

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Somers, Dale L.	2. Court or Organization U.S. Bankruptcy Court - Kansas	3. Date of Report 05/07/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 255 U.S. Courthouse 444 SE Quincy Topeka, KS 66683		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2014

Washburn University (Administration)

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BJD Somers Family LLC	F	Distribution	M	U					See Note in Part VIII
2. C.M. Life Insurance Company	B	Interest	K	T					
3. C.M. Life Insurance Company	A	Interest	J	T					
4. C.M. Life Insurance Company	A	Interest	J	T					
5. Fidelity Management Trust Company - IRA Account	F	Int./Div.	P1	T					See Note in Part VIII
6. -Claymore Securities Defined Portfolios									
7. -Vanguard GNMA Fund									
8. -Fidelity Cash Reserves									
9. -Templeton Global Bond Fund									
10. -Fidelity GNMA Bond					Buy (add'l)	09/17/14	J		
11. -Harbor Bond Adm									
12. -Eaton Vance Atlanta Cap Smid					Sold	08/18/14	M	E	
13. -Wells Fargo Advantage Growth					Sold	12/09/14	L	E	
14. -Ishares S&P Midcap 400 Growth					Sold (part)	01/17/14	K	D	
15. -Ishares S&P Midcap 400 Growth					Sold (part)	01/22/14	J	A	
16. -Ishares Core S&P Midcap ETF					Sold	04/07/14	L	D	
17. -FMI Large Cap Fund					Sold	01/17/14	L	E	

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -TCW Core Fixed Income					Buy (add'l)	09/17/14	K		
19. -Doubleline Total Rt Bond Fd									
20. -Bbh Core Select Retail Class					Sold	01/06/14	L	E	
21. -Metropolitan West Total Return					Buy (add'l)	08/01/14	K		
22. -PIMCO Fundamental Index									
23. -Fidelity New Markets Inc					Sold	01/02/14	L	A	
24. -Fidelity High Income					Buy (add'l)	01/07/14	K		
25. -Fidelity High Income					Sold (part)	07/30/14	K	A	
26. -Eaton Vance Floating Rate									
27. -Oakmark Intl Fund					Buy (add'l)	06/24/14	K		
28. -Wasatch Intl Growth					Sold	04/25/14	K	C	
29. -American Century Value					Buy (add'l)	08/06/14	K		
30. -Aberdeen Emerging Mkts					Sold	03/12/14	K	A	
31. -Ishares Core S&P 500 ETF					Buy	09/15/14	K		
32. -Ishares Core S&P 500 ETF					Buy (add'l)	09/17/14	J		
33. -Ishares Core S&P 500 ETF					Buy (add'l)	10/06/14	L		
34. -Ishares Core S&P 500 ETF					Buy (add'l)	12/15/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Ishares S&P 500 Growth ETF					Buy	12/12/14	L		
36. -Oakmark Fund I					Buy	01/07/14	L		
37. -Oakmark Fund I					Buy (add'l)	08/05/14	K		
38. -Wells Fargo Advtg Special Mid Cap Adm					Buy	04/09/14	L		
39. -Glenmede Small Cap Equity - Advisor Cl					Buy	01/21/14	L		
40. -T Rowe Price Blue Chip Growth Adv Cl					Buy	01/21/14	L		
41. -T Rowe Price Blue Chip Growth Adv Cl					Sold	05/09/14	L	A	
42. Fidelity Management Trust Company - IRA Account	B	Int./Div.	M	T					See Note in Part VIII
43. -Fidelity Cash Reserves									
44. -Harbor Bond									
45. -Metropolitan West Total Return					Buy (add'l)	09/17/14	K		
46. Fidelity Management Trust Company - Non IRA Acct									See Note in Part VIII
47. -Johnson County Kansas Un Sch Dist No 233 4.0% 9/1/16	A	Interest	K	T					
48. -Overland Park KS Go Intl Impt Bd 9/1/20 4%	A	Interest	K	T					
49. -Fidelity Municipal Money Market	A	Dividend	K	T					Transfer from Line 63
50. -Lenexa Kans Go Ref Bds Ser. 20 9/1/18 3.25%	A	Interest	K	T					
51. -Templeton Global Bond	C	Dividend	K	T					

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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52. -Wasatch International Growth					Sold (part)	03/21/14	K	D	
53. -Wasatch International Growth					Sold (part)	04/25/14	K	D	
54. -Wasatch International Growth					Sold	04/25/14	J	A	
55. -Eaton Vance Floating Rate	C	Dividend	L	T					
56. -American Century Value	B	Dividend	K	T					
57. -Ishares Russell 1000 Value	B	Dividend	L	T					
58. -Oakmark International CI 1					Buy	03/24/14	K		
59. -Oakmark International CI 1	C	Dividend	L	T	Buy (add'l)	06/24/14	K		
60. -Doubleline Total Retrun Bond Fund (X)	A	Dividend	J	T					Transfer from Line 66
61. -Ishares S&P Midcap 400 Growth (X)	A	Dividend	J	T					Transfer from Line 65
62. Fidelity Management Trust Company - Non IRA Acct									See Note in part VIII
63. -Fidelity Tax Exempt Money Market	A	Dividend			Distributed	09/02/14	J		Transferred to Line 49
64. -Eaton Vance Atlanta Cap Smid		None			Sold	08/19/14	J	A	
65. -Ishares S&P Midcap 400 Growth	A	Dividend			Distributed	09/02/14	J	A	Transferred to Line 61
66. -Doubleline Total Retrun Bond Fund	A	Dividend			Distributed	09/02/14	J	A	Transferred to Line 60
67. ING - State of KS Def Comp Plan	B	Int./Div.							See Note in part VIII
68. -Vanguard T. Retirement 2025					Sold	09/05/14	L	E	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- A. Item #1 BJD Somers Family LLC - I am a member. I am not an officer or board member and I have no managerial responsibilities.
- B. Items listed in #6 through #41 are all assets of Fidelity Management Trust Company IRA account identified in #5 above.
- C. Items listed in #43 through #45 are all assets of Fidelity Management Trust Company IRA account identified in #42 above.
- D. Items listed in #47 through #61 are all assets of Fidelity Management Trust Company non-IRA account identified in #46 above.
- E. Items listed in #63 through #66 are all assets of Fidelity Management Trust Company non-IRA account identified in #62 above. Account closed during 2014.
- F. Item #68 is the asset of ING-State of Kansas Deferred Compensation Plan identified in #67 above. Account closed during 2014.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Dale L. Somers

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544