHASE BANK CK.ACCT (FORMERLY BANK ONE ACCOUNT)	A	Interest	J	T	Distributed	03/14/13	J		
32. JP MORGAN EQUITY INCOME FUND	A	Dividend	K	T	Buy	01/11/13	K		
33. JP MORGAN US EQUITY FUND	A	Dividend	K	T	Buy	01/11/13	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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34. ASSETS FROM POSITION 6 CO-TRUSTEE									
35. JP MORGAN CHASE BANK (FORMERLY BANK ONE BANK)		None			Distributed	08/09/13	J		
36.		None							
37.		None							
38. ASSETS FROM POSITION 7 CO-TRUSTEE									
39. JP MORGAN CHASE BANK CK ACCT. (FORMERLY BANK ONE ACCOUNT)		None			Distributed	02/19/13	J		
40. ASSETS FROM POSITION 9 TRUSTEE (BS)									
41. JP MORGAN US GOVT PREM. SWP		None			Distributed	01/17/13	J		
42. FRANKLIN CUSTODIAN FUNDS INC.		None			Sold	01/17/13	N	A	
43. JP MORGAN CHASE BANK CK.ACCT. (FORMERLY BANK ON ACCT)		None			Distributed	01/17/13	J		
44. FRANKLIN ADJUSTABLE US GOVT. SEC. FUND		None			Sold	01/17/13	N	A	
45.		None							
46.		None							
47.		None							
48.		None							
49. ASSETS FROM POSITION 9 TRUSTEE (CS)									
50.		None							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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51. FRANKLIN INSURED TAX FREE INCOME FUND	B	Dividend	L	T					
52. FRANKLIN MI INSURED TAX FREE FUND	C	Dividend	L	T					
53.		None							
54.		None							
55.		None							
56.		None							
57. ASSETS FROM POSITION 9 TRUSTEE (SS)									
58.		None							
59. FRANKLIN INSURED TAX FREE INCOME FUND	C	Dividend	L	T					
60. FRANKLIN MI INSURED TAX FREE FUND	C	Dividend	L	T					
61. ASSETS FROM POSITION 9 TRUSTEE (MS)									
62. FRANKLIN INSURED TAX FREE INCOME FUND	C	Dividend	L	T					
63. FRANKLIN MI INSURED TAX FREE FUND	C	Dividend	L	T	Sold (part)	02/14/13	J	A	
64. ASSETS FROM POSITION 9 TRUSTEE (YS)									
65. FRANKLIN INSURED TAX FREE INCOME FUND	C	Dividend	L	T					
66. FRANKLIN MI INSURED TAX FREE FUND	C	Dividend	L	T	Sold (part)	02/14/13	J	A	
67. ASSETS FROM POSITION 20 CO-TRUSTEE									

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68. U.S. TREASURY NOTES DUE 10/31/17	A	Interest	K	T	Buy	06/06/13	K		
69. STRYKER CORP (COM)	A	Dividend			Sold	09/25/13	K	D	
70. TENET HEALTHCARE CORP (COM)		None	K	T	Sold (part)	01/18/13	J	D	
71. U.S. TREASURY NOTES DUE 10/31/19	A	Interest	L	T	Buy	07/23/13	L		
72.		None							
73. WELLS FARGO & CO NEW (PFD)	B	Dividend	K	T					
74. HEALTH CARE PROP. NOTES DUE 12/15/13	B	Interest			Sold	12/15/13	K	A	
75. CMS ENERGY CORP. NOTES DUE 02/23/19	B	Interest	K	T					
76. ALCOA, INC. NOTES DUE 08/15/20	B	Interest	K	T					
77. CONSTELLATION ENERGY GRP. NOTES DUE 12/1/20		None			Sold	01/24/13	K	B	
78. ARCELORMITTAL BONDS DUE 03/1/21	B	Interest	K	T					
79. ENERGY TRANSFER PTNRS BOND DUE 06/01/21	B	Interest	K	T					
80. HEWLETT PACKARD CO BOND DUE 09/15/21	B	Interest	K	T					
81. AEGON NV (PFD)	B	Dividend	K	T					
82. KIMCO REALTY CORP (PFD)	B	Dividend	K	T					
83. MERRILL LYNCH CAP TRUST III (PFD)	B	Dividend	K	T					
84. AVNET INC (COM)	A	Dividend	K	T					

