AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2018

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
FISHER, RAYMOND C.	U.S. COURT OF APPEALS - NINTH CIRCUIT	04/10/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. CIRCUIT JUDGE - SENIOR	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2018 **to** 12/31/2018

7. Chambers or Office Address

U.S. COURT OF APPEALS - 9th CIRCUIT
125 S. GRAND AVE. #400
PASADENA, CA 91105

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member, Board of Directors	Western Justice Center (nonprofit), Pasadena, CA
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2018	CA State Teachers Retirement System - Pension
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
FISHER, RAYMOND C.	04/10/2019

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. NATIONWIDE AMERICA'S VISION ANNUITY (FIDVIP EQ INC INITIAL)	A	Interest	L	T					
2. JACKSON NAT'L LIFE FLEX 1 TAX SHELTERED FIXED ANNUITY	B	Interest	K	T					
3. JACKSON NATL LIFE SINGLE MAX TAX SHELTERED FIXED ANNUITY	B	Interest	L	T					
4. RETIREMENT ACCT #1 (H)									
5. BANK OF AMERICA, NA RASP	A	Interest	L	T					
6. AIG FOCUSED DIVIDEND STRAT (FDSWX)		None			Sold	01/24/18	M	E	
7. BLACKROCK EQTY DIVIDEND FD I (MADVX)	D	Dividend	L	T	Buy	01/24/18	L		
8.					Sold (part)	03/29/18	J		
9.					Sold (part)	05/02/18	J		
10.					Sold (part)	06/21/18	J		
11.					Sold (part)	09/14/18	J		
12. COLUMBIA DIV INCOME (GSFTX)		None			Sold	01/24/18	M	E	
13. DELAWARE SMALL CAP CORE FD I (DCCIX)	D	Dividend	L	T	Buy	01/24/18	L		
14.					Sold (part)	03/29/18	K		
15.					Sold (part)	05/02/18	J		
16.					Buy (add'l)	06/21/18	J		
17.					Sold (part)	09/04/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
FISHER, RAYMOND C.	04/10/2019

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. EDGEWOOD GROWTH FUND INST (EGFIX)	C	Dividend	L	T	Buy	01/24/18	M		
19.					Buy (add'l)	03/29/18	K		
20.					Sold (part)	05/02/18	J		
21.					Sold (part)	09/04/18	J		
22. INVESCO EMERG MKTS SOVEREIGN DEBT USD ETF (PCY)	A	Dividend	J	T	Buy	01/24/18	J		
23.					Sold (part)	06/20/18	J		
24. INVESCO PREFERRED PORT (PGX)	A	Dividend	J	T	Buy	01/24/18	J		
25. ISHARES RUSSELL 1000 GROWTH (IWF)	B	Dividend	M	T	Buy	01/24/18	M		
26.					Buy (add'l)	03/29/18	K		
27.					Sold (part)	05/02/18	J		
28.					Sold (part)	09/04/18	J	A	
29. ISHARES RUSSELL 1000 VALUE (IWD)	D	Dividend	M	T	Buy	01/24/18	M		
30.					Sold (part)	03/29/18	J		
31.					Sold (part)	05/02/18	J		
32.					Sold (part)	06/21/18	J		
33.					Sold (part)	09/04/18	J		
34. ISHARES IBOXX INVT GRADE CORP BD (LQD)	A	Dividend	K	T	Buy	01/24/18	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
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VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	06/22/18	J		
36. ISHARES TIPS BOND ETF (TIP)	A	Dividend	J	T	Buy	01/24/18	J		
37. ISHARES 3-7 YR TREAS BD ETF (IEI)	A	Dividend	K	T	Buy	01/24/18	K		
38. ISHARES MBS ETF (MBB)	B	Dividend	K	T	Buy	01/24/18	K		
39.					Sold (part)	05/02/18	J		
40. ISHARES IBOXX HIGH YIELD CORP BD (HYG)	A	Dividend	J	T	Buy	01/24/18	J		
41. ISHARES US TREAS BOND ETF (GOVT)	B	Dividend	M	T	Buy	01/24/18	M		
42.					Sold (part)	03/29/18	J		
43. ISHARES TR CORE MSCI EAF (IEFA)	C	Dividend	L	T	Buy	01/24/18	M		
44.					Buy (add'l)	03/29/18	J		
45.					Sold (part)	05/02/18	J		
46.					Sold (part)	06/21/18	J		
47. J. HANCOCK DISCIPLINED VALUE (JVMIX)		None			Sold	01/24/18	L	D	
48. LOOMIS SAYLES LTD TERM GOV & AGENCY FD CL Y (NELYX)	A	Dividend			Sold	01/24/18	M		
49. LORD ABBETT AFFILIATED FD CL F (LAAFX)		None			Sold	01/24/18	M	D	
50. LORD ABBETT SHORT TERM (LDLFX)	A	Dividend			Sold	01/24/18	M		
51. MAINSTAY INTERNATIONAL OPP FUND CL I (MYIIX)		None			Sold	01/24/18	M		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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52. METROPOLITAN WEST TOT. RET. BOND (MWTIX)	A	Dividend			Sold	01/24/18	M		
53. MFS VALUE FD CL I (MEIIX)	C	Dividend	L	T	Buy	01/24/18	L		
54.					Sold (part)	03/29/18	J		
55.					Sold (part)	06/21/18	J		
56.					Sold (part)	09/04/18	J		
57. OAKMARK INTL FUND INV CL (OAKIX)	C	Dividend	L	T	Buy	01/24/18	L		
58.					Buy (add'l)	03/29/18	J		
59.					Sold (part)	05/02/18	J		
60.					Sold (part)	06/21/18	J		
61. OPPENHEIMER INTL GROWTH FD CL Y (OIGYX)	B	Dividend	L	T	Buy	01/24/18	M		
62.					Sold (part)	06/21/18	K		
63. NATIXIS GATEWAY FUND CL Y (GTEYX)		None			Sold	01/24/18	M	C	
64. NUVEEN REAL ASSET INCOME FUND CL I (NRIIX)	A	Dividend			Sold	01/24/18	L		
65. PRINCIPAL MID CAP FUND CL P (PCBIX)	A	Dividend			Sold	01/24/18	L	E	
66. PRINCIPAL SHORT TERM INCOME FUND CL P (PSHIX)	A	Dividend			Sold	01/24/18	M		
67. SPDR BLMBRG BRCLY HIGH YIELD BOND ETF (JNK)	B	Dividend	K	T	Buy	01/24/18	K		
68.					Sold (part)	03/29/18	J		

