

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Azrack, Joan M.	2. Court or Organization US District Court East Dist NY	3. Date of Report 10/21/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge-full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address United States Courthouse 225 Cadman Plaza East Room 333 Brooklyn, NY 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2012

2012 Salary and bonus from Landman Corsi Ballaine & Ford PC

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank NA Personal Banking New York, NY (see VIII)	A	Interest	J	T					
2. (Intentionally left blank)									
3. Merck & Co Inc	A	Dividend			Sold	05/07/12	J	D	
4. Asia Tigers Fund Inc	A	Dividend			Sold	05/07/12	K	A	
5. Blackrock Real Asset Equity Tr	B	Dividend			Sold	05/07/12	J	A	
6. Blackrock Intl Growth & Inc Tr	B	Dividend			Sold	05/07/12	J	A	
7. Citibank NA Personal Banking, New York, NY (see VIII)	A	Interest	K	T					
8. Templeton Emerging Markets Fund Inc	A	Dividend			Sold	05/07/12	L	E	
9. Advantage Bank Deposits (IRA)	A	Interest	J	T					
10. Western Asset Citi Liquid Reserve (see VIII)	A	Dividend	J	T					
11. Western Asset Citi New York Tax Free Reserves (see VIII)	A	Interest	J	T					
12. SSGA Prime Money Market (IRA) (see VIII)	A	Distribution	J	T	Buy	05/14/12	O		
13. Fid VIP Equity Incm Port Nationwide Ann Contract (see VIII)	A	Dividend	L	T					
14. Fid VIP Growth Port Nationwide Annuity Contract (see VIII)	A	Dividend	K	T					
15. Fid VIP Overseas Port Nationwide Annuity Contract (see VIII)	A	Dividend	K	T					
16. Fid VIP2 Asset Mgr Port Nationwide Ann Contract (see VIII)	A	Dividend	L	T					
17. Pimco Low Duration Fund Intl (IRA)	A	Distribution	L	T	Buy	05/24/12	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Pimco Total Ret Fund (IRA)	A	Distribution	L	T	Buy	05/24/12	L		
19. Opp Global Sec Fnd/Va Nationwide Ann Contract (see VIII)	A	Dividend	K	T					
20. GNMA Pass Thru Pool Dtd 11/1/86, due 10/15/2016 (see VIII)	A	Interest			Redeemed	04/16/12	J	A	
21. Fidelity Blue Chip Fund - Fidelity 401(k) (see VIII)	A	Dividend	K	T	Sold (part)	05/04/12	L	C	
22. Fidelity Diversified Intl - 401(k) (see VIII)	A	Dividend	L	T	Sold (part)	05/04/12	L	A	
23. Fidelity Freedom 2020 Fund - 401 (k) (see VIII)	A	Dividend	K	T	Sold (part)	05/04/12	K	A	
24. Fidelity Contrafund - 401(k) (see VIII)	B	Dividend	M	T	Sold (part)	05/04/12	M	E	
25. Fidelity Grow & Inc - 401(k) (see VIII)	A	Dividend	L	T	Sold (part)	05/04/12	M	A	
26. Fidelity Value Fund - 401 (k) (see VIII)	A	Dividend	K	T	Sold (part)	05/04/12	K	A	
27. Fidelity Retire Mmkt - 401(k) (see VIII)	A	Dividend	L	T	Sold (part)	05/04/12	L	A	
28. JP Morgan Growth & Income "A" shares	A	Dividend	J	T					
29. JP Morgan Intl Opps Fund "A" shares	A	Dividend	J	T					
30. Walt Disney Co	A	Dividend	J	T					
31. Alliance NY Muni Income Fund	C	Dividend	L	T					
32. NVIT Money Market I Nationwide Annuity Contract (see VIII)	A	Dividend	K	T					
33. Adv NY T/E Liquidity Fund	A	Dividend	J	T					
34. Medco Health Solutions Inc	A	Dividend			Sold	04/03/12	J	A	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. (Fidelity) Am Cent Equity Inc - 401(k) (see VIII)	A	Dividend	K	T	Sold (part)	05/04/12	J	A	
36. Eaton Vance Insured NY Municipal Bond Fd	C	Dividend	L	T					
37. Kinetics Paradigm Adv Cl C	A	Dividend	L	T					
38. Eaton Vance Tax-Managed Buy writ Fund	A	Dividend	J	T					
39. Kinetics Paradigm Adv Cl C (IRA)	A	Dividend	K	T					
40. ING Global Equity Dividend & Premium Oppty Fund (IRA)	A	Dividend	K	T					
41. Fidelity Investment Gr Bond Fd (401k) (see VIII)	A	Dividend	L	T	Sold (part)	05/04/12	M	D	
42. New York 529 Coll Saving Pgrm Grth Portfolio (see VIII)	A	Dividend	J	T	Buy (add'l)	01/04/12	J		
43. New York 529 Coll Saving Pgrm Infl Prct Sec Port (see VIII)	A	Dividend	J	T					
44. New York 529 Coll Saving Pgrm Aggr Growth Port (see VIII)	A	Dividend	J	T	Buy (add'l)	01/04/12	J		
45. New York 529 Coll Saving Pgrm Growth Port (see VIII)	A	Dividend	J	T	Buy (add'l)	01/04/12	J		
46. New York 529 Coll Saving Pgrm Small Cap Port (see VIII)	A	Dividend	J	T	Buy (add'l)	01/04/12	J		
47. New York 529 Coll Saving Pgrm Conserv Growth Port (see VIII)	A	Dividend	J	T					
48. Vanguard High Yield Corp (IRA)	A	Distribution	K	T	Buy	05/24/12	K		
49. Kinetics Paradigm Adv C (IRA)	A	Dividend	J	T					
50. Vanguard Short Term Invnt Grade (IRA)	A	Distribution	L	T	Buy	05/24/12	L		
51. Northwestern Mutual Life whole policy	B	Dividend	L	T					