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85. GANNETT CO (COM)	B	Dividend	L	T	Sold (part)	01/11/13	J	C	
86. HAWAIIAN ELEC INDS INC. (COM)	B	Dividend	K	T	Buy (add'l)	06/04/13	J		
87. HESS CORP (COM)	A	Dividend	K	T	Buy (add'l)	07/11/13	J		
88.		None							
89.		None							
90.		None							
91. MARATHON PETROLEIUM CORP (COM)	A	Dividend			Sold	02/11/13	K	E	
92. MDU RESOURCES GRP INC. (COM)	A	Dividend	K	T	Buy (add'l)	09/04/13	J		
93. SOUTHWEST AIRLINES CO. (COM)		None			Sold	05/22/13	K	D	
94. TRW AUTOMOTIVE HLDGS CORP. (COM)		None	K	T	Sold (part)	03/26/13	J	A	
95. PETROBRAS INT'L NOTES DUE 1/27/16	B	Interest	K	T					
96. AMERICAN INT'L GROUP NOTES DUE 9/15/16	B	Interest	K	T					
97. FIRST ENERGY SOLUTIONS CO. NOTES DUE 8/15/21		None			Sold	01/28/13	K	A	
98. GOLDMAN SACHS CAPITAL II NOTES DUE 6/1/43	A	Interest	K	T					
99. WACHOVIA CAP TRUST III NOTES PERPETUAL	B	Interest	K	T					
100. SOUTHERN CAL ED (PFD)	B	Dividend	K	T					
101. US BANK CORP (PFD)	A	Dividend	K	T					

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

102. ADT CORP (COM)		None			Sold	04/16/13	K	D	
103. CABOT MICROELECTRS CORP (COM)	A	Dividend	K	T	Buy (add'l)	04/25/13	J		
104. EATON CORP (COM)	B	Dividend	K	T					
105. U.S. TREASURY NOTES DUE 5/15/23	A	Interest	K	T	Buy	06/24/13	K		
106. ABBEY NAT'L TREASURY SERV NOTE DUE 4/25/14	A	Interest	K	T	Buy	05/01/13	K		
107. XEROX CORP NOTE DUE 5/16/14	A	Interest	K	T	Buy	11/05/13	K		
108. CITIGRUOP INC NOTE DUE 11/5/14	A	Interest	K	T	Buy	02/27/13	K		
109. TELEFONICA EMISIONES SAU NOTE DUE 2/16/16	A	Interest	K	T	Buy	03/01/13	K		
110. DIRECT TV HLDG/FIN INC NOTE DUE 3/1/16	A	Interest	K	T	Buy	10/15/13	K		
111. BANK ONE CHECKING	A	Interest	J	T					
112. ALCOA, INC BONDS DUE 2/23/19	B	Interest	K	T					
113. CHEVRON CORP. (COM)	B	Dividend	K	T	Buy (add'l)	08/08/13	K		
114.		None							
115. EMERSON ELECTRIC CO. (COM)	A	Dividend	K	T					
116.		None							
117. JP MORGAN CHASE & CO NOTE DUE 2/26/16	A	Interest	K	T	Buy	03/07/13	K		
118. BECKTON DICKINSON CO (COM)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
119. MCKESSON CORP. (COM)	A	Dividend	K	T					
120. AMERICAN EXPRESS CREDIT NOTE DUE 7/29/16	A	Interest	K	T	Buy	07/24/13	K		
121. FORD MOTOR CREDIT CO LLC NOTE DUE 6/12/17	A	Interest	K	T	Buy	05/07/13	K		
122. MURPHY OIL CORP NOTE DUE 12/1/17	A	Interest	K	T	Buy	05/07/13	K		
123. BRISTOL-MYERS SQUIBB CO. (COM)	A	Dividend	K	T					
124. GENERAL ELEC CAP CORP NOTE DUE 4/2/18	A	Interest	K	T	Buy	03/25/13	K		
125. COVIDIEN LTD (COM)	A	Dividend	K	T					
126. BOSTON SCIENTIFIC CORP NOTE DUE 10/1/18	A	Interest	K	T	Buy	10/03/13	K		
127. AT & T INC NOTE DUE 11/27/18	A	Interest	K	T	Buy	11/21/13	K		
128. LYONDELL BASELL IND NV NOTE DUE 4/15/19	A	Interest	K	T	Buy	11/07/13	K		
129.		None							
130.		None							
131. L-3 COMMUNICATIONS CORP NOTE DUE 10/15/19	A	Interest	K	T	Buy	09/17/13	K		
132. HSBC HLDGS PLC (PFD)	B	Dividend	K	T	Buy	01/16/13	K		
133. METLIFE INC (PFD)	B	Dividend	K	T	Buy	01/08/13	K		
134. GENERAL ELECTRIC CAP CORP NOTES	B	Interest			Sold	12/02/13	K		
135. AMERICAN EAGLE OUTFITTERS (COM)	A	Dividend	K	T	Buy	10/11/13	K		

