

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SIRAGUSA, CHARLES J.	2. Court or Organization U.S. DISTRICT COURT, WDNY	3. Date of Report 04/23/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S.DISTRICT JUDGE (SENIOR)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 1360 UNITED STATES COURTHOUSE 100 STATE STREET ROCHESTER, NEW YORK 14614		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. PENSION	NEW YORK STATE RETIREMENT SYSTEM (STARTED TO RECIEIVE BENEFITS AS OF NOVEMBER 1, 2005)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2017

ATTORNEY (SELF-EMPLOYED)

2. 2017

BROWN, GRUTTADARO, GAUJEAN & PRATO, PLLC (SALARY)

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. M & T BANK	A	Interest	M	T					
2. ACCOUNT # 1 (H)									
3. MORGAN STANLEY PRIVATE BANK NA (CASH) (X)	A	Interest	J	T					
4. ADIDAS AG (ADDYY) (Y)					Buy	01/20/17	J		
5. ADIENT PLC COM (ADNT)	A	Dividend	J	T	Buy	01/18/17	J		
6. ADOBE SYSTEMS (ADBE)		None	J	T					
7. ADVANCED ACCELERATOR APP (X)		None			Sold	10/30/17	J	A	
8. AETNA INC NEW CT (AET) (Y)									
9. AFLAC INCORPORATED (AFL) (X)	A	Dividend	J	T					
10. AKAMAI TECHNOLOGIES (AKAM)		None	J	T					
11. ALEXION PHARM INC (ALXN)		None	J	T					
12. ALIBABA GROUP HLDG LTD (BABA)		None	J	T	Buy	01/20/17	J		
13.					Sold (part)	08/08/17	J	A	
14. ALLERGAN PLC SHS (AGN)	A	Dividend	J	T	Sold (part)	12/20/17	J		
15. ALPHABET INC CL A (GOOGL)		None	J	T					
16. ALPHABET INC CL C (GOOG)		None	J	T					
17. AMADEUS IT GROUP S.A ADR (AMADY) (X)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. AMAZON COM INC (AMZN)		None	J	T					
19. AMCOR LIMITED ADR NEW (AMCRY)	A	Dividend	J	T	Buy	01/20/17	J		
20. AMERICAN EXPRESS CO (AXP)	A	Dividend	J	T	Buy	01/20/17	J		
21. ANADARKO PETROLEUM CORP. (APC)	A	Dividend	J	T					
22. ANHEUSER-BUSCH INBEV SPONS (BUD)	A	Dividend	J	T					
23. APPLE INC (AAPL)	A	Dividend	J	T					
24. ASML HLDG NV NEW YORK REG (ASML)	A	Dividend	J	T					
25. ASOS PLC SPON ADR (ASOMY) (X)		None	J	T					
26. ATLAS COPCO AB SP ADR B SP ADR (ATLCY)	A	Dividend	J	T					
27. AUTODESK INC (ADSK)		None	J	T					
28. AXA ADS (AXAHY) (Y)									
29. BIOGEN INC COM (BIIB).		None	J	T					
30. BLACKROCK INC. (BLK)	A	Dividend	J	T					
31. BROADCOMM CORP (AVGO)	A	Dividend	J	T	Sold (part)	12/01/17	J	A	
32. BURBERRY GROUP PLC SPONS ADR (BURBY) (X)	A	Dividend	J	T					
33. CDN PACIFIC RY LTD NEW (CP)	A	Dividend	J	T					
34. CELGENE CORP (CELG)		None	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. CHARLES SCHWAB CORP (SCHW)	A	Dividend	J	T					
36. CHECK POINT SOFTWARE TECH LTD (CHKP)		None	J	T					
37. CITRIX SYSTEMS INC (CTXS)		None	J	T					
38. CK HUTCHISON HLDGS LTD ADR (CKHUY) (X)	A	Dividend	J	T					
39. COCA COLA CO. (KO)	A	Dividend	J	T					
40. COCA COLA AMATIL LTD SPON ADR (CCLAY) (X)		None			Sold	12/20/17	J		
41. COMCAST CORP CL A-SPL (CMCSA)	A	Dividend	J	T					
42. COSTCO WHOLESALE CORP NEW (COST) (X)	A	Dividend	J	T					
43. CVS HEALTH CORP COM (CVS)	A	Dividend	J	T					
44. DENTSPLY SIRONA INC (XRAY)	A	Dividend	J	T					
45. DEUTSCHE BOERSE AG UNSPON ADR (Y)					Buy	01/20/17	J		
46. DIAGEO PLC -SPONSORED ADR NEW (DEO)	A	Dividend	J	T	Buy	01/20/17	J		
47. DOLBY LABORATORIES INC CLASS A (DLB)	A	Dividend	J	T					
48. EBAY INC. (EBAY)		None	J	T					
49. ECOLAB INC (ECL)	A	Dividend	J	T					
50. ERSTE GROUP BANK AG SPONS ADR (EBKDY) (X)	A	Dividend	J	T					
51. FACEBOOK INC CL-A (FB)		None	J	T					

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52. FANUC CORPORATION UNSP ADR (FANUY)	A	Dividend	J	T	Buy	01/20/17	J		
53. FERGUSON PLC SPONSORED ADR (FERGY) (X)	A	Dividend	J	T					
54. FLUOR CORP NEW (FLR)	A	Dividend	J	T					
55. FREEPORT-MCMORAN INC (FCX)		None	J	T					
56. GENERAL ELECTRIC (GE) (Y)									
57. GIVAUDAN SA ADR (GVDNY)		None	J	T	Buy	09/20/17	J		
58. HOME DEPOT (HD)	A	Dividend	J	T					
59. HONEYWELL INTERNATIONAL INC (HON) (X)	A	Dividend	J	T					
60. HONG KONG EXCHANGES & CLEANINGS (HKXCY) (X)	A	Dividend	J	T					
61. HOYA CORP SPONS ADR (HOCPY)	A	Dividend	J	T					
62. ICON PLC SP ADR (ICLR)		None	J	T					
63. INDUSTRIA DE DISENO TEXTIL IND (IDEXY) (X)	A	Dividend	J	T					
64. ING GROEP NV SPONS ADR (ING)	A	Dividend	J	T					
65. INTESA SANPAOLO S.P.A. ADR (ISNPY) (X)	A	Dividend	J	T					
66. IONIS PHARMACEUTICALS (IONS)		None	J	T					
67. JOHNSON & JOHNSON (JNJ)	A	Dividend	J	T					
68. JOHNSON CTLS INTL PLC (JCI)	A	Dividend	J	T					

