AO 10
Rev. 1/2015

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2015

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
GIBBONS, JULIA S.	US COURT OF APPEALS SIXTH CIRCUIT	06/07/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. CIRCUIT JUDGE (ACTIVE)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2015 **to** 12/31/2015
7. Chambers or Office Address		
970 FEDERAL BUILDING 167 N MAIN STREET MEMPHIS, TN 38103		

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2015	STATE OF TN: SALARY AS COMMISSIONER OF SAFETY & HOMELAND SECURITY
2.	2015	SHELBY COUNTY, TN: RETIREMENT
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	U.S. BANK	CREDIT CARD	K
2.	CHASE VISA	CREDIT CARD	K
3.			
4.			
5.			

Name of Person Reporting	Date of Report
GIBBONS, JULIA S.	06/07/2016

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. IRA-EQUITABLE LIFE ANNUITY-EQUIVEST GUARANTEED INT ACCOUNT	A	Interest			Distributed	12/31/15	K	E	
2. IRA-EQUITABLE ANNUITY-EQUIVEST GUARANTEED INT ACCOUNT	A	Interest	K	T					
3. SUNTRUST-MEMPHIS, TN	A	Interest	J	T					
4. FEDERAL BLDG. FEDERAL CREDIT UNION ACCOUNT	A	Interest	K	T					
5. STATE OF TN 401(K)									
6. -FIDELITY PURITAN FUND	B	Dividend	K	T					
7. -NATIONWIDE BANK		None	J	T					
8. IRA ROLLOVER RETIREMENT PLAN									
9. -AMERICAN FUNDS:INVESTMENT FUND OF AMERICA	B	Dividend	J	T					
10. -FRANKLIN FDS: MUTUAL GLOBAL DISCOVERY	A	Dividend	J	T					
11. -IVY FDS: ASSET STRATEGY FUND	A	Dividend	J	T					
12. -REGIONS FDIC CASH ACCOUNT	A	Interest			Closed	01/01/15	J	A	
13. -VOYA EMERGING MARKET FUND CL A	A	Dividend	J	T					
14. -PIMCO TOTAL RETURN CLASS A	A	Dividend	J	T					
15. -GOLDMAN SACHS GLOBAL CD		None	J	T					
16. -PACIFIC LIFE OPTIMIZATION MODERATE AGGRESSIVE CL A	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
GIBBONS, JULIA S.	06/07/2016

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
17. -ALTRIA GROUP	A	Dividend	J	T					
18. -SUNAMERICA FOCUSED DIVIDEND STRATEGY	B	Dividend	J	T					
19. -ARIAD PHARMACEUTICALS		None			Sold (part)	04/13/15	J	A	
20.					Sold	04/21/15	J	A	
21.					Buy	06/09/15	J		
22.					Buy (add'l)	07/07/15	J		
23.					Sold	08/28/15	K	B	
24. -THRESHOLD PHARMACEUTICALS		None			Buy	05/01/15	J		
25.					Sold	05/12/15	J	A	
26. -OLD SECOND BANCORP INC		None			Sold	01/02/15	J	A	
27. -SANCHEZ ENERGY CORP		None			Sold	01/02/15	J	A	
28. -REACHLOCAL INC		None	J	T					
29. -FIBROCELL SCIENCE INC		None			Sold	01/30/15	J	A	
30. -ALIBABA GROUP HOLDING		None			Sold	03/31/15	J	B	
31. -YAHOO INC		None	J	T					
32. -FACEBOOK INC CL A		None			Sold	05/14/15	J	A	
33. -POTASH CORP OF SAKATCHEWAN	A	Dividend			Sold	01/30/15	J	A	

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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34. -INTRALINKS HOLDINGS		None			Sold	06/22/15	J	B	
35. -CEMPRA INC		None			Buy	01/08/15	J		
36.					Sold	01/12/15	J	A	
37. -SUPERNUS PHARMACEUTICALS		None			Buy	01/14/15	J		
38.					Sold	03/11/15	J	A	
39. -KITE PHARMA INC		None			Buy	02/03/15	J		
40.					Sold	02/23/15	J	A	
41.					Buy	03/03/15	J		
42.					Sold	03/19/15	J	A	
43.					Buy	03/26/15	J		
44.					Sold	04/15/15	J	A	
45.					Buy	05/15/15	J		
46.					Sold	05/29/15	J	A	
47. -CHIMERIX INC		None			Buy	02/13/15	J		
48.					Sold	05/20/15	J	A	
49. -TRACON PHARMACEUTICALS		None			Buy	02/27/15	J		
50.					Sold (part)	03/02/15	J	B	

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51.					Sold	03/03/15	J	B	
52. -ALCOBRA LTD		None			Buy	03/13/15	J		
53.					Buy (add'l)	03/16/15	J		
54.					Sold	05/29/15	J	B	
55. -ARGOS THERAPEUTICS INC		None	J	T	Buy	04/01/15	J		
56.					Buy (add'l)	06/29/15	J		
57. -ARBUTUS PHARMACEUTICALS CORP		None	J	T	Buy	04/29/15	J		
58.					Buy (add'l)	07/27/15	J		
59. -HEMISPHERE MEDIA GRP INC		None			Buy	05/07/15	J		
60.					Sold	07/20/15	J	A	
61. -HORIZON PHARMA PLC		None			Buy	05/18/15	J		
62.					Sold	05/27/15	J	A	
63. -GLU MOBILE INC		None	J	T	Buy	06/15/15	J		
64. -MICRON TECHNOLOGY INC		None	J	T	Buy	07/02/15	J		
65. -MARATHON OIL CORP	A	Dividend	J	T	Buy	06/25/15	J		
66.					Buy (add'l)	08/31/15	J		
67. -GABELLI HEALTHCARE & WELLNESS TRUST	A	Dividend	J	T	Buy	08/31/15	J		

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68. -ARRAY BIOPHARMA INC		None			Buy	09/29/15	J		
69.					Sold	10/15/15	J	A	
70. AXA EQUITABLE LIFE INS CO - SEE VIII					Redeemed	01/01/15	K	A	
71. AXA EQUITABLE LIFE INS CO: UNIVERSAL LIFE	B	Interest	J	T					

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GIBBONS, JULIA S.	06/07/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

SCHEDULE VII, LINE 70: POLICY WAS SURRENDERED ON 10/25/13 AND WAS INADVERTENTLY LEFT ON THE SCHEDULE. THIS WAS A UNINTENTIONAL OVERSIGHT.

Name of Person Reporting	Date of Report
GIBBONS, JULIA S.	06/07/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ JULIA S. GIBBONS

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544