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136. AMERICAN AIRLINES GROUP INC (COM)	A	Dividend	K	T	Buy	05/22/13	K		
137. HCP INC. (FORMERLY HEALTH CARE PPTY INVS (COM) (REIT))	B	Dividend	K	T					
138. LINCOLN NATIONAL CORP NOTES DUE 7/1/19	B	Interest	K	T					
139. RAYTHEON COMPANY (COM)	A	Dividend	K	T	Sold (part)	08/27/13	J	C	
140. SCHLUMBERGER LTD (COM)	A	Dividend	K	T	Buy (add'l)	03/28/13	J		
141. ASCENA RETAIL GROUP INC (COM)	A	Dividend	K	T	Buy	08/23/13	K		
142. COMERON INT'L CORP (COM)	A	Dividend	K	T	Buy	11/18/13	K		
143. MARATHON OIL CORP	A	Dividend	K	T	Buy (add'l)	02/11/13	K		
144. HEALTH CARE REIT INC (COM)	B	Dividend	K	T	Sold (part)	06/24/13	K	D	
145. CSX CORP (COM)	A	Dividend	K	T	Buy	02/19/13	K		
146. DEERE CO (COM)	A	Dividend	K	T	Buy	11/27/13	K		
147. MEADWEST VACO CORP (COM)	A	Dividend	K	T					
148. V F CORPORATION (COM)		None			Sold	01/11/13	K	D	
149. KENNAMETAL INC (COM)	A	Dividend	K	T	Buy	04/16/13	K		
150. DISNEY (WALT) CO (COM)	A	Dividend	L	T					
151. EXXON MOBIL CORP (COM)	A	Dividend			Sold	08/08/13		D	
152. GLATFELTER (COM)	A	Dividend	K	T	Sold (part)	04/26/13	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
153. SIMON PROP GROUP REIT	A	Dividend	K	T					
154. HASBRO INC (COM)	B	Dividend	K	T					
155. PHILLIPS 66 SHS (COM)	A	Dividend	K	T	Buy	09/25/13	K		
156. TUPPERWARE BRANDS CORP (COM)	A	Dividend	K	T	Buy	10/23/13	K		
157. UNISYS CORP (COM)		None	K	T	Buy	08/23/13	K		
158. VODAFONE GROUP PLC (COM)	A	Dividend			Sold	09/06/13	K	D	
159. WASHINGTON REAL ESTATE INVEST. TRUST (COM)	A	Dividend	K	T					
160. POWERSHARES EXCHANGE SENIOR LOAN PORT.FUND	B	Dividend	K	T	Buy	04/22/13	L		
161. WASTE MANAGEMENT INC NEW (COM)	B	Dividend	K	T					
162. XEROX CORP (COM)	A	Dividend	K	T	Sold (part)	01/12/13	K	D	
163.		None							
164.		None							
165. ENTERGY MISS INC. 1ST MTG BOND DUE 2/1/13		None			Sold	02/01/13	K	A	
166. INGERSOLL-RAND GL HLD CO BOND DUE 4/15/14	B	Interest			Sold	07/17/13	K	B	
167. GOLDMAN SACH GROUP INC. SR. NOTE DUE 1/15/14	B	Interest	K	T					
168. INTERNATIONAL PAPER CO. NOTE DUE 1/15/14	A	Interest			Sold	06/11/13	K	A	
169. MEDTRONIC INC NOTE DUE 04/15/13	A	Interest			Sold	04/15/13	K	A	