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69. KBC GROUP NV UNSPONS ADR (KBCSY)	A	Dividend	J	T					
70. KAO CORP SPONS ADR (KCRPY)		None	J	T	Buy	10/16/17	J		
71. L 3 TECHNOLOGIES INC. (LLL)	A	Dividend	J	T					
72. LIBERTY INTERACTIVE CORP QVC A (QVCA) (X)		None	J	T					
73. LONDON STK EXCHANGE GROUP ADR (LNSTY)	A	Dividend	J	T	Buy	01/20/17	J		
74. MC CORMICK AND CO NON VOTING (MKC) (X)	A	Dividend	J	T					
75. MEDTRONIC PLC SHS (MDT) (X)	A	Dividend	J	T					
76. METTLER TOLEDO INTERNATIONAL INC. COMMON STOCK (MTD)		None	J	T					
77. MICROSOFT CORP (MSFT)	A	Dividend	J	T					
78. MONSANTO CO (MON)	A	Dividend	J	T					
79. NASDAQ INC COM (Y)									
80. NESTLE SA SPONSORED ADR REPSTG REG SH (NSRGY)	A	Dividend	J	T	Buy (add'l)	01/20/17	J		
81. NIDEC CORP (NJDCY)		None	J	T	Buy	10/16/17	J		
82. NOVO NORDISK A S ADR (NVO) (X)	A	Dividend	J	T					
83. NOVOZYMES A/S UNSPONS APR (NVZMY)		None			Buy	01/20/17	J		
84.					Sold	02/10/17	J	A	
85. NUCOR CORP (NUE)	A	Dividend	J	T					

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86. ORACLE CORP (ORCL) (X)		None	J	T					
87. ORIX CORP SPONS ADR (IX)	A	Dividend	J	T					
88. PALO ALTO NETWORKS INC (PANW)		None	J	T					
89. PANDORA A/S ADR (Y)					Buy	01/20/17	J		
90. PAYPAL HLDGS INC COM (PYPL)	A	Dividend	J	T					
91. PENTAIR PLC (PNR) (X)	A	Dividend	J	T					
92. PIONEER NATURAL RESOURCES CO (PXD) (X)	A	Dividend			Sold	12/20/17	J		
93. PRAXAIR INC (PX) (X)	A	Dividend	J	T					
94. RECKITT BENCKISER PLC SPON ADR (RBGLY)	A	Dividend	J	T	Buy	01/20/17	J		
95. RED HAT INC (RHT)		None	J	T					
96. REGENERON PHARM (REGN)		None	J	T					
97. RENTOKIL GROUP PLC SP ADR (RTOKY)	A	Dividend	J	T	Buy	01/20/17	J		
98. ROCKWELL COLLINS INC (COL)	A	Dividend	J	T					
99. RYANAIR HLDGS PLC ADR (RYAAY)		None	J	T					
100. SAP AG (SAP)	A	Dividend	J	T					
101. SCHLUMBERGER LTD (SLB)	A	Dividend			Buy (add'l)	01/20/17	J		
102.					Sold	12/20/17	J		