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52. Advantage Offshore Liquidity Fund (IRA)	A	Dividend	J	T					
53. First Tr Strategic High Income Fd (IRA)	A	Dividend	J	T					
54. AT&T Inc.	A	Dividend			Sold	05/07/12	J	B	
55. Vanguard Intm Term Invst Grade (IRA)	A	Distribution	L	T	Buy	05/24/12	L		
56. Fidelity Freedom 2010 Fund (401k) (see item 64 and VIII)	A	Dividend	J	T	Sold (part)	05/04/12	J	A	
57. GRTMR NVIT INTL EQ 3 Nationwide Ann. Contract (see VIII)	A	Dividend	J	T					
58. NVIT Mult-Mgr Intl Gr 3 Nationwide Ann. Contract (see VIII)	A	Dividend	J	T					
59. JP Morgan 3150/Mid Cap Growth - A	A	Dividend	J	T					
60. OPP NVIT LGCAP GR1 Nationwide Ann. Contract (see VIII)	A	Dividend	K	T					
61. Invesco NY Quality Mun Secs Com	A	Interest	K	T					
62. Black Rock Mid Cap Value Equity C (IRA)	A	Dividend	J	T					
63. Black Rock Mid Cap Value Equity C (IRA)	A	Dividend	J	T					
64. Advantage Bank Deposits (IRA) (see VIII)	A	Interest	K	T					
65. (Intentionally left blank)									
66. Columbia Midcap Value Fund (IRA)	A	Distribution	L	T	Buy	05/24/12	L		
67. FMI Large Cap Fund (IRA)	A	Distribution	K	T	Buy	05/18/12	K		
68. Jensen Quality Gr (IRA)	A	Distribution	K	T	Buy	05/18/12	K		

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69. Odyssey Growth (IRA)	A	Distribution	K	T	Buy	05/18/12	K		
70. T Rowe Price Small Cap Stock Fund (IRA)	A	Distribution	L	T	Buy	05/24/12	K		
71. Vanguard Extended Market Index (IRA)	A	Distribution	K	T	Buy	05/18/12	K		
72. Vanguard 500 Index Fund (IRA)	A	Distribution	L	T	Buy	05/24/12	L		
73. Harbor Intl Inv (IRA)	A	Distribution	K	T	Buy	05/18/12	K		
74. Scout Intl (IRA)	A	Distribution	K	T	Buy	05/24/12	K		
75. Vanguard Intl (IRA)	A	Distribution	K	T	Buy	05/18/12	K		
76. Harbor Intl Inv	A	Distribution	J	T	Buy	05/24/12	J		
77. Odyssey Growth	A	Distribution	K	T	Buy	05/24/12	K		
78. Odyssey Agg Growth	A	Distribution	K	T	Buy	05/24/12	K		
79. Vanguard Intl	A	Distribution	K	T	Buy	05/24/12	K		
80. Vanguard Sig Ext Mkt	A	Distribution	K	T	Buy	05/24/12	K		
81. Columbia Mid Cap	A	Distribution	K	T	Buy	05/24/12	K		
82. FMI Large Cap	A	Distribution	J	T	Buy	05/24/12	J		
83. Jensen Quality Gr	A	Distribution	J	T	Buy	05/24/12	J		
84. Vanguard Sign Index 500	A	Distribution	K	T	Buy	05/24/12	K		
85. Pimco Low Dur	A	Distribution	K	T	Buy	05/24/12	K		

