

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Fuentes, Julio M.	2. Court or Organization Third Circuit Court of Appeals	3. Date of Report 10/21/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 50 Walnut Street Room No. 5032 Newark, NJ 07102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Authentic (Auth)		None			Sold	10/05/12	J		
2. Citigroup (C)	A	Dividend			Sold	04/09/12	J		
3. Chesapeake	A	Dividend			Sold	04/02/12	J		
4. Coach (COH)	A	Dividend	J	T					
5. Darden (DRN)	A	Dividend	J	T					
6. EMC Corp. (EMC)		None	J	T					
7. Ford (F)	A	Dividend	J	T					
8. GSI Tech. (GSIT)		None	J	T					
9. Johnson Controls (JCI)	A	Dividend	J	T					
10. Microsoft (MSPT)	A	Dividend	J	T					
11. Pfizer (PFE)	A	Dividend	J	T					
12. Trinity (TRN)	A	Dividend	J	T					
13. TEVAPham.	A	Dividend			Sold	03/27/12	J		
14. Verizon (VZ)	A	Dividend	J	T					
15. UPF Tech (UPFT)		None	J	T					
16. CSX (CSX)	A	Dividend	J	T	Buy	08/01/12	J		
17. Intel (INTC)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Merge Health (MRGE)		None	J	T					
19. Mistras Group (MG)		None	J	T					
20. Sandridge (SD)		None	J	T					
21. JP Morgan Chase(JPM)	A	Dividend	J	T					
22. Nvidia (NVDA)		None			Sold	04/30/12	J		
23. Yamana (AUY)	A	Dividend	J	T					
24. Endeavor (EXX)		None			Sold	02/29/12	J		
25. Jabil (JBL)		None			Buy	03/27/12	J		
26. Jabil (JBL)		None			Sold	04/30/12	J		
27. Spectrum Pharma (SPPI)	A	Dividend	J	T	Buy	03/27/12	J		
28. Apple (APPL)	A	Dividend	J	T					
29. Breville	A	Dividend	J	T	Buy	05/01/12	J		
30. Facebook (FB)		None	J	T	Buy	11/14/12	J		
31. Millennial Media (MM)		None	J	T	Buy	10/11/12	J		
32. Finish Line Inc.	A	Dividend	J	T	Buy	02/14/12	J		
33. Nucor	A	Dividend	J	T	Buy	03/07/12	J		
34.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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35.									
36.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Coach was included in prior report, it was a typographical error and reported as "Colt" not COH.

Darden was included in prior report, it was a typographical error and reported as "DRI" not DRN.

FLTX was reported in error and should not have been included in the report.

Please amend my 2012 Financial Disclosure Report to reflect in Part VII, page 5, line 2, Column D that I purchased Apple shares on 4/30/12. I did not own any Apple shares prior to that date.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Julio M. Fuentes**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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