

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Furay, Catherine J.	2. Court or Organization U.S. Bankruptcy Court, Western District of Wisconsin	3. Date of Report 05/11/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief Bankruptcy Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address United States Courthouse 120 N. Henry Street, Room 340 Madison, WI 53703-2559		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Committee Member	Villages of Bishops Bay Architectural Review Committee
2. Member of Board of Directors and Treasurer	University of Wisconsin-Eau Claire Foundation Inc.
3. Committee Member	Elections Committee and Board of Governors of the National Conference of Bankruptcy Judges
4. President of Certification Oversight Committee	Turnaround Management Association
5. Vice-President of Certification on Global TMA Board	Turnaround Management Association

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 10/10/17	LexisNexis - compensation for updating Construction Law	\$2,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Sweet DeMarb LLC & Steinhilber Swanson, LLC - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Turnaround Management Association	01/31/17 - 02/02/17	Las Vegas, NV	TMA Certification Oversight Committee Meeting	Transportation, meals, hotel
2. State Bar of Wisconsin	03/01/17 - 03/03/17	Kohler, WI	BICR Retreat	Transportation, meals, hotel
3. State Bar of Wisconsin	04/27/17 - 04/28/17	Eau Claire, WI	Northwestern Wisconsin Bankruptcy Institute	Transportation, meals, hotel
4. American Bankruptcy Institute	06/08/17 - 06/10/17	Traverse City, MI	ABI Central States Bankruptcy Workshop	Transportation, hotel
5. National Conference of Bankruptcy Judges	10/07/17 - 10/11/17	Las Vegas, NV	NCBJ 2017 Annual Meeting	Transportation, meals, hotel

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6.	Fed Bar Association-Iowa Chapter	11/01/17 - 11/02/17	Des Moines, IA	2017 All-Iowa Bankruptcy Conference	Transportation, meals, hotel
7.					
8.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	*See note in Part VIII		\$0.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Credit Card	J
2.	American Express	Credit Card	J
3.	Visa	Credit Card	J
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Bank Account The Park Bank	A	Interest	J	T					
2. Bank Account Middleton Community Bank, n/k/a Monona Bank	A	Interest	K	T					
3. The Peoples Community Bancshares	A	Interest	J	T					
4. Mitzvah LLC		None	M	U					
5. Bank Account Towne Bank	A	Interest	J	T					
6. INVESTMENT ACCOUNT #1 (UBS); (H)									
7. - Cash (UBS)	A	Interest	J	T					
8. - ABB LTD (ABB)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
9. - America Movil (AMX)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
10. - Amgen Inc. (AMGN)	A	Dividend	J	T					
11. - Apple Inc. (AAPL)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
12. - Banco Santander (SAN)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
13. - Capital One Financial Corp (COF)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
14. - Carnival Corp New-Paired Stock (CCL)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
15. - Cisco Systems Inc. (CSCO)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
16.					Sold (part)	03/22/17	J	A	
17. - Citigroup Inc. (C)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - Coca Cola Co. (KO)	A	Dividend	J	T	Buy (add'l)	01/04/17	J		
19. - Credit Suisse Group (CS)		None			Sold	01/04/17	J	A	
20. - Diageo PLC New (DEO)	A	Dividend	J	T					
21. - Duke Energy Corp (DUK)	A	Dividend	J	T					
22. - Eaton Corp PLC (ETN)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
23. - Exxon Mobil Corp (XOM)	A	Dividend	J	T					
24. - Ford Motor Co. (F)	A	Dividend	J	T	Buy (add'l)	01/04/17	J		
25. - General Electric Co. (GE)	A	Dividend			Sold (part)	01/04/17	J	A	
26.					Sold	12/04/17	J	A	
27. - Halliburton Co. (HAL)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
28. - HSBC Holdings (HSBC)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
29. - Kingfisher PLC New Spon ADR (KGFHY)					Sold	01/03/17	J	A	
30. - McDonald's Corp. (MCD)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
31. - Merck & Co Inc. (MRK)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
32. - Microsoft Corp. (MSFT)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
33.					Buy (add'l)	03/22/17	J		
34.					Buy (add'l)	03/23/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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35. - Mondelez International Inc. (MDLZ)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
36. - Nestle (NSRGY)	A	Dividend	J	T					
37. - Oracle Corp. (ORCL)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
38.					Sold (part)	03/23/17	J	A	
39. - Qualcomm Inc. (QCOM)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
40. - Sanofi Spon ADR (SNY)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
41. - Schlumberger Ltd. Netherlands Antilles (SLB)	A	Dividend			Sold (part)	01/04/17	J	A	
42.					Sold	12/05/17	J	A	
43. - Spectra Energy Corp (SE)		None			Sold	01/04/17	J	A	
44. - Swatch Group AG (SWGAY)		None			Sold	01/03/17	J	A	
45. - Unilever NV (UN)	A	Dividend	J	T					
46. - Walt Disney Co. (DIS)	A	Dividend	J	T	Buy (add'l)	01/04/17	J		
47. - Western Union (WU)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
48. - Westpac Banking Ltd. (WBK)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
49. - Wisdomtree Emerging Markets High Divid Fund ETF (DEM)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
50. - Wisdomtree Emerging Markets ETF (DGS)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
51. - Ishares National Municipal Bond ETF (MUB)		None			Sold	01/04/17	J	A	