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103. SEAGATE TECHNOLOGY (STX)	A	Dividend	J	T					
104. SHIMANO INC ADR (SMNNY) (Y)									
105. SHISEIDO LTD SPON ADR (SSDOY)	A	Dividend	J	T					
106. SPLUNK INC (SPLK) (X)		None	J	T					
107. SUNCOR ENERGY INC NEW COM (SU)	A	Dividend	J	T					
108. TE CONNECTIVITY LTD NEW (TEL)	A	Dividend	J	T					
109. TEMENOS GROUP AG SPON ADR (TMSNY) (X)	A	Dividend	J	T					
110. TENCENT HLDGS LTD UNSPON ADR (TCEHY) (X)	A	Dividend	J	T					
111. TEXAS INSTRUMENTS (TXN)	A	Dividend	J	T					
112. THERMO FISHER SCIENTIFIC (TMO)	A	Dividend	J	T					
113. TORONTO DOM BK NEW (TD)	A	Dividend	J	T					
114. TWENTY-FIRST CENTURY FOX CL A (FOXA) (Y)					Buy	01/20/17	J		
115. TWITTER INC (TWTR)		None	J	T					
116. UBS GROUP AG SHS	A	Dividend			Sold	05/18/17	J		
117. UMICORE SA ADR (UMICY) (X)	A	Dividend	J	T					
118. UNITED PARCEL SERVICE CL B (UPS)	A	Dividend	J	T					
119. UNITEDHEALTH GROUP INC. (UNH)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. VERTEX PHARMACEUTICALS (VRTX) (X)		None	J	T					
121. VESTAS WIND SYSTEMS ADS (VWDY) (Y)									
122. VISA INC (V)	A	Dividend	J	T					
123. VMWARE INC CLASS A (VMW)		None	J	T					
124. VODAFONE GROUP PLC (Y)					Buy	01/20/17	J		
125. W W GRAINGER INC (GWW)	A	Dividend			Buy	01/20/17	J		
126.					Sold	12/20/17	J		
127. WALT DISNEY CO (DIS)	A	Dividend	J	T					
128. WEATHERFORD INTL LTD (WFT)		None			Buy	01/20/17	J		
129.					Sold	12/20/17	J		
130. WESTERN DIGITAL CORP (WDC)	A	Dividend	J	T					
131. WOLSELEY PLC JERSEY SPNSRD ADR (Y)									
132. XILINX INC (Y)									
133. YUM CHINA HOLDINGS (YUMC)	A	Dividend	J	T					
134. ZOETIS INC CLASS-A (ZTS)	A	Dividend	J	T					
135. ISHARES CORE RUSSELL US GR ETF (IUSG)		None	J	T	Sold (part)	01/20/17	J	A	
136.					Buy (add'l)	12/20/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. ISHARES RUSSELL 1000 GRW ETF (IWF)	A	Dividend	J	T	Sold (part)	01/20/17	J	A	
138.					Buy (add'l)	12/20/17	J		
139. VANGUARD FTSE DEVELOPED MKTS E (VEA)	A	Dividend	J	T	Sold	01/20/17	K	A	
140.					Buy	12/20/17	J		
141. ACCOUNT # 2 (H)									
142. BLACKROCK GLOBAL SCIENCE & TECH OPPORT PORTFOLIO CL INSTL (BGSIX)	A	Dividend	J	T					
143. BLACKROCK VALUE OPPORTUNITIES FUND INC CL INSTL (MASPX)	A	Dividend	J	T					
144. ACCOUNT # 3 (H)									
145. MORGAN STANLEY BANK, N.A.	A	Interest	J	T					
146. IRA #1 (H)									
147. CERES TACTICAL SYSTEMATIC LP		None	K	T					
148. IRA #2 (H)									
149. GOLDMAN SACHS SM CAP VAL A (GSSMX)	A	Dividend	J	T					
150. IRA #3 (H)									
151. BLACKROCK VALUE OPPORTUNITIES FUND INC CL INSTL (MASPX)	A	Dividend	J	T					
152. IRA #4 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
153. BLACKROCK VALUE OPPORTUNITIES FUND INC CL INSTL (MASPX)	A	Dividend	J	T					
154. NY's COLLEGE SAVINGS PROGRAM #1 (H)									
155. VALUE STOCK INDEX PORTFOLIO		None	M	T	Buy (add'l)	04/11/17	K		
156.					Sold (part)	06/27/17	J	A	
157.					Sold (part)	07/21/17	J	A	
158.					Sold (part)	12/26/17	J	A	
159. NY'S COLLEGE SAVINGS PROGRAM #2 (H)									
160. VALUE STOCK INDEX PORTFOLIO		None	N	T	Buy (add'l)	04/11/17	K		
161. DEFERRED COMPENSATION (H)									
162. FIDELITY LARGE CAP STOCK FUND		None	J	T					
163. VT FIDELITY CONTRAFUND		None	J	T					
164. LAZARD INTERNATIONAL STRATEGIC EQUITY		None	J	T					
165. IRA #5 (H)									
166. ISHARES SILVER TRUST EQUITY (SLV)		None	J	T					
167. SPDR GOLD TR GOLD (GLD)		None	K	T					
168. VANECK VECTORS GOLD MINERS E (GDX)	A	Dividend	J	T					
169. BLACKROCK GLOBAL ALLOCATION (MCLOX)	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
170. COHEN & STEERS INTL REALTY FUND A (IRFAX)	A	Dividend	J	T					
171. COHEN & STEERS INTL REALTY FD C (IRFCX)	A	Dividend	K	T					
172. GOLDMAN SACHS SM CAP VAL A (GSSMX)	B	Dividend	J	T					
173. LOCORR MARKET TREND A (LOTAX)		None	K	T					
174. ACCOUNT # 4 (H)									
175. MORGAN STANLEY BANK, N.A.	A	Interest	J	T					
176. ACCENTURE PLC IRELAND CL A (ACN)	A	Dividend	J	T					
177. ADECCO SA UNSPON ADR (AHEXY)	A	Dividend	J	T					
178. ADOBE SYSTEMS (ADBE)		None	J	T					
179. AES CORP (AES)	A	Dividend	J	T					
180. AETNA INC (NEW)(CT) (AET) (Y)									
181. AKAMAI TECHNOLOGIES (AKAM)		None	J	T					
182. AKZO NOBEL (AKZOY)	A	Dividend	J	T					
183. ALASKA AIR GROUP INCORPORATED (ALK) (Y)									
184. ALEXION PHARM INC (ALXN)		None	J	T	Buy	01/20/17	J		
185. ALLERGAN PLC SHS (AGN)	A	Dividend	J	T	Buy (add'l)	01/20/17	J		
186.					Buy (add'l)	10/19/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
187.					Sold (part)	12/20/17	J		
188. ALLIED WORLD ASSUR CO HLDG LTD (AWH)	A	Dividend			Sold	07/01/17	J	B	
189. ALLISON TRANSMN HLDGS INC (ALSN)	A	Dividend	J	T					
190. ALPHABET INC CL A (GOOGL)		None	J	T					
191. ALPHABET INC CL C (GOOG)		None	J	T					
192. AMAZON (AMZN)		None	J	T	Sold (part)	11/09/17	J	B	
193. AMERICAN EXPRESS CO (AXP)	A	Dividend	J	T	Buy	01/20/17	J		
194. AMERICAN HOMES 4 RENT (AMH)	A	Dividend	J	T					
195. AMERIPRISE FINCL INC (AMP)	A	Dividend	J	T					
196. ANADARKO PETROLEUM CORP (APC)	A	Dividend	J	T					
197. ANHEUSER-BUSCH (BUD)	A	Dividend	J	T					
198. AP MOLLAR-MAERSK A/S ADR (AMKBY)	A	Dividend	J	T					
199. APACHE CORP (APA)	A	Dividend			Sold	12/20/17	J		
200. APPLE INC (AAPL)	A	Dividend	J	T					
201. APPLIED MATERIALS INC DELWARE (AMAT)	A	Dividend	J	T	Sold (part)	06/06/17	J	A	
202. AUTODESK INC. (ADSK)		None	J	T					
203. AUTOZONE INC (AZO)		None	J	T	Buy	07/21/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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204. AXA ADS (AXAHY)	A	Dividend	J	T					
205. AXIS CAPITAL HOLDINGS LTD (Y)									
206. BANCO SANTANDER S.A. (SAN)	A	Dividend	J	T					
207. BANK OF THE OZARKS INC (OZRK)	A	Dividend			Buy	04/19/17	J		
208.					Sold	12/20/17	J		
209. BASF SE SP ADR (BASFY)	A	Dividend	J	T					
210. BAYER AG SPONSORED ADR (BAYRY)	A	Dividend	J	T					
211. BIOGEN INC COM (BIIB)		None	J	T	Buy (add'l)	01/20/17	J		
212. BLACKROCK INC. (BLK)	A	Dividend	J	T					
213. BNP PARIBAS SP ADR REPSTG (BNPQY) (X)	A	Dividend	J	T					
214. BOEING CO. (BA)	A	Dividend	J	T					
215. BP PLC ADS (BP)	A	Dividend	J	T					
216. BRISTOL MYERS SQUIBB CO (BMY)	A	Dividend	J	T					
217. BROADCOM CORP CL A (AVGO)	A	Dividend	J	T					
218. CABOT OIL & GAS CORP A (COG) (X)	A	Dividend	J	T					
219. CALPINE CORP NEW (CPN)		None			Buy	01/20/17	J		
220.					Sold	09/06/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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221. CARNIVAL CP NEW PAIRED COM (Y)									
222. CBS CORP NEW CL B SHRS (CBS)	A	Dividend	J	T					
223. CELGENE CORP (CELG)		None	J	T					
224. CEMEX SAB DE CV (CX)		None	J	T					
225. CHARLES SCHWAB CORP (SCHW)	A	Dividend	J	T					
226. CHINA CONSTRUCTION BANK CORP (CICHI) (X)	A	Dividend	J	T					
227. CISCO SYS INC (CSCO)	A	Dividend	J	T					
228. CITIGROUP INC NEW (C)	A	Dividend	J	T					
229. CITRIX SYSTEMS INC (CTXS)		None	J	T					
230. COCA-COLA CO. (KO)	A	Dividend	J	T					
231. COMCAST CORP CL A (CMCSA)	A	Dividend	J	T					
232. CNX RESOURCE CORP (CNX) (X)		None	J	T					
233. CONTL AG SPONS ADR (CTTAY) (X)	A	Dividend	J	T					
234. COSTCO WHOLESALE CORP NEW (COST) (X)	A	Dividend	J	T					
235. CREDIT SUISSE GROUP (CS)	A	Dividend	J	T	Buy	01/20/17	J		
236. CVS HEALTH CORP COM (CVS)	A	Dividend	J	T					
237. DANONE SPONSORED ADR (DANOY) (X)	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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238. DENTSPLY SIRONA INC (XRAY)		None	J	T					
239. DEUTSCHE TELEKOM AG 1 ORD 1ADS (DTEGY)		None			Buy	01/20/17	J		
240.					Sold	05/11/17	J		
241. DEVON ENERGY CORP NEW (DVN)	A	Dividend	J	T	Sold (part)	12/20/17	J		
242. DIAGEO PLC SPON ADR NEW (DEO)	A	Dividend	J	T	Buy	01/20/17	J		
243. DISCOVER FINCL SVCS (DFS)	A	Dividend	J	T					
244. DOLBY LABORATORIES INC CLASS A (DLB)	A	Dividend	J	T					
245. DOWDUPONT INC (DWDP)	A	Dividend	J	T					
246. EBAY INC. (EBAY)		None	J	T	Sold (part)	01/05/17	J		
247. ECOLAB INC (ECL)	A	Dividend	J	T					
248. EXELON CORP (EXC)	A	Dividend	J	T					
249. FACEBOOK INC (FB)		None	J	T					
250. FAIRFAX FIN HLDG SUB VTG (FRHF) (X)		None	J	T					
251. FLUOR CORP NEW (FLR)	A	Dividend	J	T					
252. FREEPORT-MCMORAN INC (FCX)		None	J	T					
253. G4S PLC UNSPONS ADR (GFSZY) (X)	A	Dividend	J	T					
254. GATX CORP (GATX)	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

