

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Duval, Stanwood R.	2. Court or Organization U. S. District Court, Eastern District of Louisiana	3. Date of Report 05/10/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U. S. District Court 500 Poydras St., Rm. C-368 New Orleans, LA 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Board Member	Covenant House, Non-Profit Organization
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 11/05/2012	Historic New Orleans Collection - voice performance
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Donald "Boysie" Bollinger	August 20-24, 2012	Argentina	Hunting Trip	Travel and lodging
2. Arlen "Benny" Cenac, Jr.	January 17, 2012	New Orleans to Houston	Travel on Private Plane to Houston for knee surgery	Air travel
3. Arlen "Benny" Cenac, Jr.	January 23, 2012	Houston to New Orleans	Travel on Private Plane back to New Orleans after surgery	Air travel
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Parcel #2, Terrebonne Parish, LA		None	J	W					
2. Whitney Bank (Accounts)	A	Interest	K	T					
3. Judicial & Justice Fed. Credit Union (Accounts)	A	Interest	J	T					
4. Mineral Interest #1, Terrebonne Parish, LA		None	J	W					
5. Mineral Interest #2, Terrebonne Parish, LA		None	J	W					
6. IRA #1	D	Distribution	N	T					
7. -Wells Fargo Sweep Account									
8. -HIO									
9. -CIBFX									
10. -EAIFX									
11. -FSMXX									
12. -USB'L									
13. -AGG									
14. -T									
15. -PCY									
16. -BND									
17. -JPM PRZ					Sold	7/12/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - WRS					Sold	5/15/12	J		
19. - ETF					Buy	2/6/12	J		
20. - DVY					Buy	2/6/12	J		
21. - TIP					Buy	2/6/12	K		
22. - DEM					Buy	2/6/12	J		
23. IRA#2	B	Int./Div.	N	T					See Part VIII
24. -Blackrock Fundamental Growth									
25. -Blackrock Global Allocation C+									
26. -Blackrock Global Smallcap C+									
27. -Invesco Comstock Fund+Class C (former Van Kampen Comstock)									See Part VIII
28. Merrill Lynch CMA Account	A	Int./Div.	M	T					See Part VIII
29. -Blackrock Global Allocation FD Inc C									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART IV. REIMBURSEMENTS

Line 1 - Donald "Boysie" Bollinger is a life long friend of mine. I have always recused myself from any cases involving Donald Bollinger or any of his companies.

Line 2 and Line 3 - Arlen "Benny" Cenac, Jr. is a life long friend of mine. Benny provided his private plane for my travel from New Orleans to Houston on 1/17/12 for knee surgery and return flight on 1/23/12 from Houston to New Orleans after surgery. I have always recused myself from any cases involving Arlen Cenac, Jr. or any of his companies.

PART VII. INVESTMENTS AND TRUSTS

Line 23 - IRA #2 is the sole financial interest [REDACTED], of which I do not derive or expect to derive a benefit.

Line 27 - Van Kampen Comstock Fund+Class C (in IRA #2) became known as Invesco Comstock Fund+Class C on September 24, 2012. Name change only. There was no change in the value, therefore nothing was reported in Column D.

Line 28 - Merrill Lynch CMA Account is the sole financial interest [REDACTED], of which I do not derive or expect to derive a benefit.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Stanwood R. Duval**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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