AO 10
Rev. 1/2013

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
CHESNEY, MAXINE M.	U.S. DISTRICT CT./NO. DIST. CA	05/06/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Senior	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2012 **to** 12/31/2012
7. Chambers or Office Address		
U.S. District Court 450 Golden Gate Avenue San Francisco, CA 94102		

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	02/26/93	With SF City & Cty Employees' Retirement System for pension payable monthly until death, based on former employment with SF Dist.Atty.
2.	10/29/05	With State of California Judges' Retirement System for retirement benefits payable monthly until death, based on former employment as SF Superior Court Judge
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2012	San Francisco City & County Employees' Retirement System - Pension	$14,445.00
2.	2012	California Judges' Retirement System - Pension	$107,328.00
3.	6/13/2012	Rutter Group - Faculty Compensation	$500.00
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2012	California Judges' Retirement System - Pension
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Rutter Group	6/13/12	San Francisco, CA	Program (teaching)	Parking
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
CHESNEY, MAXINE M.	05/06/2013

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. Common Stock - Advanced Polymer		None	J	T					
2. Mutual Fund - Columbia CA Tax - Exempt	C	Interest	M	T					
3. Bond - Calipatria CA Redev Agy	A	Interest	K	T					
4. Bond - Sequoia CA Hospital District	A	Interest	J	T					
5. Bank Accts. - Wells Fargo	C	Interest	P1	T					
6. Common Stock - Cisco Systems	B	Dividend	L	T	Sold (part)	2/7/12	K		
7. Bond - San Benito Health Care Dist.	A	Interest	J	T					
8. IRA - Raymond James	A	Dividend	L	T					
9. -Common Stock - Berkshire Hathaway Hldg Co. CL B									
10. -Common Stock - Google Inc.									
11. -Common Stock - American Express									
12. -Common Stock - IShares					Sold	7/20/12	J		
13. -Common Stock - Mkt Vectors					Sold	2/10/12	J	A	
14. -Corp. Bond - Gen. Mills					Redeemed	9/10/12	J		
15. -Mutual Fund -Source Cap, Inc.					Sold	12/4/12	J		
16. -Common Stock - Merck & Co.									
17. -Common Stock - Johnson & Johnson									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
CHESNEY, MAXINE M.	05/06/2013

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. -Common Stock - Clorox									
19. -Mutual Fund - Dodge & Cox Income					Buy (add'l)	7/20/12	J		
20. -Mutual Fund - FPA New Income									
21. -Common Stock - Apple Inc.					Buy	2/7/12	J		
22. -Common Stock - Century Link					Buy	10/10/12	J		
23. -Common Stock - Leucadia Nat'l					Buy	12/4/12	J		
24. -Bond Fund - T. Rowe Price					Buy	7/20/12	J		
25. Bond - N. Inyo Cnty Cal. Local Hosp.	A	Interest	K	T					
26. Bond - Cal. Edl. Facs. Rev.	A	Interest	J	T					
27. Bond - Woodland Cal. Fin. Auth.	B	Interest	K	T					
28. Bond - Pomona Cal. Redev. Agy Tax Alloc.	A	Interest	J	T					
29. Bond - Placentia CA Redev Agy Hsg	A	Interest	K	T					
30. Bond - Montclair CA Redev Agy	A	Interest			Redeemed	11/15/12	J	A	
31. Bond - Cudahy CA Redev Agy	A	Interest	K	T					
32. Bond - Kern Vy Healthcare Dist CA	A	Interest	J	T					
33. Bond - Bell Comnty Redev Agy CA Tax	A	Interest	J	T					
34. Common Stock - Microsoft	D	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
35. Bond - CA Edl Facs	A	Interest	J	T					
36. Bond - Woodland CA Commty Facs	A	Interest	J	T					
37. Bond - Yuba City CA Redev Agy	A	Interest	J	T					
38. Common Stock - Reading Int'l		None	K	T					
39. Common Stock - American Express	A	Dividend	K	T					
40. Common Stock - Berkshire Hathaway CL A		None	M	T					
41. Bond - Plumas CA Elem Sch Dist	A	Dividend	J	T					
42. Bond - Valley Sanitary Dist CA	A	Dividend	K	T					
43. Common Stock - Berkshire Hathaway CL B		None	M	T					
44. Common Stock - Farmers & Merchants Bank Long Beach	A	Dividend	K	T					
45. Common Stock - Oracle Corp.	A	Dividend	K	T	Sold (part)	2/7/12	L	D	
46.					Sold (part)	10/10/12	K	D	
47. Bond - Bakersfield CA Imp't Bd	A	Dividend			Sold	11/20/12	J		
48. Bond - Blythe CA Redev Agy	A	Interest	J	T					
49. Bond - Chula Vista CA Redev Agy	A	Interest	J	T					
50. Bond - Hercules CA Imp't Bd	A	Dividend	J	T					
51. Bond - Mojave Desert & Mtn CA Waste Mgmt	A	Dividend	K	T					

