

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) WALLACH, EVAN J.	2. Court or Organization U.S. COURT OF APPEALS FOR THE FEDERAL CIRCUIT	3. Date of Report 12/20/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) CIRCUIT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. COURT OF APPEALS FOR THE FEDERAL CIRCUIT 717 MADISON PLACE, NW SUITE 814 WASHINGTON, DC 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	PARTNER	WWH PARTNERSHIP (Investment partnership)
2.	MEMBERSHIP	SPEEDWAY STONE ASSOCIATES, LLC (investment)
3.	MEMBER	AMERICAN LAW INSTITUTE
4.	MEMBER	BOARD OF VISITORS, UNITED STATES NAVAL ACADEMY
5.	MEMBER	BOARD OF DIRECTORS, LIEBER SOCIETY

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2016	Amazon.com on Behalf of On-Demand Publishing (CreateSpace)	\$32.16
2. 2016	Amazon.com on Behalf of Kindle Direct Publishing	\$2.74
3. 2016	George Washington University Law School-Teaching Income	\$8,105.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CITIBANK CASH	A	Interest	J	T					
2. CITIBANK CASH	A	Interest	K	T					
3. SIRVA MORTGAGE HOUSEHOLD ESCROW (Y) ACCOUNT									
4. IRA #1: FIDELITY	E	Dividend	N	T					
5. -FIDELITY CONTRAFUND (IRA#1)	A	Dividend	M	T					
6. -FIDELITY MAGELLAN (IRA#1)	A	Distribution	M	T					
7. -FIDELITY PURITAN (IRA#1)	A	Dividend	M	T					
8. TIAA-TRADITIONAL	A	Interest	K	T					
9. TIAA-CREF STOCK	A	Interest	M	T					
10. TIAA-CREF GROWTH STOCK	A	Interest	J	T					
11. TIAA-CREF GLOBAL EQUITIES	A	Interest	K	T					
12. TIAA-CREF SOCIAL CHOICE	B	Interest	L	T					
13. IRA #2: WELLS FARGO ADVISORS	E	Dividend	N	T					
14. -FIDELITY ADVISOR SER 1 EQUITY GROWTH (IRA #2)		None	M	T					
15. -FIDELITY ADVISOR EQUITY PORT INCOME (IRA #2)	A	Dividend	L	T					
16. -GROWTH FUND OF AMERICA (IRA #2)		None	L	T					
17. -COLUMBIA FDS SELIGMAN COM. & INFORMATION FUND (IRA #2)		None	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -GENERAL ELECTRIC CO (IRA #2)	C	Dividend			Sold	03/31/16	L	F	
19. -MVC CAPITAL (IRA #2)	B	Dividend			Sold	04/14/16	K	A	
20. -WELLS FARGO CASH (IRA #2)	A	Interest	L	T					
21. -WELLS FARGO-FIRST CLEARING LLC (IRA #2)	E	Distribution			Distributed	10/24/16	K		
22. 1 PARCEL REAL PROPERTY TUCSON, AZ (1/3) INTEREST		None	K	W					
23. 1 PARCEL REAL PROPERTY TUCSON, AZ (1/3) INTEREST		None	M	W					
24. CD Wex Bank, Midvale UT		None	L	T	Buy	07/20/16	L		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The assets listed in Part VII, item numbers 14, 16-17, and 22-24 did not accrue any income in the reporting period.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **EVAN J. WALLACH**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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