

FINANCIAL DISCLOSURE REPORT FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Roberts, Bana B.	2. Court or Organization U.S.D.C. Western District of Oklahoma	3. Date of Report 06/28/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full Time U.S. Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 03/31/2013
7. Chambers or Office Address 200 NW 4th Street Room 1021 US Court House Oklahoma City, OK 73102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vanguard Tax Exempt Money Market	A	Interest	K	T					
2. Federal Employees Federal Credit Union	A	Interest	J	T					
3. BROKERAGE ACCOUNT #1 (IRA)									
4. --Fidelity Advisors Balanced Mutual Fund	A	Dividend	J	T					
5. --Fidelity Advisors Equity Growth Mutual Fund		None	J	T					
6. ---Fidelity Advisors Growth Opportunity Mutual Fund		None	J	T					
7. ---Fidelity Advisors Equity Income Mutual Fund	A	Dividend	J	T					
8. --Fidelity Advisors New Insights Mutual Fund	A	Dividend	J	T					
9. --Smith Barney Money Market Account	A	Interest	J	T					
10. BROKERAGE ACCOUNT #2									
11. --T. Rowe Price Mid-Cap Growth Mutual Fund	B	Dividend	K	T					
12. --Fidelity Low Price Stock Fund	C	Dividend	K	T					
13. --Schwab Cash Reserves Money Market	A	Interest	J	T					
14. BROKERAGE ACCOUNT #3 (IRA)									
15. --Schwab Money Market Account	A	Interest	J	T					
16. --Fidelity Contra Fund	B	Dividend	L	T					
17. --Oakmark Global Fund	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. --T. Rowe Price Mid Cap Value Fund	C	Dividend	L	T					
19. --T. Rowe Price Emerging Markets Fund	A	Dividend	L	T					
20. --T. Rowe Price Mid Cap Growth Fund	C	Dividend	L	T					
21. --Pimco High Yield Fund	B	Dividend	K	T					
22. --Aberdeen Australia Equity Fund		None	K	T					
23. --Blackrock International Growth Trust		None	J	T					
24. --Ishares Barclays Tips ETF		None			Sold	10/02/12	J	A	
25. --Ishares Nasdaq Biotech ETF		None			Sold	09/26/12	J	C	
26. --Ishares North American Technology ETF		None			Sold	10/12/12	J	A	
27. --PCM Fund, Inc	B	Dividend	K	T	Buy (add'l)	07/31/12	J		
28. --Pimco Corporate Opportunity fund	B	Dividend	K	T					
29. --Pimco Global Stocks Plus	B	Dividend	L	T	Buy (add'l)	7/31/12	K		
30. --Pimco Income Strategy Fund II	B	Dividend	K	T	Buy (add'l)	02/23/13	J		
31. --Loomis Sayles Bond Fund	B	Dividend	K	T					
32. --Western Asset High Income Fund		None	J	T					
33. --Buffalo Science and Tech Fund	C	Dividend	L	T					
34. --Pimco Strategic Global Fund	B	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Ishares Canada Index ETF		None			Sold	01/27/12	J	A	
36. Ishares Energy ETF		None			Sold	06/01/12	J	B	
37. Sector SPDR		None			Sold	02/24/12	J	A	
38. Sector SPDR Utilities		None			Sold	09/26/12	J	A	
39. Ishares Emerging Market Fund		None			Buy	01/27/12	J		
40.					Sold	03/30/12	J	A	
41. American Capital Agency REIT		None			Buy	02/13/12	K		
42.					Sold	04/25/12	K	A	
43.					Buy	05/14/12	K		
44.					Sold	07/18/12	K	B	
45. Powershares SP BuyWrite Portfolio		None			Buy	05/10/12	J		
46.					Buy (add'l)	07/31/12	J		
47.					Sold	02/07/13	K	A	
48. INVESTMENT CLUB									
49. --PCM Fund	B	Dividend	J	T					
50. --Pimco Global Stocks	B	Dividend	J	T					
51. --Pimco Corporate Opp.	B	Dividend	J	T					

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52. BROKERAGE ACCOUNT #5									
53. --T. Rowe Price Media & Telecommunications Fund	B	Dividend	K	T					
54. --T. Rowe Price Emerging Markets Stock Fund	A	Dividend	J	T					
55. --T. Rowe Price Real Estate Fund	A	Dividend	K	T					
56. BROKERAGE ACCOUNT #6									
57. --Skyline Special Equity Portfolio Fund		None	K	T					
58. --Pimco High Yield Fund		None			Sold	04/12/12	K	B	
59. --MB Financial Corp		None			Sold	05/03/12	K	A	
60. --RBC Life Sciences		None	J	T					
61. --Blackrock International Growth trust		None	J	T					
62. --ING Asia Pacific High Fund	B	Dividend	K	T					
63. --PCM Fund, Inc.	B	Dividend	K	T					
64. --Pimco Corporate Opportunity Fund	B	Dividend	K	T					
65. --Pimco Global Stocks Plus	B	Dividend	K	T	Buy (add'l)	04/17/12	K		
66. --Pimco High Income Fund	C	Dividend	K	T					
67. --Powershares Dynamic Banking Fund		None			Sold	03/30/12	J	A	
68. --Powershares Dynamic Semiconductor Fund		None	J	T					

