

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Payne, Robert E.	2. Court or Organization United States District Court Eastern District of Virginia	3. Date of Report 08/13/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Article III Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address United States District Court 701 E. Broad Street, Suite 7310 Richmond, Virginia 23219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 12/13/12	IRA #1 Distribution	\$21,444.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. The Ted Dalton American Inn of Court	April 23-24, 2012	Roanoke, Virginia	Guest Speaker	Transportation, lodging, meals
2. USDC - EDVA	October 4-5, 2012	Williamsburg, Virginia	Attend Annual Meeting of District Judges	Transportation
3. Administrative Office	December 4-6, 2012	Naples, Florida	Attend bi-annual meeting of the Judicial Resources Committee	Transportation, lodging, meals
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1	D	Int./Div.	O	T					
2. -- American Europacific Growth Fund			K	T	Buy (add'l)	08/24/12	J		
3.					Buy (add'l)	11/01/12	J		
4.					Sold (part)	12/11/12	J		
5. -- Artisan Mid Cap Value Fund			J	T					
6. -- Blackrock Equity Dividend Fund CL Institutional			K	T					
7. -- Blackrock Inflation Protected Bond			J	T					
8. -- BP Plc Spons (Y)									
9. -- Cambiar Opportunity Fund			K	T					
10. -- Columbia Select Large Cap Fund			K	T	Buy (add'l)	11/09/12	J		
11.					Buy (add'l)	11/16/12	J		
12.					Sold (part)	12/11/12	J		
13. -- Eaton Vance Commodity Strategy Fund			K	T	Buy (add'l)	08/24/12	J		
14.					Buy (add'l)	11/09/12	J		
15. -- Goldman Sachs Absolute Return			K	T	Buy (add'l)	08/24/12	J		
16.					Buy (add'l)	11/09/12	J		
17. -- Goldman Sachs Trust			J	T	Buy (add'l)	08/24/12	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Sold (part)	11/09/12	K		
19. -- Guggenheim Managed Futures			K	T	Buy (add'l)	05/21/12	J		
20.					Sold (part)	08/24/12	J		
21.					Sold (part)	11/09/12	J		
22. -- ING Global Real Estate Fund			J	T	Sold (part)	08/24/12	J		
23. -- IQ Alpha Hedge Strategy Fund			K	T					
24. -- iShares Barclay Shf. Treas.			K	T	Buy	08/24/12	K		
25.					Sold (part)	11/15/12	J		
26. -- Lazard Developing Markets Equity			K	T	Buy (add'l)	05/21/12	J		
27.					Sold (part)	11/15/12	J		
28. -- Loomis Bond Fund Inst'l			K	T	Sold (part)	08/24/12	J		
29.					Sold (part)	11/09/12	J		
30.					Sold (part)	11/15/12	J		
31. -- MS Liquid Asset Fund			J	T					
32. -- Neuberger Berman Large Cap Disciplin Growth Fund			K	T	Buy (add'l)	11/09/12	J		
33.					Sold (part)	12/11/12	J		
34. -- Pimco Emerging Local Bond Fund			K	T	Buy (add'l)	08/24/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$5,001,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -- Pimco Total Return Fund			L	T	Sold (part)	08/29/12	J		
36.					Sold (part)	11/15/12	J		
37.					Sold (part)	12/11/12	J		
38. -- Prudential Jennison Mid Cap Growth Fund			K	T					
39. -- Royce Premier Fund			J	T					
40. -- TCW Small Cap Growth Fund			J	T					
41. -- Templeton Global Bond Fund			K	T	Sold (part)	08/29/12	J		
42.					Sold (part)	12/11/12	J		
43. -- Thornburg International Value Fund			K	T	Buy (add'l)	08/24/12	J		
44.					Buy (add'l)	11/09/12	J		
45. -- Virtus Emerging Markets			K	T	Sold (part)	11/15/12	J		
46. -- Wells Fargo Advantage High Income Fund			J	T	Buy (add'l)	08/24/12	J		
47.					Sold (part)	11/15/12	J		
48. -- Western Asset Core Plus Bond Fund			K	T	Sold (part)	08/24/12	J		
49.					Sold (part)	11/15/12	J		
50.									
51. IRA #2 ☐ :			M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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52. -- American Century Int'l Bond Fund			J	T					
53. -- American Europacific Growth Fund			J	T	Buy (add'l)	08/24/12	J		
54.					Buy (add'l)	11/09/12	J		
55. -- American Growth Fund of America			J	T					
56. -- Artisan Midcap Value			J	T	Buy	06/08/12	J		
57. -- Aston/Montag & Caldwell Growth			J	T	Buy (add'l)	12/28/12	J		
58. -- Baron Growth Fund			J	T					
59. -- Blackrock Inflation Protection Bond			J	T	Buy	08/24/12	J		
60. -- Davis NY Venture Fund			J	T					
61. -- Delaware International Value Equity Fund			J	T	Buy (add'l)	08/24/12	J		
62.					Buy (add'l)	11/09/12	J		
63. -- Dreyfus Emerging Markets Fund			J	T					
64. -- EV Income Fund of Boston			J	T	Buy	08/24/12	J		
65. IVY Midcap Growth			J	T	Buy	06/08/12	J		
66. -- Lazard Emerging Markets Fund			J	T					
67. -- Pimco Emerging Markets Bond Fund			J	T	Buy	06/08/12	J		
68. -- Royce Premier Fund			J	T					

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69. -- T. Rowe Price Equity Income Fund			J	T					
70. -- Western Asset Core Plus Portfolio			J	T					
71. -- Western Asset Government Money Market Fund			J	T					
72.									
73. Brokerage Account #1									
74. -- Citibank NA - Cash	A	Interest	N	R					
75. -- Western Asset Managed Municipal	A	Interest	J	T					
76.									
77.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part VII, page 4, line 2 - "American Europacific Growth Fund" is a name change. In the 2011 annual report, Part VII, page 7, line 60 - asset was named "Europacific Growth Fund"
2. Part VII, page 4, line 8 - this item was inadvertently omitted in the 2011 annual report. BP PLC Spons should have been reported on the 2011 report as follows:
BP PLC Spons - "Sold" with a sell date of 12/01/11 and with a value code of "J"
3. Part VII, page 5, line 19 - "Guggenheim Managed Futures" - is a name change. It was formerly "Rydex SGI Managed Futures Strategy Fund." In the 2011 annual report, this item was listed at Part VII, page 11, line 136 as
"Rydex SGI Managed Futures Strategy Fund."
4. Part VII, page 5, line 31, "MS Liquid Asset Fund" - is a name change. It was formerly "Dreyfus Liquid Assets." In the 2011 annual report, this item was listed at Part VII, page 6, line 46 as "Dreyfus Liquid Assets."
5. Part VII, page 7, line 51 "IRA #2 (S)" is new name. This IRA was listed as "IRA #3" in the 2011 annual report, page 16, line 206.
"IRA #2" in the 2011 annual report has been closed - as reflected at page 15, line 197 of the 2011 annual report
6. Part VII, page 7, line 57. This item was erroneously omitted in the 2011 annual report. This item should have been reported on the 2011 annual report as follows:
Ashton/Montag & Caldwell Growth; as a "buy" and with a buy date of 8/22/11 and a value code of "J"
Ashton/Montag & Caldwell Growth; as a "buy add1" with a buy date of 12/30/11 and a value code of "J"

Accordingly, the purchase of Ashton/Montag & Caldwell on 12/28/12 is reported as "buy add1" on 7/57 in the 2012 annual report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Robert E. Payne

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544