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69. T ROWE PRICE EMERGING MKTS STK FD RET (PRMSX)	A	Dividend	L	T	Buy	01/24/18	M		
70.					Buy (add'l)	06/21/18	J		
71. TCW TOTAL RETURN BOND FD CL I (TGLMX)	D	Dividend	M	T	Buy	01/24/18	M		
72.					Sold (part)	03/29/18	J		
73.					Buy (add'l)	06/21/18	J		
74. TRANSAMERICA LARGE CAP VALUE FUND (TWQIX)		None			Sold	01/24/18	M	D	
75. VANECK VECTORS JPMORGAN EM LOC CUR (EMLC)	A	Dividend	J	T	Buy	01/24/18	J		
76.					Sold (part)	06/20/18	J		
77. VANGUARD INTERMED TERM BD ETF (BIV)	B	Dividend	K	T	Buy	01/24/18	L		
78.					Sold (part)	05/02/18	J		
79. VANGUARD SHORT TERM BOND (BSV)	A	Dividend	K	T	Buy	01/24/18	K		
80.					Sold (part)	05/02/18	J		
81.					Sold (part)	06/22/18	J		
82. VANGUARD INTERMED TERM CORP BOND (VCIT)	D	Dividend	N	T	Buy	01/24/18	N		
83.					Sold (part)	03/29/18	J		
84.					Sold (part)	06/21/18	J		
85.					Sold (part)	06/22/18	J		

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86.					Sold (part)	09/04/18	J		
87. VANGUARD SHORT TERM TREAS ETF (VGSH)	A	Dividend	L	T	Buy	01/24/18	L		
88.					Sold (part)	03/29/18	J		
89. VANGUARD TOTAL INTL BOND ETF (BNDX)	A	Dividend	K	T	Buy	01/24/18	K		
90.					Sold (part)	03/29/18	J	A	
91. WELLS FARGO DISCIPLINED US CORE FD CL INSTL (EVSIX)		None			Sold	01/24/18	M	E	
92. WESTERN ASSET CORE (WACPX)	A	Dividend			Sold	01/24/18	M	B	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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FISHER, RAYMOND C.	04/10/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, lines 1-3: Fixed annuities.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Name of Person Reporting	Date of Report
FISHER, RAYMOND C.	04/10/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ RAYMOND C. FISHER**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544