

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                     |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>TRAUGER, ALETA A.                                                                                                                    | <b>2. Court or Organization</b><br><br>U.S. DISTRICT COURT (MD TENN)                                                                                                                                                                                                                                | <b>3. Date of Report</b><br><br>10/12/2016                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>U.S. District Court Judge - Active                                            | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input checked="" type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2015<br>to<br>12/31/2015 |
| <b>7. Chambers or Office Address</b><br><br>825 U.S. Courthouse<br>801 Broadway<br>Nashville, Tennessee 37203                                                                                             |                                                                                                                                                                                                                                                                                                     |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                                     |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|    |  |  |
|----|--|--|
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |
| 4. |  |  |
| 5. |  |  |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|    |  |  |
|----|--|--|
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |

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Name of Person Reporting

TRAUGER, ALETA A.

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME  
(yours, not spouse's)

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2015

Income from law firm partnership - spouse is attorney partner in Trauger &amp; Tuke

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

5. \_\_\_\_\_

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

|    | <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|----|---------------|--------------------|--------------|
| 1. |               |                    |              |
| 2. |               |                    |              |
| 3. |               |                    |              |
| 4. |               |                    |              |
| 5. |               |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

|    | <u>CREDITOR</u>        | <u>DESCRIPTION</u>                      | <u>VALUE CODE</u> |
|----|------------------------|-----------------------------------------|-------------------|
| 1. | Nashville Bank & trust | Line of credit for law firm partnership | L                 |
| 2. |                        |                                         |                   |
| 3. |                        |                                         |                   |
| 4. |                        |                                         |                   |
| 5. |                        |                                         |                   |

