

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dalzell, Stewart	2. Court or Organization U.S. District Court	3. Date of Report 10/11/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 15613 U.S. Courthouse 601 Market Street Philadelphia, PA 19106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Secretary of the Vestry	Saint Mark's Church, Philadelphia, PA
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2012

- Teaching Fees

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Cape Cod Five Cent Savings	A	Interest	J	T					
2. JP Morgan Fed. Fund	A	Interest	J	T					
3. PNC Bank	A	Interest	J	T					
4. BRE Properties, Inc.	B	Dividend			Sold	12/18/12	K	E	sale on NYSE
5. Vanguard PA Tax Free Money Fund	A	Dividend	J	T					
6. Prime Fund-Cash Reserve Trust	A	Dividend	J	T					
7. Pepsico	A	Dividend	K	T	Sold (part)	02/15/12	J	B	partial sale on NYSE
8. Merck & Co.	B	Dividend	K	T					
9. Cisco System Notes	A	Interest	K	T					
10. General Electric Capital Notes	A	Interest	K	T					
11. Pfizer, Inc.	A	Dividend	J	T					
12. Bank America Notes	A	Interest			Redeemed	06/15/12	J	A	redemption
13. General Electric Cap. Notes	A	Interest	K	T					
14. Exxon Mobil	A	Dividend	K	T					
15. Waste Management	A	Dividend	K	T	Buy (add'l)	04/25/12	J		buy add'l on NYSE
16. Chevron	B	Dividend	L	T	Sold (part)	04/25/12	J	D	partial sale on NYSE
17. Johnson & Johnson	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. General Mills	A	Dividend	J	T					
19. Sand Ridge Energy		None	J	T					
20. Waste Management	A	Dividend	K	T	Buy	12/18/12	K		buy on NYSE
21. Intel	A	Dividend	K	T	Sold (part)	08/28/12	J	A	partial sale on NASDAQ
22. AT&T	B	Dividend	K	T	Buy (add'l)	07/03/12	J		buy on NYSE
23. McDonalds Corp.	A	Dividend	K	T	Sold (part)	03/13/12	J	A	partial sale on NYSE
24. Powershares ETF	B	Dividend	K	T	Buy (add'l)	07/12/12	J		buy on NASDAQ
25. Dominion Resources	B	Dividend	K	T					
26. Northern Trust Corp.	A	Interest	J	T					
27. Diageo PLC.	A	Dividend	J	T					
28. Chubb Corp.	A	Dividend	J	T	Buy (add'l)	04/25/12	J		buy add'l on NYSE
29. Windstream	B	Dividend	K	T					
30. Vanguard Total Bond ETF	A	Interest	K	T	Buy (add'l)	04/25/12	J		buy on NASDAQ
31. Conoco Phillips	A	Dividend	K	T					
32. Int'l Business Machines	A	Dividend	K	T	Sold (part)	03/13/12	J	C	partial sale on NYSE
33. Freeport-MacMoran	A	Dividend	J	T	Buy	06/13/12	J		buy on NYSE
34. Fed. Home Loan Bank Bonds	A	Interest			Redeemed	04/20/12	J		redeemed

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Federal Farm Credit Bank Notes	A	Interest	J	T					
36. Phillips 66 (see line 39)		None			Spinoff (from line 31)	05/01/12	J		spin-off from Conoco
37. Southwestern Bell Tel. Bonds	A	Interest	J	T					
38. Fairfax Financial Holdings	A	Dividend	K	T					
39. Phillips 66		None			Sold	05/30/12	J	B	sale on NYSE
40. Henderson Global Equity	A	Dividend	J	T					
41. Plum Creek Timber	A	Dividend	K	T	Buy (add'l)	04/25/12	J		buy add'l on NYSE
42. Hewlett-Packard	A	Dividend	J	T					
43. Preferred Apt. Communities	B	Dividend	J	T					
44. Petroleo-Brasileiro	A	Dividend	J	T	Buy	06/13/12	J		buy ADRs on NYSE
45. Hercules Technology Growth	A	Dividend	J	T	Buy	02/10/12	J		buy on NASDAQ
46. 3M Co.	A	Dividend	J	T					
47. Automatic Data Processing	A	Dividend	J	T					
48. DuPont	A	Dividend	J	T	Buy (add'l)	04/25/12	J		buy add'l on NYSE
49. National Fuel Gas	A	Dividend	J	T	Sold (part)	02/15/12	J	A	sale on NYSE
50. Kraft Foods Group, Inc.	A	Dividend	J	T	Buy	12/18/12	K		buy on NASDAQ
51. iShares Barclays Agg. Bond Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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52. Kraft Foods Group, Inc.	A	Dividend	J	T	Spinoff (from line 50)	10/02/12	J		spin-off from Kraft
53. Emerson Elec. Co.	A	Dividend	K	T	Buy	08/10/12	J		buy add'l on NYSE
54. Mondelez Int'l, Inc.	A	Dividend	K	T	Spinoff (from line 50)	10/15/12	J		spin-off from Kraft
55. DuPont	A	Dividend	J	T					
56. National Fuel Gas	A	Dividend	J	T					
57. Chesapeake Energy	A	Dividend			Sold	05/17/12	J	A	sale on NYSE
58. Simon Properties Group	A	Dividend	K	T					
59. Emerson Elec. Co.	A	Dividend	J	T					
60. Vanguard Short-Term Corporate Bonds	A	Int./Div.	J	T	Sold (part)	07/25/12	K	A	partial sale on NASDAQ
61. Caterpillar Financial Notes	A	Interest			Matured	12/17/12	K	A	matured
62. Emerson Elec. Co.	A	Dividend	K	T	Buy	06/13/12	J		buy add'l on NYSE
63. SEI Daily Income Trust	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

With respect to the disclosures in lines 36 and 39 of Part VII, notwithstanding the auto audit's view regarding line 36, line 39 discloses that the entire spin-off of Phillips 66 was disposed of on May 30, 2012 on the New York Stock Exchange. Thus, there was no "gross value at end of reporting period" to be supplied in C(1) and C(2), and thus the auto audit errs.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Stewart Dalzell**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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