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52. Bond-Woodland CA Redev Agy	A	Interest	J	T					
53. Bond-Holtville CA Redev Agy	A	Interest	K	T					
54. Bond-Riverbank CA Redev Agy	A	Interest	J	T					
55. Mutual Fund - Source Cap, Inc.	B	Dividend	L	T	Sold (part)	12/4/12	K		
56. Corp. Bond - Leucadia Nat'l Corp.	C	Interest	L	T					
57. Bond - Long Beach CA Fin. Auth.	A	Interest	K	T					
58. Bond - Lancaster CA Fin. Auth.	A	Interest	J	T					
59. Bond - Indep. Cities CA Lease Fin. Auth.	A	Interest	J	T					
60. Bond - ABAG Fin. Auth. for Nonprofit	A	Interest	K	T					
61. Bond - ABAG Fin.Auth. Jackson Lab	A	Interest	J	T					
62. Bond - CA Hlth Facs Fin'g Auth. Hope	A	Interest			Redeemed	11/1/12	J	A	
63. Corp. Bond - Kellog Co.	B	Interest			Redeemed	12/4/12	K		
64. Bond - CA Stwde Cmntys Rev	A	Interest	K	T					
65. Bond - Banning CA Fin'g Auth	A	Interest	J	T					
66. Bond - Bakersfield CA Impt	A	Interest	J	T					
67. Bond - Capistrano CA Uni Sch Dist	A	Interest	J	T					
68. Bond - Daly City CA Hsg Dev	B	Interest	K	T					

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69. Bond - LaQuinta CA Fin'g Auth	A	Interest	K	T					
70. Bond - CA Stwde Comntys Dev Auth	A	Interest	K	T					
71. Mutual Fund - IShares *See Part VIII-Add'l Info	A	Dividend			Sold	7/20/12	K		
72. Mutual Fund - Mkt Vectors *See Part VIII-Add'l Info		None			Sold (part)	2/7/12	K	A	
73.					Sold	5/30/12	K		
74. Common Stock - Google		None	L	T					
75. Corp. Bond - Autozone	B	Interest	L	T					
76. Corp. Bond - Dell Inc.	B	Interest	L	T					
77. Corp. Bond - Walt Disney	C	Interest	L	T					
78. Corp. Bond - G.E. Cap. Corp.	A	Interest			Redeemed	2/15/12	K		
79. Corp. Bond - Gen. Mills	B	Interest			Redeemed	9/10/12	K		
80. Bond-CA St. G.O. Unltd.	A	Interest	J	T					
81. Bond-Lake Elsinore CA Pub Fin'g	A	Interest	J	T					
82. Bond-CA St. Ref. G.O. Unltd.	A	Interest	K	T					
83. Bond-Sacto Cty Ca Arpt Sys	A	Interest	J	T					
84. Common Stock-Johnson & Johnson	B	Dividend	K	T					
85. Common Stock - Clorox	A	Distribution	K	T					

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CHESNEY, MAXINE M.	05/06/2013

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86. Common Stock - Merck & Co.	A	Dividend	K	T					
87. Bank Acct. - Provident Credit Union	A	Interest	K	T					
88. Common Stock - Chevron Corp.	B	Dividend	K	T					
89. Common Stock - Conoco Phillips	B	Dividend	K	T					
90. Corp. Bond - Dow Chemical	C	Interest	L	T					
91. Mutual Fund - FPA New Incom	C	Dividend	M	T					
92. Corp. Bond - Time Warner	B	Interest	L	T					
93. Mutual Fund - Dodge & Cox Income	C	Dividend	M	T	Buy (add'l)	7/20/12	K		
94. Bond - Chula Vista CA Elem Sch Dist	A	Interest	J	T	Buy	12/17/12	J		
95. Bond - Chino CA Redev Agcy	A	Interest	K	T	Buy	10/4/12	K		
96. Bond - Univ CA Regents Med Ctr	A	Interest	J	T	Buy	6/13/12	J		
97. Bond - San Juan CA Uni Sch Dist	A	Interest	J	T	Buy	2/24/12	J		
98. Corp. Bond - G.E. Cap. Corp.	B	Interest	L	T	Buy	2/16/12	L		
99. Common Stock - Leucadia Nat'l	A	Dividend	K	T	Buy	2/4/12	K		
100. Common Stock - Century Link	A	Dividend	K	T	Buy	10/10/12	K		
101. Common Stock - Apple Inc.	A	Dividend	L	T	Buy	2/7/12	L		
102.					Buy (add'l)	10/10/12	K		

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
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103. Bond Fund - T. Rowe Price	A	Dividend	K	T	Buy	7/20/12	K		
104. Corp. Bond - Hewlett-Packard	A	Interest	K	T	Buy	7/20/12	L		
105. Corp. Bond - Pitney Bowes	B	Interest	L	T	Buy	1/26/12	L		
106. Corp. Bond - CSX Corp.	B	Interest	L	T	Buy	1/25/12	L		
107. Corp. Bond - Equity Res. Props	C	Interest	L	T	Buy	1/24/12	L		
108. Corp. Bond - Anheuser Busch	B	Interest	L	T	Buy	1/23/12	L		
109. Corp. Bond - Internat'l Paper	B	Interest	L	T	Buy	1/23/12	L		
110. Corp. Bond - Wells Fargo	C	Interest	L	T	Buy	1/23/12	L		
111. Bank Deposit Acct. - Raymond James	A	Interest	N	T	Open	1/11/12	N		
112. Corp. Bond - Alcoa	B	Interest	L	T	Buy	2/16/12	L		
113. Common Stock - Phillips 66	A	Dividend	J	T	Spinoff (from line 89)	4/16/12	J		
114. Money Mkt Acct - Southwest Securities		None	K	T	Open	11/1/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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CHESNEY, MAXINE M.	05/06/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII.

Line 71. Mutual Fund - IShares was incorrectly listed previously as common stock.

Line 72. Mutual Fund - Mkt Vectors was incorrectly listed previously as common stock.

Name of Person Reporting	Date of Report
CHESNEY, MAXINE M.	05/06/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ MAXINE M. CHESNEY**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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