255. GENERAL ELECTRIC CO (Y)									
256. GENTEX CORP (GNTX)	A	Dividend	J	T					
257. GREEK ORGANISATION OF FOOTBALL (GOFPY) (X)	A	Dividend	J	T					
258. HALLIBURTON CO HOLDINGS CO (HAL)	A	Dividend	J	T	Sold (part)	01/09/17	J		
259. HANESBRANDS INC (HBI) (X)	A	Dividend	J	T					
260. HEIDELBERG CEMENT ADR (HDELY) (Y)									
261. HENGAN INTL GROUP CO LTD ADR (HEGIY) (X)	A	Dividend	J	T					
262. HITACHI 10 COM NEW ADR (HTHIY) (X)	A	Dividend	J	T					
263. HOME DEPOT INC. (HD)	A	Dividend	J	T					
264. HONDA MOTOR COMPANY LTD ADR (HMC)	A	Dividend	J	T					
265. HONEYWELL INTL INC (HON)	A	Dividend	J	T	Buy (add'l)	03/27/17	J		
266. HOYA CORP (HOCY)	A	Dividend	J	T					
267. HSBC HOLDINGS PLC SPON ADR NEW (HSBC)	A	Dividend	J	T	Buy	01/20/17	J		
268. HYPERMARCAS S A SPONSORED ADR (HYPMY) (X)	A	Dividend	J	T					
269. INDRA SISTEMAS SA FGN ORD ADR (ISNAY)		None	J	T					
270. INCITEC PIVOT LIMITED ADR (INCZY)	A	Dividend	J	T	Buy	01/20/17	J		
271. INVESCO LTD (IVZ)	A	Dividend	J	T					

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272. IONIS PHARMACEUTICALS (IONS)		None	J	T					
273. ITAU UNIBANCO MULTIPLE ADR (ITUB)	A	Dividend	J	T					
274. JOHNSON & JOHNSON (JNJ)	A	Dividend	J	T					
275. JOHNSON CTLS INTL PLC (JCI)	A	Dividend	J	T	Sold (part)	12/20/17	J		
276. JONES LANG LASALLE INC. (Y)									
277. KEYCORP - NEW (KEY)	A	Dividend	J	T					
278. KINDER MORGAN INCORP (KMI)	A	Dividend	J	T	Buy	11/14/17	J		
279. KLA-TENCOR CORP (KLAC)	A	Dividend	J	T					
280. KROGER CO (KR) (X)	A	Dividend			Sold	12/20/17	J		
281. KT CORP SPON ADR (KT) (X)	A	Dividend	J	T					
282. L 3 TECHNOLOGIES INC. (LLL)	A	Dividend	J	T					
283. LIBERTY INTERACTIVE CORP QVC A (QVCA)		None	J	T					
284. LIBERTY MEDIA C SER C SIRIUSXM (LSXMK) (X)		None	J	T					
285. MARINE HARVEST ASA ADR (Y)									
286. MC CORMICK AND CO NON VOTING (MKC) (X)	A	Dividend	J	T					
287. MEDTRONIC PLC SHS (MDT) (X)	A	Dividend	J	T					
288. MERCK & CO INC. (MRK)	A	Dividend	J	T					

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
289. METLIFE INCORPORATED (MET)	A	Dividend	J	T					
290. MGIC INVT CORP (MTG)		None	J	T					
291. MICROSOFT (MFST)	A	Dividend	J	T					
292. MONSANTO CO (MON)	A	Dividend	J	T					
293. MURPHY USA INC COM (MUSA)		None	J	T					
294. MYLAN N V (MYL)		None	J	T					
295. NASDAQ INC COM (Y)									
296. NOVARTIS AG ADR (NVS)	A	Dividend	J	T					
297. NSK LTD SPONSORED ADR (NPSKY) (X)	A	Dividend	J	T					
298. NUCOR CORP (NUE)	A	Dividend	J	T					
299. NXP SEMICONDUCTORS NV (NXPI) (Y)									
300. ONEMAIN HLDGS INC (OMF)		None	J	T	Buy	01/20/17	J		
301. ORACLE CORP (ORCL)	A	Dividend	J	T	Buy	01/20/17	J		
302.					Buy (add'l)	12/08/17	J		
303. PALO ALTO NETWORKS INC (PANW)		None	J	T					
304. PAYPAL HLDGS INC COM (PYPL)		None	J	T					
305. PEBBLEBROOK HOTEL TRUST (PEB)	A	Dividend	J	T					