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170. VERIZON GLOBAL FDG. CORP. NOTE DUE 6/1/13	A	Interest			Sold	06/01/13	K	A	
171. IRA ROLLOVER JP MORGAN CHASE BANK									
172. JP MORGAN CASH - US DOLLAR	A	Interest	J	T					
173. GATEWAY FUND - Y	A	Dividend	J	T	Buy (add'l)	07/16/13	J		
174. OAKMARK INTERNATIONAL FUND	A	Dividend	J	T	Buy	07/30/13	J		
175. VANGUARD FTSE EUROPE ETF (FORMERLY VANGUARD MSC1 EUROPE ETF)	A	Dividend	J	T	Buy (add'l)	10/31/13	J		
176. JP MORGAN US EQUITY FUND		None			Sold	02/04/13	J	B	
177. MANNING & NAPIER FUND INC	A	Dividend	J	T	Sold (part)	02/04/13	J	A	
178. HARTFORD CAPITAL APPRECIATION FD	A	Dividend	J	T	Sold (part)	01/23/13	J	A	
179. SPDR S & P 500 EFT TRUST	A	Dividend	K	T	Buy (add'l)	06/27/13	J		
180. ABERDEEN ASIA PACIFIC EQUITY FD	A	Dividend	J	T	Sold (part)	01/23/13	J	A	
181. MATTHEWS PACIFIC TIGER FD		None	J	T					
182. JPM CHINA REGION FD	A	Dividend	J	T	Buy	02/04/13	J		
183.		None							
184. PIMCO FDS UNCON STR BD		None	J	T	Buy (add'l)	07/16/13	J		
185. EQUINOX FUNDS TR		None	J	T	Buy	07/16/13	J		

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186. JP MORGAN MARKET EXPANSION INDEX FD	A	Dividend	J	T	Sold (part)	01/23/13	J	A	
187.		None							
188.		None							
189. JP MORGAN REALTY INCOME FD	A	Dividend			Sold	09/23/13	J	A	
190.		None							
191. VANGUARD EMERGING MARKET ETF FD		None			Sold	07/30/13	J	A	
192.		None							
193. THE ARBITRAGE FUND - I		None	J	T					
194. EATON VANCE GLOBAL MARCO-I (FORMERLY EATON VANCE MUT FDS TR)		None	J	T	Buy (add'l)	02/04/13	J		
195. JPM LARGE CAP GROWTH FUND	A	Dividend			Sold	10/31/13	J	C	
196. JPM EM MRKT EQUITY FUND	A	Dividend	J	T	Sold (part)	07/30/13	J	A	
197.									
198. BLACKROCK HIGH YIELD BOND FUND	A	Dividend			Sold	07/16/13	J	A	
199. RIDGEWORTH FUND	A	Dividend	K	T	Sold (part)	07/16/13	J	A	
200. INV BALANCED-RISK ALLOC-Y (FORMERLY AIM INVT FDS - BAL RISK ALL)		None	J	T					
201. EATON VANCE FLOATING RATE ADVANTAGE I		None	J	T					

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202. PIMCO COMMODITIES PLUS STRATEGY P	A	Dividend	J	T	Buy (add'l)	10/31/13	J		
203. SPDR GOLD TRUST		None			Sold	10/25/13	J	A	
204. JPM INTL CURRENCY INCOME FD	A	Dividend	J	T	Sold (part)	07/19/13	J	A	
205. DREYFUS LAUREL EMG MKT DEBT LOL	A	Dividend			Sold	09/06/13	J	A	
206.		None							
207.		None							
208.		None							
209.		None							
210.		None							
211.		None							
212.		None							
213.		None							
214.		None							
215.		None							
216.		None							
217.		None							
218.		None							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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219.		None							
220.		None							
221.		None							
222. COMERICA BANK (CHECKING)		None	K	T					
223. ING USA ANNUITY & LIFE INS. CO.	C	Distribution	L	T					
224. NATIONWIDE LIFE INS. CO/BEST OF AMERICA ANNUITY	D	Distribution	L	T					
225. JOHN HANCOCK LIFE INS. CO - ANNUITY		None	N	T					
226.		None							
227. PRUDENTIAL ANNUITIES LIFE ASSURANCE CORP	D	Distribution	K	T					
228. IRA ACCT WELLS FARGO ADV (FRMLY WACHOVIA SECURITIES:)	B	Distribution	J	T					
229. WELLS FARGO ADV (FRMLY WACHOVIA BANK) BANK DEPOSIT SWEEP		None	J	T					
230.		None							
231. NEW PERSPECTIVE FD INC.		None	K	T					
232.		None							
233.		None							
234.		None							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

