

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Russell, Thomas B.	2. Court or Organization United States District Court - Western District of Kentucky	3. Date of Report 05/03/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 501 Broadway, Federal Building Paducah, KY 42001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Partner First Investors of Paducah (Investment Club) See additional information

2. _____

3. _____

4. _____

5. _____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. _____

2. _____

3. _____

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Russell, Thomas B.

Date of Report

05/03/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Russell, Thomas B.

Date of Report

05/03/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Russell, Thomas B.

Date of Report

05/03/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Checking Account - Paducah Bank	A	Interest	J	T					
2. Checking Account - Regions Bank		None	J	T					
3. IRA, UBS(See Sec. VIII)	B	Dividend	L	T					
4. AmerFDS Cap. World Gr. Inc.									
5. American Mutual Funds									
6. Amer. Funds Investments Co. of America									
7. Amer. Funds, Washington Mutual Investors									
8. IRA, UBS (S)(See Sec VIII)	A	Dividend	K	T					
9. AmerFDS Capital World Growth									
10. Amer. Funds, Investment Co. of America									
11. Savings Acct. Regions Bank	A	Interest	L	T					
12. Common Stock, Regions Bank	A	Dividend	J	T					
13. IRA -Regions Bank (See Sec. VIII)	A	Div/Int	N	T					
14. U.S. Treas. SEC Strip									
15. American Europacific GRTH -F2					Buy	2011	J		
16. Pimco Total Return (Mutual Fund)					Buy	2011	K		
17. Pimco Commodity Realreturn (Mutual Fund)					Buy	2011	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

Russell, Thomas B.

Date of Report

05/03/2012

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Templeton Global Bond Fund					Buy	2011	J		
19. Fannie Mae DTP									
20. Federal Home Loan Bank									
21. Managers Cadence Cap					Buy	09/27/10	K		
22. American Century Equity Income - Mutual Fund									
23. Federated Kaufmann CL - Mutual Fund									
24. First Investors of Paducah (See Sec. VIII)	A	Dividend	L	T					
25. US Banc (common)									
26. IBM (common)									
27. Abbott Lab (common)									
28. Cisco Sys (common)					Sold (part)	10/25/11	J	A	
29. Intel (common)									
30. Schlumberge (common)									
31. Simon P (common)									
32. Anadarko (common)									
33. Loews (common)									
34. Fastenal (common)									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 11

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Russell, Thomas B.

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A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Microsoft (common)									
36. Coke (common)									
37. Johnson (common)									
38. Minnesota (3M) (common)									
39. BB & T Corp. (common)									
40. Proctor (common)									
41. CSI (common)									
42. Exxon Mobil (common)									
43. Oracle (common)									
44. Texas Instruments (common)					Sold	12/29/11	J	A	
45. Comcast (common)					Sold	12/29/11	J	A	
46. Walgreen (common)									
47. Adobe Sys (common)					Sold	10/25/11	J	A	
48. B.P. PLC (common)									
49. Hospira (common)					Sold	11/11	J	A	
50. Ebay (common)					Sold	12/29/11	J	A	
51. Texas Inds (common)									

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FINANCIAL DISCLOSURE REPORT

Page 7 of 11

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Russell, Thomas B.

Date of Report

05/03/2012

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52. Apple (common)									
53. Kaucx (common)									
54. Ishares (IWM)(common)									
55. Best Buy (common)					Sold	12/29/11	J	A	
56. Helix (common)					Sold	12/29/11	J	A	
57. Allscripts (common)					Sold	10/25/11	J	A	
58. SLF									
59. Portfolio									
60. SPDR									
61. Citigroup									
62. Trinity									
63. Dell					Buy	05/09/11	J		
64. Seadrill (previously mislabeled as Scandril)									
65. Wells Fargo									
66. Gilead									
67. China Mobile									
68. Corning					Sold	10/25/11	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 8 of 11

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69. Fluor									
70. National (NOV)									
71. US Steel									
72. Clean									
73. Am Semi (AMSC)									
74. Cresud									
75. Plexus									
76. Ashland (ASH)									
77. Dynamic (BOOM)					Sold	01/10/11	J	A	
78. Tata (TTM)									
79. Yum (YUM)									
80. Vanguard (VWO)									
81. Vanguard (VEA)									
82. Qualcomm (QCOM)					Sold	04/04/11	J	A	
83. Toyota (TM)									
84. Ford (F)									
85. Tesoro (TSO)									

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FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

Russell, Thomas B.

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86. Hewlett (HPQ)					Sold	10/25/11	J	A	
87. Sturm (RGR)					Buy	03/01/11	J		
88. AT&T					Buy	03/01/11	J		
89. Dell					Sold	05/09/11	J	A	
90. Kraft					Buy	10/25/11	J		
91. UTX					Buy	10/25/11	J		
92. Healthcare HCN					Buy	7/11	K		
93. SDY					Buy	03/01/11	J		
94. Fifth Third Security (CD)					Buy	03/01/11	K		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

Russell, Thomas B.

Date of Report

05/03/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I. POSITIONS

In October of 1996, I became a member of an investment club known as First Investors of Paducah, which is organized as a partnership. There are 15 partners divided into 7 teams. Each team invests their portion. I only have control over the investment of my team. I do have a 1/15 interest in all investments.

VII. INVESTMENTS AND TRUSTS

(No. 3 - 7) IRA- UBS. The total income from dividends and interest for the account was \$15,000 or less.

(No. 8 -10) IRA - UBS. This is my spouse's account. The total income from dividends and interest in the account was \$15,000 or less.

(No. 13 - 23) IRA - Regions Bank. The total income from dividends and interest for the account was \$15,000 or less.

I have an IRA with Regions Bank and UBS. Other than making an allocation choice between bonds, stocks, money markets, etc., I exercise no control over the IRA account. I am not consulted nor do I give advice on the individual investments.

(No. 24-93) First Investors of Paducah is an investment club organized as a partnership. There are 15 partners divided into 7 teams. Each team makes an independent investment of their portion. I exercise no control over the investments of the other 6 teams. I do have a 1/15 interest in all of the investments. Items 24 thru 93 represent the stock investments of the club. Line 24 reflects the estimate of my 1/15 interest in the entire assets of the partnership. My portion of the share of IBM (26), Simon PC (31), Exon (42), and Cresud (74), have a value of \$1,000 to \$2,500. My portion of the shares of CSI (41) and Apple (52) have a value of \$2,500 to \$5,000 in each. My portion of the remainder of all stocks held in First Investors, No. 24-93 all have a value of \$1,000 or less. My portion of the dividend income for all shares held by First Investors No. 24-93 is \$1,000 or less in each.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Russell, Thomas B.

Date of Report

05/03/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Thomas B. Russell

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544