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306. PENTAIR PLC (PNR)	A	Dividend	J	T					
307. PIONEER NATURAL RESOURCES CO (PXD)		None			Buy	05/12/17	J		
308.					Sold	12/20/17	J		
309. PJSC GAZPROM SPON ADR (OGZPY) (Y)									
310. POSCO ADS (PKX)	A	Dividend	J	T					
311. PRAXAIR INC (PX) (X)	A	Dividend	J	T					
312. PULTE GROUP INC (PHM)	A	Dividend			Sold	11/27/17	J	A	
313. RAYTHEON CO	A	Dividend			Sold	11/16/17	J	B	
314. REALOGY HOLDINGS CORP (RLGY)	A	Dividend	J	T	Buy (add'l)	01/20/17	J		
315. RED HAT INC (RHT)		None	J	T					
316. REGENERON PHARM (REGN) (X)		None	J	T					
317. REGIONS FINANCIAL CORP (RF)	A	Dividend	J	T					
318. RIO TINTO PLC SPON ADR (RIO)	A	Dividend	J	T					
319. ROCKWELL COLLINS INC (COL)	A	Dividend	J	T					
320. ROYAL BK SCOTLAND GROUP SP ADR (RBS)		None	J	T					
321. ROYAL DUTCH SHELL PLC (RDSA)	A	Dividend	J	T					
322. ROYAL KPN NV SPONS ADR (KKPNY)	A	Dividend			Buy	01/20/17	J		

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323.					Sold	08/21/17	J	A	
324. SAFRAN SA (SAFRY)	A	Dividend			Sold (part)	09/15/17	J		
325.					Sold	12/14/17	J		
326. SCHLUMBERGER LTD (SLB)	A	Dividend			Sold	12/20/17	J		
327. SCHNEIDER ELEC SA UNSP ADR (SBGSY) (X)	A	Dividend	J	T					
328. SEAGATE TECHNOLOGY (STX)	A	Dividend	J	T					
329. SENSATA TECHNOLOGIES (ST) (X)		None	J	T					
330. SERVICE CORP INTL (SC1)	A	Dividend	J	T					
331. SONY CORP ADR NEW (SNE) (Y)					Sold (part)	05/30/17	J	A	
332. SPLUNK INC (SPLK) (X)		None	J	T					
333. STANDARD LIFE PLC ADR REPSTG 4 (SLFPY) (X)		None	J	T					
334. STANLEY BLACK & DECKER INC (SWK)	A	Dividend	J	T	Sold (part)	11/14/17	J	A	
335. STERICYCLE INC (SRCL)		None			Sold	12/20/17	J		
336. SUMITOMO MITSUI FINL GROUP INC (SMFG)	A	Dividend	J	T					
337. SUNCOR ENERGY INC (SU)	A	Dividend	J	T					
338. SYMANTEC CORP (Y)									
339. SYNCHRONY FINANCIAL (SYF)	A	Dividend	J	T					

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340. TDK CP ADR NEW (TTDKY)		None			Sold	02/03/17	J	A	
341. TE CONNECTIVITY LTD-CHF (TEL)	A	Dividend	J	T					
342. TELEPERFORMANCE SA UNSP ADR (TLPFY)	A	Dividend			Sold	12/18/17	J		
343. TERADYNE INC (TER)	A	Dividend	J	T					
344. TEXAS INSTRUMENTS INC. (TXN)	A	Dividend	J	T					
345. THE MOSAIC CO (HLDG CO) NEW (MOS) (Y)									
346. THERMO FISHER SCIENTIFIC (TMO)	A	Dividend	J	T					
347. TOTAL S A SPON ADR (TOT) (X)	A	Dividend	K	T					
348. TRANSDIGM GROUP INC (TDG) (X)	A	Dividend	K	T					
349. TWENTY-FIRST CENTURY FOX CL A (FOXA) (Y)					Buy	01/20/17	J		
350. TWITTER INC (TWTR)		None	J	T	Buy	01/20/17	J		
351. UBS GROUP AG SHS (UBS)	A	Dividend	J	T					
352. UNILEVER PLC (NEW) ADS (UL)	A	Dividend	J	T					
353. UNITED NATURAL FOODS INC (UNFI) (Y)									
354. UNITED PARCEL SERVICE (UPS)	A	Dividend	J	T					
355. UNITED RENTALS INC (URI)		None			Sold	01/09/17	J		
356. UNITEDHEALTH GROUP INC. (UNH)	A	Dividend	J	T					