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Ace'ts at SunTrust Bank, Nashville, TN                                                                                   | A                                       | Interest                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. The Equitable A.B.A. Group IRA                                                                                           |                                         | None                                          | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. Robertson Stephens Emerging                                                                                              |                                         | None                                          | N                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. Guilford County., North Carolina farmland                                                                                | B                                       | Rent                                          | N                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 5. Cash surr. value NW Life policy                                                                                          |                                         | None                                          | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. Cash surr. value of 2 NW Life policies                                                                                   |                                         | None                                          | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 7. Parnassus Fund                                                                                                           | C                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. Interest in law firm bldg.                                                                                               |                                         |                                               |                                                 |                                           | Sold                                            | 09/09/15                | O                               | G                              | Southern Turf Bldg.,<br>LLC                                       |
| 9. Profit sharing plan - Divers. Trust                                                                                      |                                         | None                                          | P1                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 10. Moore County, North Carolina timber land                                                                                | B                                       | Rent                                          | M                                               | V                                         |                                                 |                         |                                 |                                |                                                                   |
| 11. Bank of America Corporation                                                                                             | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 12. Exxon Mobil Corp.                                                                                                       | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 13. Piedmont Natural Gas Co.                                                                                                | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 14. Metro Nashville Electric Service Zero<br>Coupon Bonds                                                                   |                                         | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 15. Elizabethton, TN water & sewer revenue<br>bonds                                                                         |                                         | None                                          | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 16. Lincoln National Corp.                                                                                                  | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 17. MGT Holdings                                                                                                            |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code I<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                                    |   |          |   |   |                   |          |   |  |  |
|--------------------------------------------------------------------|---|----------|---|---|-------------------|----------|---|--|--|
| 18. The Providence Service Corp.                                   |   | None     | O | T | Donated<br>(part) |          |   |  |  |
| 19. Rental Property - Nashville, Tennessee,<br>Davidson County     | A | Rent     | O | W |                   |          |   |  |  |
| 20. Regions Bank money mkt. acct. (Morgan<br>Keegan/Raymond James) | A | Interest | J | T |                   |          |   |  |  |
| 21. Pinnacle Nat. Bank accounts                                    | A | Interest | O | T |                   |          |   |  |  |
| 22. Blount County, TN municipal bonds                              | A | Interest | K | T |                   |          |   |  |  |
| 23. Hirtle, Callaghan Investment Funds                             |   |          |   |   |                   |          |   |  |  |
| 24. - DWS Tax Exempt INSL Share                                    | A | Dividend |   |   | Sold              | 02/25/15 | N |  |  |
| 25. - HCCT Commodities                                             | B | Dividend | L | T | Sold<br>(part)    | 10/02/15 | L |  |  |
| 26. - HCCT Commodities                                             |   |          |   |   | Buy               | 12/17/15 | M |  |  |
| 27. - HCCT Emerging Mkts.                                          | B | Dividend | L | T | Sold<br>(part)    | 08/20/15 | M |  |  |
| 28. _ HCCT Emerging Mkts.                                          |   |          |   |   | Buy               | 10/20/15 | M |  |  |
| 29. - HCCT Fixed Income Opportunity                                | A | Dividend | J | T |                   |          |   |  |  |
| 30. - HCCT Intermed. Muni                                          | D | Dividend | M | T |                   |          |   |  |  |
| 31. - HCCT Intermed.Muni. II                                       | B | Dividend | L | T |                   |          |   |  |  |
| 32. - HCCT International                                           | D | Dividend | M | T | Buy               | 02/02/15 | K |  |  |
| 33. - HCCT International                                           |   |          |   |   | Sold<br>(part)    | 11/05/15 | K |  |  |
| 34. - HCCT Large Cap Growth                                        | B | Dividend | M | T |                   |          |   |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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|                                                                                                                           | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                       |   |          |   |   |          |          |   |   |  |
|-------------------------------------------------------|---|----------|---|---|----------|----------|---|---|--|
| 35. - HCCT Large Cap Value                            | C | Dividend | M | T |          |          |   |   |  |
| 36. - HCCT Small Cap                                  |   | None     | K | T |          |          |   |   |  |
| 37. - HCCT Core Fixed Income                          | A | Dividend | J | T |          |          |   |   |  |
| 38. - HCCT Institutional International Equity         | A | Dividend | J | T |          |          |   |   |  |
| 39. - HCCT Institutional Large Cap. Growth            | A | Dividend | J | T |          |          |   |   |  |
| 40. - HCCT Institutional Large Cap. Value             | A | Dividend | J | T |          |          |   |   |  |
| 41. Nelson's Green Brier Distillery, LLC              |   | None     | L | T |          |          |   |   |  |
| 42. Shelby Co. TN Health EDL & HSG Facs<br>Rev. Bonds | B | Interest | K | T |          |          |   |   |  |
| 43. Edward Jones Advisory Solutions                   | D | Dividend | N | T |          |          |   |   |  |
| 44. Edward Jones IRA                                  | D | Dividend | M | T |          |          |   |   |  |
| 45. Edward Jones Investment Acc't.                    |   |          |   |   |          |          |   |   |  |
| 46. - Money market acc't.                             |   | None     | J | T |          |          |   |   |  |
| 47. Rutherford Co. Gen. oblig. bonds                  | A | Interest |   |   | Redeemed | 04/01/15 | J | C |  |
| 48. - Puerto Rico Trans. Auth., bonds                 | A | Interest | J | T |          |          |   |   |  |
| 49. - Metro Nashville Gen. oblig. bonds               | A | Interest | K | T |          |          |   |   |  |
| 50. - Knox Co. health & educ. bonds                   | A | Interest | J | T |          |          |   |   |  |
| 51. Robertson Co., TN school bonds                    | B | Interest | K | T |          |          |   |   |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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| 52. - Blunt Co. Public Auth. bonds                                                                                          | B                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 53. - AmGen, Inc.                                                                                                           | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 54. - Express Scripts Holding Co.                                                                                           |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 55. - Gen. Electric Co.                                                                                                     | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 56. - Johnson & Johnson                                                                                                     | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 57. - Merck & Co., Inc.                                                                                                     | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 58. - Pepsico, Inc.                                                                                                         | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 59. - GTravelers Companies, Inc.                                                                                            | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 60. - Wal-Marts Stores, Inc.                                                                                                | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 61. - Amer. Balanced Fund                                                                                                   | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 62. - Amer. Mut. Fund                                                                                                       | D                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 63. - Cap Income Bldr. Fund                                                                                                 | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 64. - Cap World Growth & Income Fund                                                                                        | C                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 65. - Eaton Vance Tax Managed Div. Income Fund                                                                              | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 66. - Franklin, TN munic. bond fund                                                                                         | B                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 67. - Fundamental Investors Fund                                                                                            | D                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 68. - Growth Fund of Amer.                                                                                                  | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69. - Income Fund of Amer.                                                                                                  | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 70. - Mut. Global Discovery Fund                                                                                            | C                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 71. - New Perspective Fund                                                                                                  | A                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 72. - Nuveen Intermed. Duration Munic. Bond Fund                                                                            | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 73. - Templeton Global Bond Fund                                                                                            | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 12/30/15                | J                               |                                |                                                                   |
| 74. - Lincoln Financial Group Annuity                                                                                       |                                         | None                                          | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 75. - Franklin Fed. Interterm tax free income fund - Class A                                                                |                                         | None                                          |                                                 |                                           | Buy                                             | 12/31/15                | K                               |                                |                                                                   |
| 76. Hirtle, Callaghan Investment Funds                                                                                      |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 77. - DWS Gov't. Cash Fund                                                                                                  | A                                       | Dividend                                      | N                                               | T                                         | Buy                                             | 12/28/15                | N                               |                                |                                                                   |
| 78. - DWS Gov't. Cash Fund                                                                                                  | A                                       | Dividend                                      | N                                               | T                                         | Sold (part)                                     | 12/21/15                | N                               |                                |                                                                   |
| 79. - HCCT REal Estate                                                                                                      | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 80. - Select Sector SPDR                                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 10/02/15                | L                               |                                |                                                                   |
| 81. - Select Sector SPDR                                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 12/17/15                | M                               |                                |                                                                   |
| 82. - Powershares DBCOM index fund                                                                                          |                                         | None                                          |                                                 |                                           | Buy                                             | 10/02/15                | K                               |                                |                                                                   |
| 83. - Powershares DBCOM index funds                                                                                         |                                         | None                                          |                                                 |                                           | Sold                                            | 12/17/15                | L                               |                                |                                                                   |
| 84. - HCCT Institutional Small Cap                                                                                          | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 85. - ISHARES MSCI EAFE                                                                                                     | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 11/05/15                | K                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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Name of Person Reporting

