

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Beck, Dennis L.	2. Court or Organization USDC CA E.D.	3. Date of Report 03/19/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Recalled Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address Robert T. Matsui Federal Courthouse 501 I Street, 16th Floor Sacramento, CA 95814		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fresno County Federal Credit Union - accounts	A	Interest	K	T					
2. Golden 1 Credit Union - accounts	A	Interest	L	T					
3. Ameriprise Trust Co. -Flexible Savings Certificate -IRA	A	Interest	J	T	Buy	08/03/15	J		
4. Ameriprise Trust Co. -Flexible Savings Certificate - IRA	A	Interest	J	T	Buy	08/03/15	J		
5. Ameriprise Trust Co. -Flexible Savings Certificate	A	Interest	J	T	Buy	08/03/15	J		
6. Ameriprise Trust Co. -Flexible Savings Certificate	A	Interest	J	T	Buy	08/03/15	J		
7. Ameriprise Trust Co. -Flexible Savings Certificate	A	Interest	J	T	Buy	08/03/15	J		
8. Ameriprise Trust Co. -Flexible Savings Certificate	A	Interest	J	T	Buy	08/03/15	J		
9. CNL Healthcare Inc - REIT	A	Dividend	K	T	Buy	08/05/15	K		
10. Carey Watermark Investments II, Inc. - REIT	A	Dividend	J	T	Buy	08/05/15	J		
11. Industrial Property Trust Inc., CLA - REIT	A	Dividend	K	T	Buy	08/05/15	K		
12. BASIX	A	Dividend	J	T	Buy	08/04/15	J		
13. BASIX					Sold (part)	10/13/15	J		
14. AIOAX	A	Dividend	J	T	Buy	08/04/15	J		
15. AIOAX					Sold (part)	10/13/15	J		
16. CDEIX	A	Dividend	K	T	Buy	08/04/15	K		
17. CSLGX		None	K	T	Buy	08/04/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. CMCPX	A	Dividend	K	T	Buy	08/04/15	K		
19. CSCEX		None	J	T	Buy	08/04/15	J		
20. OLGAX		None	J	T	Buy	08/04/15	J		
21. MEIAX	A	Dividend	K	T	Buy	08/04/15	K		
22. MGIAX	A	Dividend	J	T	Buy	08/04/15	J		
23. OIGAX	A	Dividend	J	T	Buy	08/04/15	J		
24. OIGAX					Buy (add'l)	10/13/15	J		
25. PURAX		None	J	T	Buy	08/04/15	J		
26. PURAX					Sold	10/13/15	J		
27. PEEAX		None	J	T	Buy	08/04/15	J		
28. PEEAX			J	T	Buy (add'l)	10/13/15	J		
29. WFPAX	A	Dividend	J	T	Buy	08/04/15	J		
30. EMGAX	A	Dividend	J	T	Buy	08/04/15	J		
31. EMGAX					Sold (part)	10/13/15	J		
32. CDEIX		None	J	T	Buy	10/13/15	J		
33. CSLGX		None	J	T	Buy	10/13/15	J		
34. CMCPX		None	J	T	Buy	10/13/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. OLGAX		None	J	T	Buy	10/13/15	J		
36. MGIAX		None	J	T	Buy	10/13/15	J		
37. ABBV	A	Dividend	J	T	Buy	08/06/15	J		
38. ACE	A	Dividend	J	T	Buy	08/06/15	J		
39. T	A	Dividend	J	T	Buy	08/06/15	J		
40. ACN	A	Dividend	J	T	Buy	08/06/15	J		
41. ACN					Buy (add'l)	10/08/15	J		
42. AAPL	A	Dividend	J	T	Buy	08/06/15	J		
43. APPL					Buy (add'l)	08/27/15	J		
44. BLK	A	Dividend	J	T	Buy	08/06/15	J		
45. BA	A	Dividend	J	T	Buy	08/06/15	J		
46. CVS	A	Dividend	J	T	Buy	08/06/15	J		
47. CVX	A	Dividend	J	T	Buy	08/06/15	J		
48. CL	A	Dividend	J	T	Buy	08/06/15	J		
49. CFR	A	Dividend	J	T	Buy	08/06/15	J		
50. DFS	A	Dividend	J	T	Buy	08/06/15	J		
51. EMC	A	Dividend	J	T	Buy	08/06/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. FIS	A	Dividend	J	T	Buy	08/06/15	J		
53. FIS					Sold (part)	10/08/15	J	A	
54. GM	A	Dividend	J	T	Buy	08/06/15	J		
55. HON	A	Dividend	J	T	Buy	08/06/15	J		
56. ITC	A	Dividend			Buy	08/06/15	J		
57. ITC					Sold (part)	10/23/15	J		
58. ITC					Sold (part)	10/26/15	J		
59. ITC					Sold	10/27/15	J		
60. JPM	A	Dividend	J	T	Buy	08/06/15	J		
61. JNU	A	Dividend	J	T	Buy	08/06/15	J		
62. JNU					Buy (add'l)	08/27/15	J		
63. KMI	A	Dividend	J	T	Buy	08/06/15	J		
64. LOW	A	Dividend	J	T	Buy	08/06/15	J		
65. LOW					Sold (part)	08/27/15	J		
66. M	A	Dividend	J	T	Buy	08/06/15	J		
67. MMC	A	Dividend	J	T	Buy	08/06/15	J		
68. MDT	A	Dividend	J	T	Buy	08/06/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
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69. MSFT	A	Dividend	J	T	Buy	08/06/15	J		
70. MON	A	Dividend	J	T	Buy	08/06/15	J		
71. NEE	A	Dividend	J	T	Buy	08/06/15	J		
72. NLSN	A	Dividend	J	T	Buy	08/06/15	J		
73. NVO		None	J	T	Buy	08/06/15	J		
74. PKG	A	Dividend	J	T	Buy	08/06/15	J		
75. PKG					Buy (add'l)	11/05/15	J		
76. PEP	A	Dividend	J	T	Buy	08/06/15	J		
77. PFE	A	Dividend	J	T	Buy	08/06/15	J		
78. PSX	A	Dividend	J	T	Buy	08/06/15	J		
79. PSX					Sold (part)	08/17/15	J	A	
80. PX	A	Dividend	J	T	Buy	08/06/15	J		
81. TWC		None	J	T	Buy	08/06/15	J		
82. TWC					Sold (part)	08/18/15	J	A	
83. TWC					Sold	09/10/15	J	A	
84. UNP	A	Dividend	J	T	Buy	08/06/15	J		
85. UNH	A	Dividend	J	T	Buy	08/06/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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86. UNH					Sold (part)	08/13/15	J		
87. VFC	A	Dividend	J	T	Buy	08/06/15	J		
88. WFC	A	Dividend	J	T	Buy	08/06/15	J		
89. WHR	A	Dividend	J	T	Buy	08/06/15	J		
90. WEC	A	Dividend	J	T	Buy	09/10/15	J		
91. MDLZ		None	J	T	Buy	09/28/15	J		
92. KMI	A	Dividend	J	T	Buy	10/06/15	J		
93. DIS		None	J	T	Buy	11/19/15	J		
94. TEL		None	J	T	Buy	12/30/15	J		
95.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Dennis L. Beck

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544