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357. VEECO INSTRUMENTS INC DEL (VECO) (Y)									
358. VERTEX PHARMACEUTICALS (VRTX) (X)		None	J	T					
359. VISA INC COM (V)	A	Dividend	J	T					
360. VMWARE INC CLASS A (VMW) (X)		None	J	T					
361. VOYA FINL INC (VOYA)		None	J	T	Buy	09/21/17	J		
362. W W GRAINGER INC (GWW) (X)	A	Dividend			Sold	12/20/17	J		
363. WALT DISNEY CO. (DIS)	A	Dividend	J	T					
364. WEATHERFORD INTL LTD (WFT)		None			Buy	01/20/17	J		
365.					Sold	12/20/17	J		
366. WELLS FARGO & CO NEW (WFC)	A	Dividend	J	T	Buy (add'l)	07/24/17	J		
367. WESTERN DIGITAL CORPORATION (WDC)	A	Dividend	J	T					
368. WEYERHAEUSER CO. COMMON STOCK (WY)	A	Dividend	J	T					
369. WIENERBERGER AG SPON ADR (WBRBY)	A	Dividend	J	T					
370. WPP PLC SPON NEW ADR (WPP)		None	J	T	Buy	12/14/17	J		
371. XL GROUP LTD (XL) (X)		None	J	T					
372. XILINX INC (Y)									
373. YUM CHINA HLDNGS (YUMC) (X)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
374. ZOETIS INC CLASS A (ZTS)	A	Dividend	J	T					
375. ISHARES CORE RUSSELL US GR ETF (IUSG)		None	J	T	Sold (part)	01/23/17	J	A	
376.					Buy (add'l)	12/20/17	J		
377. ISHARES CORE RUSSELL US VA ETF (IUSV)		None	K	T	Sold (part)	01/23/17	J		
378.					Buy (add'l)	12/20/17	J		
379. ISHARES RUSSELL 1000 GRW ETF (IWF)	A	Dividend	J	T	Sold (part)	01/20/17	J	A	
380.					Buy (add'l)	12/20/17	J		
381. VANGUARD FTSE DEVELOPED MKTS E	A	Dividend	J	T	Sold (part)	01/20/17	K	A	
382.					Buy (add'l)	12/20/17	J		
383. TRIBOROUGH BRIDGE TUNNEL NY AU MTA BRDG TUNNEL GEN REV (Y)									
384. ONONDAGA CNTY N Y TR CULTURAL RES REV	A	Interest	K	T					
385. NEW YORK ST DORM AUTH 3RD GENL	A	Interest	K	T					
386. EMPIRE ST NEW YORK DEV CORP (Y)									
387. ROCHESTER NY GENL OBLIG SER-I	A	Interest	J	T					
388. NEW YORK ST DORM AUTH REVS ST SUPPORTED DEBT REV (Y)									
389. SYRACUSE N Y PUB IMPT GENL OBLIG REF-A	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
390. SUFFOLK CNTY NY GENL OBLIG REF SER-B [REDACTED]	A	Interest	J	T					
391. LONG ISLAND PWR AUTH N Y ELEC SYS REV-B [REDACTED]	A	Interest	J	T	Buy	01/20/17	J		
392. TRIBOROUGH BRIDGE TUNNEL NY AU MTA BRDG TUNNEL GEN [REDACTED] (X)	A	Interest	K	T					
393. NEW YORK & NEW JERSEY PORT AUTH SER-179 [REDACTED]	A	Interest	K	T					
394. WESTERN NASSAU CNTY N Y WTR AUTH WTR SYS REV-B [REDACTED]	A	Interest	J	T					
395. ERIE CNTY NY FISCAL STABILITY AUTH [REDACTED]	A	Interest	K	T					
396. METROPOLITAN TRANSPORTATION AUTHORITY REV-E [REDACTED]	A	Interest	K	T					
397. NEW YORK ST THRUWAY AUTH GEN REV JR [REDACTED]	A	Interest	K	T					
398. METROPOLITAN TRANSN AUTH N Y DEDICATED T [REDACTED]	A	Interest			Redeemed	03/01/17	J		
399. BUFFALO NY GENL OBLIG REF-A [REDACTED]	A	Interest	J	T					
400. ROCKLAND CNTY NY GENL OBLIG REF [REDACTED]	A	Interest	J	T					
401. NASSAU CNTY N Y FOR ISSUES DTD PRIOR TO [REDACTED]		None	J	T	Buy	10/17/17	J		
402. WESTCHESTER CNTY N Y GENL OBLIG SER-B [REDACTED]	A	Interest	J	T	Buy	03/30/17	J		
403. HUDSON YDS INFRASTRUCTURE CORP N Y SECOND INDENTURE REV- A [REDACTED]	A	Interest	J	T	Buy	05/24/17	J		
404. NEW YORK ST DORM AUTH REVS NON ST SUPPORTED DEBT REV-A [REDACTED]		None	J	T	Buy	08/16/17	J		
405. NEW YORK ST DORM AUTH REVS NON ST SUPPORTED DEBT REV-B [REDACTED]	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
406. TRIBOROUGH BRIDGE TUNNEL AUTH GENL REV-A [REDACTED]	A	Interest	J	T	Buy	01/09/17	J		
407. NEW YORK N Y CITY TR CULTURAL RES REV-A [REDACTED]	A	Interest	K	T					
408. NYS DORM AU-A BE [REDACTED]	A	Interest			Buy	03/29/17	J		
409.					Redeemed	10/02/17	J		
410. NEW YORK ST URBAN DEV CORP INCOME TAX REV-B-1 [REDACTED] (X)	A	Interest	J	T					
411. ACCOUNT # 5 (H)									
412. MORGAN STANLEY BANK, N.A.	A	Interest	M	T					
413. DELAWARE LIFE NY SUN UL PROTECTOR (whole life)		None	M	T					
414. IRA # 6 (H)									
415. MORGAN STANLEY BANK N.A.	A	Interest	J	T					
416. AQR MANAGED FUTURES STRATEGY 1 (AQMIX)		None	L	T					
417. AQR EQ MARKET NEUTRAL INST (QMNIX)	C	Dividend	L	T	Buy	05/10/17	L		
418. IRA #7 (H)									
419. MORGAN STANLEY BANK N.A. (CASH)	A	Dividend	K	T	Open	08/14/17	O		
420. ACCENTURE PLC IRELAND CL A (ACN)	A	Dividend	J	T	Buy	08/24/17	J		
421. ALLERGAN PLC SHS (AGN)	A	Dividend	J	T	Buy	08/24/17	J		
422.					Buy (add'l)	10/23/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
423. ALPHABET INC CL C (GOOG)		None	J	T	Buy	08/24/17	J		
424. ALTRA HLDGS INC (AIMC)	A	Dividend	J	T	Buy	08/24/17	J		
425. AMER INTL GP INC NEW (AIG)	A	Dividend	J	T	Buy	08/24/17	J		
426. AMERICAN EXPRESS CO (AXP)	A	Dividend	J	T	Buy	08/24/17	J		
427. AMN HEALTHCARE SVCS INC (AMN) (X)		None	J	T					
428. ANHEUSER BUSCH INBEV SA SPON (BUD)	A	Dividend	J	T	Buy	08/24/17	J		
429. AON PLC SHS CL-A (AON)	A	Dividend	J	T	Buy	08/24/17	J		
430. ASHTEAD GROUP PLC ADR (ASHTY) (X)		None	J	T					
431. ASSA ABLOY AB UNSP ADR (ASAZY)		None	J	T	Buy	08/24/17	J		
432. ASSOC BRITISH FDS PLC ADR-NEW (ASBFY) (Y)					Buy	08/24/17	J		
433. AXALTA COATING SYSTEMS LTD. (AXTA)		None	J	T	Buy	08/24/17	J		
434. BANCORPSOUTH INC (BXS)	A	Dividend	J	T	Buy	08/24/17	J		
435. BB SEGURIDADE PARTICIPACOES (BBSEY)		None	J	T	Buy	08/24/17	J		
436. BERKLEY W R CORP (WRB)	A	Dividend	J	T	Buy	08/24/17	J		
437. BERKSHIRE HATHAWAY CL-B NEW (BRK'B)		None	J	T	Buy	08/24/17	J		
438. BHP BILLITON LTD (BHP)		None	J	T	Buy	08/24/17	J		
439. BLACK KNIGHT INC (BKI)	A	Dividend	J	T	Buy	10/13/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
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2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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440.					Buy (add'l)	10/30/17	J		
441.					Buy (add'l)	10/31/17	J		
442. BMC STK HLDGS INC (BMCH) (X)		None	J	T					
443. BRITISH AMER TOB SPON ADR (BTI)		None	J	T	Buy	08/24/17	J		
444. CANADIAN NATL RAILWAY CO (CNI)	A	Dividend	J	T	Buy	08/24/17	J		
445. CARLSBERG AS (CABGY)		None	J	T	Buy	08/24/17	J		
446. CIELO SA SPONSORED ADR NEW (CIOXY)	A	Dividend	J	T	Buy	08/24/17	J		
447. COLUMBIA SPORTSWEAR CO (COLM) (X)	A	Dividend	J	T					
448. COMPAGNIE FIN RICHEMONTAG ADR (CFRUY)	A	Dividend	J	T	Buy	08/24/17	J		
449. COMPANHIA DE SANAMENTO BASI (SBS) (Y)					Buy	08/24/17	J		
450. COMPASS GROUP PLC SPD ADR (CMPGY)		None	J	T	Buy	08/24/17	J		
451. CONTL AG SPONS ADR (CTTAY)		None	J	T	Buy	08/24/17	J		
452. CVB FINCL CP (CVBF)	A	Dividend	J	T	Buy	08/24/17	J		
453. DAIWA HOUSE IND LTD ADR (DWAHY)	A	Dividend	J	T	Buy	08/24/17	J		
454. DANA INCORPORATED (DAN) (X)	A	Dividend	J	T					
455. DBS GROUP HOLDINGS LTD SP (DBSDY)		None	J	T	Buy	08/24/17	J		
456. DIAGEO PLC SPON ADR NEW (DEO)		None	J	T	Buy	08/24/17	J		