235.		None							
236.		None							
237. ASSETS FROM POSITION 20 CO-TRUSTEE									
238.		None							
239. AGILENT TECHNOLOGIES INC (COM)	A	Dividend	K	T	Sold (part)	09/19/13	K	D	
240.		None							
241.		None							
242. AIR PRODUCTS & CHEM (COM)	A	Dividend	K	T					
243.		None							
244. IRA ROLLOVER JP MORGAN CHASE									
245. 1 SHARES TR BARCLAYS TIPS BD FD (FRMLY 1 SHARES TR US TREAS)	A	Dividend	J	T					
246. JP MORGAN HIGH YIELD BOND FUND	B	Dividend	J	T					
247. JP MORGAN SHORT DURATION BOND FD	A	Dividend	K	T	Sold (part)	07/16/13	K	A	
248. DOUBLE LINE FDS TR	A	Dividend	J	T	Buy (add'l)	07/16/13	J		
249. DODGE & COX INCOME FUND	A	Dividend	J	T	Buy	07/16/13	J		
250.		None							
251.		None							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

252.		None							
253.		None							
254. JP MORGAN INTREPID AMERICA FUND	A	Dividend	J	T					
255. JPM EQUITY INCOME FUND		None			Sold	02/04/13	J	A	
256. JPM INTREPID VALUE FUND	A	Dividend	J	T					
257. ASSETS FROM POSITION 20 CO-TRUSTEE									
258. MERRILL LYNCH CMA MONEY FUND	A	Dividend	M	T	Sold (part)	07/01/13	K		
259. MICROSOFT CORP (COM)	A	Dividend			Sold	08/23/13	K	D	
260. WINDSTREAM CORP (ALLTELL DIST) (COM)		None			Sold	02/21/13	J	A	
261.		None							
262.		None							
263.		None							
264.		None							
265.		None							
266.		None							
267. TECO ENERGY INC. (COM)	A	Dividend	J	T					
268.		None							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
269.		None							
270. TYCO INT'L LTD NAMEN-AKT (COM)	A	Dividend	K	T					
271.		None							
272.		None							
273. MORGAN STANLEY (COM)	A	Dividend	K	T	Buy (add'l)	01/04/13	K		
274.		None							
275. NABORS INDUSTRIES LTD (COM)		None			Sold	07/11/13	K	A	
276.		None							
277.		None							
278. KELLOGGS CO (COM)	A	Dividend	K	T					
279. BANK OF AMERICA NA SUB NOTES DUE 8/15/16	B	Interest	K	T					
280. MENS WEARHOUSE INC (COM)	A	Dividend			Sold	10/23/13	K	D	
281. BOSTON SCIENTIFIC CORP NOTES DUE 1/15/15	A	Interest			Sold	09/12/13	K	B	
282. COMCAST CORP. NOTES DUE 1/15/15	B	Interest	K	T					
283. KROGER CO (COM)	A	Dividend	K	T	Sold (part)	07/02/13	K	D	
284.		None							
285.		None							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
286. MEDTRONIC INC (COM)	A	Dividend	K	T					
287. MTS SYSTEMS CORP (COM)	A	Dividend			Sold	11/18/13	K	C	
288.		None							
289. NESTLE SA REP RG	A	Dividend	K	T					
290. NUCOR CORP (COM)		None			Sold	02/19/13	K	C	
291. TWENTY-FIRST CENTURY FOX (FRMLY NEWS CORP.) (COM)	A	Dividend	K	T	Sold (part)	07/16/13	J	B	
292. JP MORGAN CHASE SUB NOTES DUE 10/1/15	B	Interest	K	T					
293.		None							
294. NORTHEAST UTILITIES (COM)	A	Dividend	K	T	Buy (add'l)	06/04/13	J		
295. MORGAN STANLEY SUB NOTES DUE 4/1/14	B	Interest	K	T					
296.		None							
297. NV ENERGY INC. (COM)	A	Dividend			Sold	05/30/13	K	E	
298.		None							
299. QWEST CORP NOTES DUE 6/1/17	B	Interest	K	T					
300. SCHERING PLOUGH SR. NOTES DUE 12/1/13	B	Interest			Sold	12/01/13	K	A	
301.		None							
302.		None							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
303. SUNTRUST BANKS INC NOTES DUE 12/1/17	B	Interest	K	T					
304. XEROX CORP NOTES DUE 5/15/14	B	Interest	K	T					
305.		None							
306. IRA ROLLOVER JP MORGAN CHASE (FORMERLY BANK ONE)									
307.		None							
308.		None							
309. FMI LARGE CAP FD (FORMERLY FMI FDS INC LARGE CAP FD)	A	Dividend	J	T					
