

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gilbert, John P.	2. Court or Organization UNITED STATES DISTRICT COURT - Southern District of Illinois	3. Date of Report 05/09/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE - SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 301 WEST MAIN STREET BENTON, IL 62812		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Southern Illinois University
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Old National Bank N/K/A First Mid-Illinois Bank	Mortgage on Investment Property	M
2.	Patricia Noe	Contract for Deed on Investment Property	M
3.			
4.			
5.			

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. COM. PEPSICO	A	Dividend	J	T					
2. COM. HUTTON TEL. TR.	B	Dividend	J	T					
3. COM. MFS GV.PL mutual funds	A	Dividend	K	T					
4. COM. FRANKLIN U.S.G.	A	Dividend	K	T					
5. COM. OLD NATIONAL BANK	D	Dividend	N	T					
6. COM. TEMPLETON GRWTH	A	Dividend	K	T					
7. NOBLE ENERGY	A	Dividend	J	T					
8. OLD NATL BANK N/K/A FIRST MID-ILLINOIS BANK - Accounts	A	Interest	J	T					
9. RENTAL PROPERTY: Jackson Co., IL (\$280,000)	A	Rent	M	S					
10. COM. BRISTOL MYERS	E	Dividend	J	T					
11. COM. AMER. ELEC. POWER	A	Dividend	J	T					
12. COM. ALLETE	A	Dividend	J	T					
13. COM. XCEL ENERGY	A	Dividend	J	T					
14. COM. COCA COLA	A	Dividend	J	T					
15. COM. GEN. ELECTRIC	A	Dividend	J	T					
16. COM. EXXONMOBIL	A	Dividend	J	T					
17. COM. ORACLE	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. COM. CATERPILLAR	A	Dividend	J	T					
19. COM. WALMART	A	Dividend	J	T					
20. COM. WESTAR ENERGY	A	Dividend	J	T					
21. COM. MICROSOFT	A	Dividend	J	T					
22. COM. CISCO SYSTEMS	A	Dividend	J	T					
23. COM. PUTNAM GLOBAL	A	Dividend	J	T					
24. COM. REGIONS FINANCIAL CORP.	A	Dividend	J	T					
25. COM. VERIZON COMM.	A	Dividend	J	T					
26. COM. AMERICA MOVIL	A	Dividend	J	T					
27. COM. DTE ENERGY	A	Dividend	J	T					
28. COM. SOUTHERN COMPANY	A	Dividend	J	T					
29. COM. CORNING	A	Dividend	J	T					
30. COM. INTEL	A	Dividend	J	T					
31. COM. TEXAS INSTRUMENTS	A	Dividend	J	T					
32. COM. CAREMARK	A	Dividend	J	T					
33. COM. EXELON	A	Dividend	J	T	Sold (part)	08/09/18	J	A	
34. COM. AMEREN	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. COM. NEE	A	Dividend	J	T					
36. COM. AGRBX	A	Dividend	J	T					
37. COM. NEW WORLD FUND	A	Dividend	J	T					
38. COM. GROWTH FUND OF AMERICA	A	Dividend	J	T					
39. COM. JOHN DEERE	A	Dividend	J	T					
40. COM. BANK OF AMERICA	A	Dividend	J	T					
41. BOND ILLINOIS FIN. AUTH.	A	Interest	J	T					
42. COM. 3M COMPANY	A	Dividend	J	T					
43. COM. FIRST SOUTHERN BANK	A	Dividend	J	T					
44. COM. AT&T	A	Dividend	J	T					
45. COM. LORD ABBETT FLOATING RATE FD C	A	Dividend			Sold	01/05/18	J	A	
46. RENTAL PROPERTY: Sarasota, FL (\$250,000)	A	Rent	M	S					
47. COM. FRONTIER	A	Dividend	J	T					
48. BOND QWEST CORP. 6.125%	A	Interest	K	T					
49. BOND AFLAC 5.5%	A	Interest	K	T					
50. WELLS FARGO - COMPASS MANAGED DIVERSIFIED STOCK INCOME PORTFOLIO	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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51. COM. F	A	Dividend	J	T					
52. BOND SIU 3.5%	A	Interest	K	T					
53. PFD. ALLSTATE 6.625%	A	Interest	K	T					
54. PFD. WELLS FARGO 6.625%	A	Interest	K	T					
55. PFD. JOHN HANCOCK	A	Interest	K	T					
56. COM. PG	A	Dividend	J	T					
57. BLACK ROCK EUROFUND	A	Dividend			Sold	01/05/18	J	A	
58. COM. UNP	A	Dividend	K	T					
59. COM. KMI	A	Dividend	J	T					
60. COM. T	A	Dividend	J	T					
61. COM. HYH	A	Dividend	J	T					
62. COM. KMB	A	Dividend	J	T					
63. PFD. WACHOVIA 7.25%	A	Interest	K	T					
64. COM. WPC	A	Dividend	J	T					
65. PFD. BAC	A	Dividend	J	T					
66. COM. APPLE	A	Dividend	J	T					
67. COM. COP	A	Dividend	J	T					