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457. DISCOVERY COMMUNICATIONS SER C (DISCK)		None	J	T	Buy	08/24/17	J		
458. ENTEGRIS INC (ENTG) (Y)					Buy	08/24/17	J		
459. EXPRESS SCRIPTS HLDG CO COM (ESRX)		None			Buy	08/24/17	J		
460.					Sold	10/13/17	J		
461. FASTENAL CO (FAST)	A	Dividend	J	T	Buy	08/24/17	J		
462. FCB FINL HLDGS INC CL A (FCB)		None	J	T	Buy	08/24/17	J		
463. FERGUSON PLC SPONSORED ADR (FERGY)	A	Dividend	J	T	Buy	08/24/17	J		
464. HORACE MANN EDUCATORS CP (HMN) (X)	A	Dividend	J	T					
465. IBERIA BK CORP (IBKC)	A	Dividend	J	T	Buy	08/24/17	J		
466. ICICI BANK LTD (IBN) (X)		None	J	T					
467. ICU MEDICAL INC (ICUI)		None	J	T	Buy	08/24/17	J		
468. ILL TOOL WORKS INC (ITW)	A	Dividend	J	T	Buy	08/24/17	J		
469. INDEPENDENT BK MASS (INDB)	A	Dividend	J	T	Buy	08/24/17	J		
470. INGEVITY CORP (NGVT)		None	J	T	Buy	08/24/17	J		
471. JOHNSON & JOHNSON (JNJ)	A	Dividend	J	T	Buy	08/24/17	J		
472. KASIKORNBANK PUB CO LTD UNSPON (KPCPY) (X)	A	Dividend	J	T					
473. KBC GROUP NV UNSPONS ADR (KBCSY) (X)		None			Sold	10/19/17	J		

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474. LA Z BOY INCORPORATED (LZB) (X)	A	Dividend	J	T					
475. LITTELFUSE INC (LFUS) (Y)					Buy	08/24/17	J		
476. LOWES COMPANIES INC (LOW)	A	Dividend	J	T	Buy	08/24/17	J		
477. M K S INSTRUMENTS (MKSI)	A	Dividend	J	T	Buy	08/24/17	J		
478. MACOM TECHNOLOGY SOLU HLDS (MTSI) (Y)					Buy	08/24/17	J		
479. MAKITA CORPORATION LTD ADR NEW (MKTAY)	A	Dividend	J	T	Buy	08/24/17	J		
480. MARKEL CORP (HOLDING CO) (MKL)		None	J	T	Buy	08/24/17	J		
481. MASTERCARD INC CL A (MA)	A	Dividend	J	T	Buy	08/24/17	J		
482. MATADOR RES CO (MTDR)		None	J	T	Buy	08/24/17	J		
483. MEDTRONIC PLC SHS (MDT)	A	Dividend	J	T	Buy	08/24/17	J		
484. MICHELIN COMPAGNIE GENERALE DE (MGDDY)		None	J	T	Buy	08/24/17	J		
485. MINERALS TECHNOLOGY INC (MTX) (X)	A	Dividend	J	T					
486. MR PRICE GROUP LTD SPON ADR (MRPLY) (X)		None	J	T					
487. NORDEA NK SWEDEN AB (PUBL) ADR (NRBAY)		None	J	T	Buy	08/24/17	J		
488. NOVARTIS AG ADR (NVS)		None	J	T	Buy	08/24/17	J		
489. PEBBLEBROOK HOTEL TR COM (PEB) (X)	A	Dividend	J	T					
490. PEPSICO INC NC (PEP)	A	Dividend	J	T	Buy	08/24/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	S = Assessment T = Cash Market
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

491. PHYSICIANS REALTY TRUST (DOC)	A	Dividend	J	T	Buy	08/24/17	J		
492. PRUDENTIAL PLC ADR (PUK)		None	J	T	Buy	08/24/17	J		
493. PT TELEKOMUNIKASI INDONESIA (TLK)		None	J	T	Buy	08/24/17	J		
494. QTS RLTY TR INC COM CL A (QTS)	A	Dividend	J	T	Buy	08/24/17	J		
495. RED ELECTRICA CORPORACION SA (RDEIY)		None	J	T	Buy	08/24/17	J		
496. RELX PLC SPONSORED ADR (RELX)		None	J	T	Buy	08/24/17	J		
497. ROGERS CORP (ROG) (X)		None	J	T					
498. ROYAL DUTCH SHELL PLC (RDS'A)	A	Dividend	J	T	Buy	08/24/17	J		
499. RYANAIR HLDGS PLC ADR (RYAAY) (X)		None	J	T					
500. RYOHIN KEIKAKU CO LTD ADR (RYKKY)	A	Dividend	J	T	Buy	08/24/17	J		
501. SAMPO OYJ UNSPON ADR (SAXPY)		None	J	T	Buy	08/24/17	J		
502. SANLAM LTD ADR (SLLDY)		None	J	T	Buy	08/24/17	J		
503. SAP AG (SAP)		None	J	T	Buy	08/24/17	J		
504. SCHLUMBERGER LTD (SLB)	A	Dividend	J	T	Buy	08/24/17	J		
505. SELECTIVE INSURANCE GROUP (SIGI) (Y)					Buy	08/24/17	J		
506. SHIRE PLC ADR (SHPG)	A	Dividend	J	T	Buy	08/24/17	J		
507. SONY CORP ADR 1974 NEW (SNE)	A	Dividend	J	T	Buy	08/24/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

