

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Molzen, Karen B.	2. Court or Organization District Court - New Mexico	3. Date of Report 05/14/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief Magistrate Judge (full-time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address Pete V. Dominici Federal Courthouse 333 Lomas Blvd NW, Ste 730 Albuquerque, NM 87102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director (as of July 2012)	Center for Civic Values
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	UNM Law School - teaching Spring and Fall Semesters	\$2,656.02
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of Southwest	Lot loan	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA - CD at Bank of the West	A	Interest	J	T					
2. Premier MMKT Shares (Roth)	A	Dividend	J	T					
3. FEQIX (IRA)	A	Dividend	J	T					
4. HMDCX (IRA)	B	Dividend	K	T					
5. HCACX (IRA)	B	Dividend	K	T					
6. Universal Life Ins. Policy (Penn Mutual)	A	Interest	K	T					
7. GFAFX (Roth)		None			Sold	08/07/13	J	B	
8. ANNUITY (Allianz)		None	M	T					
9. HACAX (Roth)	B	Dividend	K	T	Buy (add'l)	11/19/13	K		
10. USAA (Checking)	A	Int./Div.	J	T					
11. HFCCX (IRA)	A	Dividend	K	T					
12. WASAX (B/NR)	A	Dividend	J	T					
13. AADFX (Roth)		None			Sold	08/07/13	K	C	
14. ALSRX (Roth)		None			Sold	08/07/13	J	B	
15. ARTVX (Roth)		None			Sold	08/07/13	J	B	
16. WASCX (N/BR)	A	Dividend	K	T					
17. HABCX (IRA)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. NQCRX (Roth)		None			Sold	08/07/13	J	B	
19. LUV (N/BR)	A	Dividend	J	T					
20. Sierra Co. NM Lot purchased 8/29/09 for \$94,000		None	L	R					
21. Loan to 814 Solutions - note receivable		None			Matured	01/01/13	J	A	
22. BBVA Compass Account		None	L	T					
23. NMEFCU Accounts	A	Int./Div.	J	T					
24. HFMCX (B/NR)		None			Sold	04/30/13	K	C	
25. CRMMX (Roth)		None			Sold	08/07/13	J	B	
26. FGADX (Roth)		None			Sold	08/07/13	J	B	
27. HGHIX (Roth)		None			Sold	08/07/13	J	B	
28. IENAX (Roth)		None			Sold	08/07/13	J	B	
29. ARTKX (Roth)		None			Sold	08/07/13	J	B	
30. GHYYX (Roth)	A	Dividend			Sold	08/07/13	J	A	
31. SAMBX (Roth)	A	Dividend			Sold	08/07/13	J	A	
32. EIHMx (Roth)	A	Dividend			Sold	08/07/13	J	A	
33. NHMRX (Roth)	A	Dividend			Sold	08/07/13	J	A	
34. TFFYX (Roth)	A	Dividend			Sold	08/07/13	K	C	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Insured Cash (N/BR) (X)	A	Interest	J	T					
36. Residence in Las Cruces NM (1999 - \$190,000)	D	Rent			Sold	09/30/13	N	D	Jorge Valadez
37. GSFTX (Roth)	A	Dividend	K	T	Buy	08/07/13	K		
38. ODVYX (Roth)	A	Dividend	K	T	Buy	11/19/13	K		
39. OTCFX (Roth)	A	Dividend	J	T	Buy	11/19/13	J		
40. PYSVX (Roth)	A	Dividend	K	T	Buy	11/19/13	K		
41. ARTMX (Roth)	A	Dividend	J	T	Buy	11/20/13	J		
42. ARTQX (Roth)	A	Dividend	J	T	Buy	11/20/13	J		
43. DODFX (Roth)	A	Dividend	K	T	Buy	11/20/13	K		
44. PHYZX (Roth)	A	Dividend			Buy	08/07/13	J		
45.					Sold	11/19/13	J	A	
46. PRWCX (Roth)		None			Buy	08/07/13	J		
47.					Sold	11/19/13	J	A	
48. PTPPX (Roth)	A	Dividend			Buy	08/07/13	K		
49.					Sold	11/19/13	K	A	
50. CRIZX (Roth)		None			Buy	08/07/13	J		
51.					Sold	11/19/13	J	A	

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52. CTEZX (Roth)	A	Dividend			Buy	08/07/13	K		
53.					Sold	11/19/13	K	A	
54. DBLTX (Roth)	A	Dividend			Buy	08/07/13	K		
55.					Sold	11/19/13	K	A	
56. FHYVX (Roth)	A	Dividend			Buy	08/07/13	J		
57.					Sold	11/19/13	J	A	
58. LAVFX (Roth)		None			Buy	08/07/13	K		
59.					Sold	11/19/13	K	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Karen B. Molzen**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544