

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) FALLON, ELDON E.	2. Court or Organization U.S. District Court, Louisiana	3. Date of Report 04/25/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U. S. DISTRICT COURT 500 POYDRAS STREET - ROOM C456 NEW ORLEANS, LA 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Ex-Officio Board Member/Past President	Louisiana Bar Foundation
2. Trustee	Testamentary Trust
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

FALLON, ELDON E.

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04/25/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2017	Thompson-West (book royalty)	\$3,983.37
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. American Bar Association	01/26/17-01/28/2017	Squaw Valley, CA	Teaching educational seminar (speaker)	Housing, food and travel
2. Rand Center For Catastrophic Risk	05/18/17-05/19/2017	Washington, D.C.	Advisory Board Meeting	Housing, food and travel
3. EmoryUniversity School of Law	09/13/17-09/15/2017	Atlanta, GA	Teaching educational seminar (speaker)	Housing, food and travel
4. Rand Center For Catastrophic Risk	12/05/17-12/06/2017	Washington, D.C.	Advisory Board Meeting	Housing, food and travel
5. University of Miami School of Law	12/07/17-12/08/2017	Miami, FL	Teaching educational seminar (speaker)	Housing, food and travel

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

FALLON, ELDON E.

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04/25/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

FALLON, ELDON E.

Date of Report

04/25/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PARCEL #5, New Orleans, Louisiana	F	Rent	P1	W					
2. *PARCEL#6, MS (Pearl River County)		None	P1	W					
3. DUKE COMMON STOCK	A	Dividend	K	T					
4. FIDELITY FUND COMMON STOCK	A	Dividend	L	T					
5. EMPIRE DISTRICT ELECTRIC COMMON STOCK	A	Dividend			Sold	02/24/17	K	D	
6. ANADARKO COMMON STOCK	A	Dividend	K	T					
7. VIAD COMMON STOCK	A	Dividend	J	T					
8. MONEYGRAM INTERNATIONAL, INC. [Spin off of VIAD]	A	Dividend	J	T					
9. FINOVA COMMON STOCK	A	Dividend	J	T					
10. REGIONS BANK [formerly AM SOUTH (IRA)]	D	Dividend	N	T					
11. SCHWAB MONEY MARKET ACCOUNT [REDACTED]	D	Interest	J	T					
12. *TESTAMENTARY TRUST		None	L	T					
13. LEGG MASON VALUE MUTUAL FUND	A	Dividend	K	T					
14. FIDELITY SELECT COMPUTERS	A	Dividend	L	T					
15. FEDERATED FUND	A	Dividend	M	T					
16. GAMBELLI GROWTH FUND	A	Dividend	L	T					
17. T. ROWE PRICE INTERNAT'L	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

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18. VANGUARD GNMA	B	Dividend	L	T					
19. VANGUARD TOTAL STOCK INDEX	B	Dividend	N	T					
20. SPECTRA ENERGY CORP	A	Dividend	J	T					
21. SCHWABB MONEY MARKET FUND	C	Interest	P1	T					
22. *GNMA'S POOL (BONDS)									
23. *FNMA MTG POOL									
24. *SECTOR SPDR TR SBI INT-ENERGY									
25. *OIL SVC HOLDERS TR DEPOSTRY RCPT									
26. *FHLMC POOL									
27. **VANGUARD WORLD FDS MATERIALS ETF					Sold				
28. *PIMCO CORPORATE OPP FD COM									
29. *WISDOMTREE TRUST INTL DIV E FINL									
30. *BLACKROCK LTD DURATION COM SHS									
31. *VANGUARD BD INDEX FD SHORT TRM BOND									
32. *ALPS ETF TR ALERIAN MLP									
33. *SELECT SECTOR SPDR TR SBI INT- UTILS									
34. *JOHN HANCOCK BK&THRIFT SH BEN INT									

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FINANCIAL DISCLOSURE REPORT

Page 6 of 8

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35. *SELECT SECTOR SPDR TR SBI CONS STPLS									
36. *SELECT SECTOR SPDR TR TECHNOLOGY									
37. *ISHARES TR BARCLYS 1-3YR CR									
38. *MARKET VECTORS ETF TR PFD SEC EXFINL									
39. *SELECT SECTOR SPDR TR SBI CONS DISCR									
40. *SELECT SECTOR SPDR TR SBI INT-FINL									
41. *SELECT SECTOR SPDR TR SBI MATERIALS									
42. *FACTORSHARES TR ISE CYBER SEC									
43. *ISHARES TR DJ SEL DIV INX									
44. *VANGUARD WORLD FDS INF TECH ETF									
45. *BLACKROCK TAX MUNICIPAL SHS									
46. *SELECT SECTOR SPDR TR RL EST SEL SEC									
47. *NUVEEN BUILD AMER BD F COM					Buy	05/22/17			

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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

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Date of Report

04/25/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. INVESTMENTS AND TRUSTS.

#2. Farm and pasture land. Raise cattle and sell cows.

#12. Assets of Testamentary Trust.

(1) Trustee, with no direct personal interest, for Account of Testamentary Trust on deposit with Bank One, New Orleans, Louisiana. The interest income is paid pursuant to the terms of the Trust in monthly installments to decedent's widow, who is income beneficiary for life or remarriage. Turstee's [REDACTED] are the principal beneficiaries of the Trust.

(2) Lot Lakeside Estates, Geneva County, Alabama, appraised value \$600

#21. SCHWABB [REDACTED] is an IRA Rollover Pension Fund with a value code of P1.

(1) Nos. 22 through 47 are part of the pension fund. Their individual income/value is represented as part of the cumulative value disclosed on line 21.

(2) No. 27, Vanguard World FDS Materials ETF was sold on 3/13/2013. It was inadvertently not removed from the 2014 and subsequent disclosure reports. It will be removed from next year's report.

(3) No. 47, NuVeen Build Amer BD F Com was purchased on 5/22/2017.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

FALLON, ELDON E.

Date of Report

04/25/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ ELDON E. FALLON

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544