508. STARBUCKS CORP WASHINGTON (SBUX)	A	Dividend	J	T	Buy	08/24/17	J		
509. STATOIL ASA ADR (STO)	A	Dividend	J	T	Buy	08/24/17	J		
510. STRYKER CORP (SYK)	A	Dividend	J	T	Buy	08/24/17	J		
511. SUMITOMO MITSUI FINL GROUP INC (SMFG)	A	Dividend	J	T	Buy	08/24/17	J		
512. SUNCOR ENERGY INC (SU)	A	Dividend	J	T	Buy	08/24/17	J		
513. TAIWAN SMCNDCTR MFG CO LTD ADR (TSM)		None	J	T	Buy	08/24/17	J		
514. TELENOR ASA ADS (TELNY)	A	Dividend	J	T	Buy	08/24/17	J		
515. TENCENT HLDGS LTD UNSPON ADR (TCEHY)		None	J	T	Buy	08/24/17	J		
516. THERMO FISHER SCIENTIFIC (TMO)	A	Dividend	J	T	Buy	08/24/17	J		
517. TJX COS INC NEW (TJX)	A	Dividend	J	T	Buy	08/24/17	J		
518. TOKYO ELECTRON LTD UNSPON ADR (TOELY)	A	Dividend	J	T	Buy	08/24/17	J		
519. TURKCELL ILETISM HIZM AS NEW (TKC)	A	Dividend	J	T	Buy	08/24/17	J		
520. UNILEVER PLC (NEW) ADS (UL)	A	Dividend	J	T	Buy	08/24/17	J		
521. UNITED TECHNOLOGIES CORP (UTX)	A	Dividend	J	T	Buy	08/24/17	J		
522. US ECOLOGY INC NEW (ECOL)	A	Dividend	J	T	Buy	08/24/17	J		
523. VALEO SPONSORED ADR (VLECY)		None	J	T	Buy	08/24/17	J		
524. VINCI SA ADR (VCISY)	A	Dividend	J	T	Buy	08/24/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
525. WOLTERS KLUWER NV SPON ADR (WTKWY)	A	Dividend	J	T	Buy	08/24/17	J		
526. WOLVERINE WORLD WIDE (WWW)	A	Dividend	J	T	Buy	08/24/17	J		
527. WORLDPAY GROUP PLC ADR (WPGY)	A	Dividend			Buy	08/24/17	J		
528.					Sold	10/17/17	J		
529. YANDEX N.V. A (YNDX) (X)		None	J	T					
530. JP MORGAN CHASE & CO		None	J	T	Buy	08/24/17	J		
531. VERIZON COMMUNICATIONS	A	Interest	J	T	Buy	08/25/17	J		
532. BANK OF AMERICA CORP		None	J	T	Buy	08/25/17	J		
533.					Buy (add'l)	08/29/17	J		
534. GOLDMAN SACHS GROUP INC	A	Interest	J	T	Buy	08/25/17	J		
535. BP CAPITAL MARKETS PLC	A	Interest	J	T	Buy	08/25/17	J		
536.					Buy (add'l)	09/13/17	J		
537. CITIGROUP INC 3.66 FXD TO 07/24/2027 FLTS THRFTR		None	J	T	Buy	08/25/17	J		
538.					Buy (add'l)	09/07/17	J		
539.					Buy (add'l)	09/28/17	J		
540. GENERAL ELECTRIC CAPITAL CORP		None	J	T	Buy	08/25/17	J		
541. COMCAST CORP	A	Interest			Buy	08/25/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

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542.					Sold	11/02/17	J		
543. ENTERPRISE PRODUCTS OPER [REDACTED]		None	J	T	Buy	08/25/17	J		
544.					Buy (add'l)	09/28/17	J		
545. MICROSOFT CORP [REDACTED]		None	J	T	Buy	11/02/17	J		
546. SHELL INTERNATIONAL FINANCE BV [REDACTED]		None	J	T	Buy	12/06/17	J		
547. WELLS FARGO CO F XD [REDACTED]	A	Interest	J	T	Buy	09/06/17	J		
548.					Buy (add'l)	09/07/17	J		
549.					Buy (add'l)	11/16/17	J		
550.					Buy (add'l)	12/06/17	J		
551. UNITED STATES TREASURY NOTE [REDACTED]		None	J	T	Buy	08/24/17	J		
552. UNITED STATES TREASURY NOTE- INFLATION INDEXED [REDACTED]		None	J	T	Buy	08/24/17	J		
553. UNITED STATES TREASURY BOND	A	Interest	K	T	Buy	08/24/17	J		
554.					Buy (add'l)	11/13/17	J		
555.					Buy (add'l)	12/27/17	J		
556. UNITED STATES TREASURY BOND- INFLATION INDEXED [REDACTED]		None	J	T	Buy	08/24/17	J		
557. FED NATL MTG ASSN	A	Interest	K	T	Buy	08/24/17	J		
558. FEDERAL NATIONAL MTG ASSN POOL [REDACTED] [REDACTED]	B	Interest	J	T	Buy	09/08/17	J		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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559. FEDERAL NATIONAL MTG ASSN POOL [REDACTED]	A	Interest	J	T	Buy	11/29/17	J		
560. FED HOME LN MTG CORP [REDACTED]	A	Interest	J	T	Buy	08/24/17	J		
561. FHLMC 30YR GOLD	B	Interest	K	T	Buy	09/11/17	L		
562.					Buy (add'l)	10/10/17	J		
563.					Sold (part)	11/29/17	J		
564. UNITED MEXICAN STATES [REDACTED]		None			Buy	08/25/17	J		
565.					Sold	12/06/17	J		
566. AQR STYLE PREMIA ALTERNATIVE I (QSPIX)		None	M	T	Buy	08/24/17	M		
567. WESTERN ASSET SMASH SERIES C (LMLCX)	A	Dividend	K	T	Buy	08/24/17	K		
568. WESTERN ASSET SMASH SERIES EC (LMECX)	C	Dividend	L	T	Buy	08/24/17	L		
569.					Buy (add'l)	12/12/17	J		
570.					Buy (add'l)	12/19/17	J		
571.					Buy (add'l)	12/27/17	J		
572. WESTERN ASSET SMASH SERIES M (LMSMX)	A	Dividend	L	T	Buy	08/24/17	L		
573.					Buy (add'l)	09/27/17	J		
574.					Buy (add'l)	10/26/17	J		
575.					Buy (add'l)	12/27/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, lines 4, 45, 89, 114, 124, 331, 349, 432, 449, 458, 475, 478, and 505: These assets had reportable transactions, and they were below the reporting threshold at the end of the reporting period, without reportable sales. (Y)

Part VII, Lines 29, 71, 133, 147, 204, 211, 245, 282, 295, and 296: Name changes only; no reportable transactions. See 2016 report, lines 25, 64, 119, 130, 194, 200, 229, 267, 281, and 284, respectively.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 40 of the filing instructions.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ CHARLES J. SIRAGUSA**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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