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69. --Powershares Financial Preferred	B	Dividend	K	T					
70. --Van Kampen Muni Trust	C	Dividend	K	T					
71. --Sandridge Energy, Inc.		None			Buy	02/02/12	J		
72.					Sold	02/07/12	J	A	
73.					Buy	02/09/12	J		
74.					Sold	02/15/12	J	A	
75.					Buy	03/07/12	J		
76.					Sold	03/15/12	J	A	
77. Denbury Resources, Inc.		None	K	T	Sold	01/04/12	K	B	
78.					Buy	01/06/12	K		
79.					Sold	01/25/12	K	B	
80.					Buy	01/27/12	K		
81.					Sold	02/07/12	K	B	
82.					Buy	02/09/12	K		
83.					Sold	02/23/12	K	B	
84.					Buy	02/27/12	K		
85.					Buy (add'l)	03/07/12	K		

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86. Pro Shares Ultra Dow 30 ETF		None			Sold	06/01/12	J	A	
87. Pro Shares Ultra Russell 2000 ETF		None			Sold	06/01/12	J	A	
88. U.S. Oil Fund ETF		None			Sold	01/27/12	J	A	
89. United International Corporation Common Stock		None	J	T					
90. Brokerage Account #7									
91. --Ishares Austria Index Fd.		None			Buy	01/27/12	J		
92.					Sold	06/01/12	J	A	
93. --ProShares Ultra QQQ		None			Buy	03/30/12	J		
94.					Sold	06/01/12	J	A	
95. --Ishares Barclays TIPS ETF		None			Buy	06/01/12	J		
96.					Sold	10/02/12	J	A	
97.					Buy	10/05/12	J		
98.					Sold	02/01/13	J	A	
99. --Ishares Corporate Bond Fund		None			Buy	06/01/12	J		
100.					Sold	10/02/12	J	A	
101. --Vanguard Long Term Bond ETF		None			Buy	06/01/12	J		
102.					Sold	10/02/12	J	A	

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103. --Vanguard Intermediate Bond ETF		None			Buy	06/01/12	J		
104.					Sold	10/02/12	J	A	
105. --Sector SPDR Energy Select ETF		None	J	T	Buy	07/27/12	J		
106.					Sold	10/02/12	J	A	
107.					Buy	10/05/12	J		
108. --Ishares EURO350 ETF		None			Buy	10/02/12	J		
109.					Sold	10/02/12	J	A	
110.					Buy	10/05/12	J		
111.					Sold	10/26/12	J	A	
112. --Ishares Global Healthcare Index Fund		None			Buy	10/02/12	J		
113.					Sold	10/02/12	J	A	
114.					Buy	10/05/12	J		
115.					Sold	10/26/12	J	A	
116.					Buy	12/28/12	J		
117.					Sold	03/13/13	J	A	
118. --Ishares Telecom ETF		None			Buy	10/05/12	J		
119.					Sold	03/01/13	J	A	

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120. --Sector SPDR		None	J	T	Buy	10/05/12	J		
121. --Ishares North American Technology ETF		None	J	T	Buy	10/05/12	J		
122. --Ishares Japan Pacific ETF		None	J	T	Buy	10/26/12	J		
123.					Sold	12/28/12	J	A	
124.					Buy	12/28/12	J		
125. --Sector SPDR Health Fund ETF		None			Buy	10/26/12	J		
126.					Sold	11/30/12	J	A	
127. --Ishares Nasdaq Biotech ETF		None	J	T	Buy	11/30/12	J		
128.					Sold	12/28/12	J	A	
129.					Buy	03/01/13	J		
130. --Ishares Global Financials Index Fund		None	J	T	Buy	02/04/13	J		
131. --Sector SPDR Utilities Fd		None	J	T	Buy	03/01/13	J		
132. Brokerage Account #8									
133. --SPDR S & P 600 Small Cap Growth ETF		None	J	T	Buy	02/04/13	J		
134. --Ishares Global 100 Index Fund		None	J	T	Buy	02/04/13	J		
135. --Ishares Russell Midcap Value Index Fund		None	J	T	Buy	02/04/13	J		
136. Brokerage Account #9									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. --Vanguard CNSMR Discretionary Fd.		None			Buy	02/24/12	J		
138.					Sold	06/29/12	J	A	
139. --Ishares Japan Index Fund		None			Buy	03/30/12	J		
140.					Sold	06/01/12	J	A	
141. --Ishares Transportation Index Fund		None			Buy	06/01/12	J		
142.					Sold	07/27/12	J	A	
143. --Sector SPDR		None			Buy	06/29/12	J		
144.					Sold	10/03/12	J	A	
145. --Vanguard Intermediate Bond Fund ETF		None			Buy	10/05/12	J		
146.					Sold	12/28/12	J	A	
147. --Ishares Corporate Bond ETF		None			Buy	10/05/12	J		
148.					Sold	02/02/13	J	A	
149. --Vanguard Long Term Bond Fund ETF		None			Buy	10/05/12	J		
150.					Sold	12/28/12	J	A	
151. --Ishares Barclays TIPS ETF		None			Buy	10/05/12	J		
152.					Sold	12/28/12	J	A	
153. --Ishares South Africa ETF		None			Buy	12/28/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold	02/02/13	J	A	
155. --BLDRS Asia ADR Index ETF		None			Buy	12/28/12	J		
156.					Sold	02/02/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Bana B. Roberts**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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