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68. COM. ABBVIE INC.	A	Dividend	J	T					
69. COM. DG	A	Dividend	J	T					
70. AMERICAN FUND NGCRX	A	Dividend	K	T					
71. AMERICAN FUND NDCRX	A	Dividend	K	T					
72. COM. MERCK	A	Dividend	J	T					
73. COM. WALT DISNEY	A	Dividend	J	T					
74. JOHN HANCOCK ETF	A	Dividend	J	T					
75. DAFT & PHELPS ETF	A	Dividend	J	T					
76. AMERICAN FUND UTBPX	A	Dividend	J	T					
77. VANGUARD S&P MID-CAP ETF	A	Dividend	K	T	Buy (add'l)	08/20/18	J		
78. ISHARES CORE S&P SMALL CAP ETF	A	Dividend	J	T					
79. ISHARES CORE S&P MID CAP ETF	A	Dividend	J	T	Buy (add'l)	08/20/18	J		
80. ISHARES U.S. MEDICAL DEVICES ETF	A	Dividend	J	T	Buy	08/20/18	J		
81. COM. MEDTRONIC PLC	A	Dividend	J	T					
82. FIRST TRUST ELECTION PORTFOLIO 2016 SER 2	A	Dividend	J	T					
83. AMERICAN FUND NDCRX	A	Dividend	J	T					
84. AMERICAN FUND ABALX	A	Dividend	K	T	Buy (add'l)	01/08/18	J		

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85. PFD. SOUTHERN CO 5.25%	A	Interest	K	T					
86. VANGUARD HEALTH CARE ETF VHT	A	Dividend	J	T	Buy	08/20/18	J		
87. AMERICAN FUND SMCWX	A	Dividend	J	T	Buy	01/08/18	J		
88. CAPITAL INCOME BUILDER FUND CAIBX	A	Dividend	J	T	Buy	01/08/18	J		
89. FUNDAMENTAL INVESTORS FUND ANCFX	A	Dividend	J	T	Buy	01/08/18	J		
90. TAX EXEMPT BOND FUND OF AMERICA AFTEX	A	Dividend	J	T	Buy	01/08/18	J		
91.									
92.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 13: The spelling for the investment in "Excel Energy" was changed to the correct spelling of "Xcel Energy."

Part VII, Line 14: "Coca Cola" (or "KO") was inadvertently listed twice (on Lines 14 and 52) in the 2017 report. The 2018 report more properly lists the investment only once (on Line 14). There were no acquisitions or dispositions of that investment in 2018 or any of the past several years.

Part VII, Line 32: "Caremark" was inadvertently listed twice (on Lines 33 and 63) in the 2017 report. The 2018 report more properly lists the investment only once (on Line 32). There were no acquisitions or dispositions of that investment in 2018 or any of the past several years.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **John P. Gilbert**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544