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86. Pimco Total Return	A	Distribution	J	T	Buy	05/24/12	J		
87. Vanguard High Yield	A	Distribution	J	T	Buy	05/24/12	J		
88. Vanguard Muni Intermed	A	Distribution	J	T	Buy	05/24/12	J		
89. Vanguard Muni Limited	A	Distribution	K	T	Buy	05/24/12	K		
90. SSGA Prime Money Market (see VIII)	A	Distribution	J	T	Buy	05/17/12	M		
91. New York 529 College Savings Prgm Mid-Cap Port (see VIII)	A	Dividend	J	T	Buy	01/04/12	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Transactions reported in Section VII Column D do not include securities automatically purchased during the year with dividend reinvestments.

Section VII, Items 1 and 7: Citibank NA Personal Banking Item 1 is a [REDACTED] checking account which had balances that fluctuated between value codes J and M during 2012; funds were replenished and withdrawn according to liquidity needs. Item 7 is a separate individual checking account in which balances also fluctuated during 2012; but the balances never exceeded value code K.

Section VII, Items 10 & 11: Western Asset/Citi Liquid Reserve and Western Asset/Citi New York Tax Free Reserves are money market funds. During 2012, Item 10 had balances that fluctuated between value codes J and M with funds being replenished or withdrawn according to liquidity needs. Balances in Item 11 also fluctuated during the year but they never exceeded value code J.

Section VII, Item 12: This is a money market account into which funds equal to value code O were deposited on 5/14/12, after which the deposited money market funds were used on 5/18/12 and 5/24/12 to make initial purchases of numerous other investments, the purchases of which are separately identified in Section VII.

Section VII, Items 13 thru 16, 19, 32, 57, 58 and 60: as in prior years, during 2012 [REDACTED] held investments in numerous funds available under a Nationwide variable annuity fund contract. There were no transfers between or withdrawals from those accounts during the reporting year. Also, based on a review of filing instructions, it appears these Nationwide variable annuity fund Items should be treated as having no income during the reporting period; in prior years they were reported as having income with a minimum amount code ("A")

Section VII, Items 21 thru 27, 35, 41 and 56: As in prior years, during 2012 [REDACTED] held investments in numerous funds available under his firm's 401 (k) plan and made additional 401k contributions to the plan in amounts which, in the aggregate, fell within value code K. It appears that the 2011 Report inadvertently listed the [REDACTED] 401(k) investment in Fidelity Freedom 2010 Fund twice (see Items 56 and 65 of 2011 Report). The inadvertent duplication has been eliminated in this 2012 Report. Also, based on a review of filing instructions, it appears these 401(k) fund Items should be treated as having no income during the reporting period; in prior years they were reported as having income with a minimum amount code ("A"). Finally, it appears the 2011 Report inadvertently reported in column b1 of item no 41 income of D value; it should have report income value of B.

Section VII, Item 33: This is a liquid fund with balances that fluctuated as high as value code L during 2012. Balances in the liquid fund were used in May 2012 to make distributions that funded other investments, the purchases of which are separately identified in Section VII.

Section VII, Items 42 thru 47 and 91: During 2012, investments were held [REDACTED] in New York 529 College Savings Program funds set up by [REDACTED]. During 2012, certain funds were distributed out of Items 43 and 45 to make qualified payments toward [REDACTED] college tuition and board. These distributions toward college tuition and board were made at various times in the latter half of 2012; the distributions aggregated a total amount that falls within value code J. Also, based on a review of filing instructions, it appears these 529 plan fund Items should be treated as having no income during the reporting period; in prior years they were reported as having income with a minimum amount code ("A")

Section VII, Item 90: This is a money market account into which funds equal to value code M were deposited on 5/17/12, after which the deposited money market funds were used on 5/24/12 to make initial purchases of numerous other investments, the purchases of which are separately identified in Section VII.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Azrack, Joan M.

Date of Report

10/21/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Joan M. Azrack**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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