TRAUGER, ALETA A.

Date of Report

10/12/2016

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                                            |   |          |   |   |                |          |   |  |                |
|----------------------------------------------------------------------------|---|----------|---|---|----------------|----------|---|--|----------------|
| 86. - ISHARES MSCI EAFE                                                    | A | Dividend | K | T | Sold<br>(part) | 02/02/15 | K |  |                |
| 87. - ISHARES MSCI Emerging Mkt.                                           |   | None     |   |   | Buy            | 08/20/15 | M |  |                |
| 88. - ISHARES MSCI Emerging Mkt.                                           |   | None     |   |   | Sold           | 10/02/15 | M |  |                |
| 89. Residence in Oak Ridge, TN inherited by<br>spouse from deceased mother |   | None     |   |   | Sold           | 11/09/15 | N |  | Lisa Gonzarski |
| 90.                                                                        |   |          |   |   |                |          |   |  |                |
| 91.                                                                        |   |          |   |   |                |          |   |  |                |
| 92.                                                                        |   |          |   |   |                |          |   |  |                |
| 93.                                                                        |   |          |   |   |                |          |   |  |                |
| 94.                                                                        |   |          |   |   |                |          |   |  |                |
| 95.                                                                        |   |          |   |   |                |          |   |  |                |
| 96.                                                                        |   |          |   |   |                |          |   |  |                |
| 97.                                                                        |   |          |   |   |                |          |   |  |                |
| 98.                                                                        |   |          |   |   |                |          |   |  |                |
| 99.                                                                        |   |          |   |   |                |          |   |  |                |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

TRAUGER, ALETA A.

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VI Mortgage on law firm building with Nashville Bank & Trust was paid off on September 9, 2015, upon the sale of the building.

Part VII Line 19 No rental income because relatives are living in the house and are not paying rent.

Line 93 Spouse inherited 1/2 share in parents' residence upon death of mother 11/12/12. No rental income. Took 3 years to sell house. Inadvertently forgot to list as asset on previous reports.

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

TRAUGER, ALETA A.

Date of Report

10/12/2016

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ ALETA A. TRAUGER

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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