310.		None							
311.		None							
312.		None							
313. JP MORGAN INTERNATIONAL VALUE FUND	A	Dividend			Sold	07/30/13	J	A	
314. JP MORGAN US LARGE CAP CORE PLUS FD	A	Dividend	K	T	Buy (add'l)	02/04/13	J		
315.		None							
316. JPM MID CAP GROWTH FUND		None	J	T					
317. ISHARES MSCI EAFE INDEX FUND	A	Dividend	J	T	Buy (add'l)	07/30/13	J		
318. MFS INTL FUND	A	Dividend	J	T	Sold (part)	01/23/13	J	A	
319. T. ROWE PRICE OVERSEAS STOCK FUND	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
320.		None							
321.		None							
322. 1 SHARES CORE S&P MID-CAP ETF (FRMLY 1 SHARES S&P MID CAP)	A	Dividend	J	T	Sold (part)	01/23/13	J	A	
323.		None							
324.		None							
325.		None							
326. ASSETS FROM POSITION 20 CO- TRUSTEE									
327. OCCIDENTAL PETE CORP CAL	B	Dividend	K	T	Buy (add'l)	07/30/13	J		
328. APPLIED MATERIAL SR. NOTES DUE 10/15/17	B	Interest	K	T					
329. BECTON DICKINSON NOTES DUE 4/15/013	A	Interest			Sold	04/15/13	K	A	
330. ENTERPRISE PRODUCTS OPER NOTES DUE 1/15/68	B	Interest	K	T					
331.		None							
332. LEHMAN BROTHERS HODGS SUB NOTES DUE 7/19/17		None	J	T					
333.		None							
334. MERRILL LYNCH & CO NOTES DUE 8/28/17	B	Interest	K	T					
335.		None							
336. WEATHERFORD INTL LTD NOTES DUE 10/15/13	B	Interest			Sold	10/15/13	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
337. OSHKOSH CORP (COM)		None			Sold	08/01/13	K	D	
338.		None							
339. BANK OF AMERICA NOTES DUE 12/29/49	B	Interest	K	T					
340. CITIGROUP CAP VII (PREF)	A	Dividend			Sold	04/16/13	K	C	
341.		None							
342. PEPSICO, INC (COM)	B	Dividend	K	T	Buy (add'l)	05/22/13	K		
343. JP MORGAN CHASE NOTES DUE 4/29/49	B	Interest	K	T					
344. RAYMOND JAMES FINL CO (COM)	A	Dividend	L	T	Buy (add'l)	04/25/13	J		
345. SOVEREIGN BANK NOTES DUE 3/15/13	A	Interest			Sold	03/15/13	K	B	
346. WASHINGTON REIT NOTES DUE 5/1/15	B	Interest	K	T					
347. WELLS FARGO&CO NOTES DUE 2/28/49	A	Interest	J	T					
348.		None							
349. [REDACTED] LIVING TRUST (7/13/95) (POSITION 23)									
350. BLACK ROCK GLOBAL ALLOCATION FUND	A	Dividend	J	T					
351. EATON VANCE NAT'L MUNI INC FD	B	Dividend	K	T					
352. EATON VANCE TAX MANAGED GROWTH FUND	B	Dividend	M	T					
353. FIDELITY ADV BALANCED FUND	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
354. OPPENHEIMER GLOBAL STRATEGIC INCOME FUND	A	Dividend	J	T					
355.		None							
356. BLACKROCK MUNIYIELD	A	Dividend	J	T					
357. INCOME FUND OF AMERICA CL A	A	Dividend	J	T					
358.		None							
359. GROWTH FUND OF AMERICA CL A	A	Dividend	K	T					
360. INCOME FUND OF AMERICA CL B	A	Dividend	J	T					
361. KEELEY FUNDS INC SMALL CAP VALUE FUND	A	Dividend	J	T	Sold (part)	11/25/13	J	B	
362. AIM INVESCO COMSTOCK FD A (FRMLY AIM INVSCO VK COMSTOCK A)	A	Dividend	J	T					
363. NUVEEN INVT TR MULTI MGR L/C VALUE FD A (FRMLY NUVEEN	A	Dividend	K	T					
364. NUVEEN MI MUNI BD FD CL A	B	Interest	K	T					
365.		None							
366.		None							
367. TRANSAMERICA A/A CONSERV	A	Dividend	J	T					
368. INVESCO TECHNOLOGY SECTOR		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SHAPERO, WALTER

Date of Report

12/09/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

SHAPERO, WALTER

Date of Report

12/09/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ WALTER SHAPERO**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544