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52. - SPDR Nuveen Barclays Municipal ETF (TFI)	A	Dividend	K	T	Sold (part)	01/04/17	K	A	
53. - Vaneck Vectors ETF High Yield Municipal (HYD)	A	Dividend	J	T	Buy (add'l)	01/04/17	J		
54. - TEVA Pharmaceuticals (TEVA)	A	Dividend			Buy (add'l)	01/04/17	J		
55.					Sold	08/04/17	J	A	
56. - VISA Inc. (V)	A	Dividend	J	T	Buy (add'l)	01/04/17	J		
57. - Monsanto Co. (MON)	A	Dividend	J	T	Buy	01/04/17	J		
58. - Starbucks Corp. (SBUX)	A	Dividend	J	T	Buy	01/04/17	J		
59. - Ishares MSCI ACWI (ACWI)	A	Dividend	J	T	Buy	12/04/17	J		
60.					Buy (add'l)	12/05/17	J		
61. - SPDR Nuveen Barclays Short-Term Muni (SHM)	A	Dividend	J	T	Buy	01/04/17	J		
62. IRA #1 (UBS): (H)									
63. - Cash (UBS)	A	Interest	K	T					
64. - Vanguard Total Bond Market Index (BND)		None			Sold	01/04/17	L	A	
65. - Abb Ltd Spon Adr (ABB)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
66.					Buy (add'l)	07/28/17	J		
67. - America Movil (AMX)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
68.					Buy (add'l)	07/28/17	J		

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69. - Amgen Inc. (AMGN)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
70.					Buy (add'l)	07/25/17	J		
71. - Apple Inc. (AAPL)	A	Dividend	K	T	Sold (part)	01/04/17	J	A	
72.					Buy (add'l)	07/25/17	J		
73. - Banco Santander (SAN)	B	Dividend	K	T	Sold (part)	01/04/17	J	A	
74.					Buy (add'l)	07/25/17	J		
75. - Capital One Financial Corp (COF)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
76.					Buy (add'l)	07/25/17	J		
77. - Carnival Corp New-Paired Stock (CCL)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
78.					Buy (add'l)	07/25/17	J		
79. - Cisco Systems Inc. (CSCO)	A	Dividend	K	T	Sold (part)	01/04/17	J	A	
80.					Sold (part)	03/22/17	J	A	
81.					Buy (add'l)	07/25/17	J		
82. - Citigroup Inc. (C)	A	Dividend	K	T	Sold (part)	01/04/17	J	A	
83.					Buy (add'l)	07/25/17	J		
84. - Coca Cola Co. (KO)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
85.					Buy (add'l)	07/28/17	J		

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86. - Credit Suisse Group Spon ADR (CS)		None			Sold	01/04/17	J	B	
87. - Diageo PLC New GB Spon ADR (DEO)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
88.					Buy (add'l)	07/25/17	J		
89. - Duke Energy Corp (DUK)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
90.					Buy (add'l)	07/28/17	J		
91. - Eaton Corp PLC (ETN)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
92.					Buy (add'l)	07/25/17	J		
93. - Exxon Mobil Corp (XOM)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
94.					Buy (add'l)	07/25/17	J		
95. - Ford Motor Co. (F)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
96.					Buy (add'l)	07/25/17	J		
97. - General Electric Co. (GE)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
98.					Buy (add'l)	07/25/17	J		
99. - Halliburton Co. (HAL)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
100.					Buy (add'l)	07/25/17	J		
101. - HSBC Holdings PLC New GB Spon ADR (HSBC)	A	Dividend	K	T	Sold (part)	01/04/17	J	B	
102.					Buy (add'l)	07/25/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. - Kingfisher PLC New Spon ADR (KGFHY)		None			Sold	01/03/17	J	A	
104. - McDonald's Corp. (MCD)	A	Dividend	J	T	Buy (add'l)	01/04/17	J		
105.					Buy (add'l)	07/25/17	J		
106. - Merck & Co Inc. New Com (MRK)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
107.					Buy (add'l)	07/25/17	J		
108. - Microsoft Corp. (MSFT)	A	Dividend	K	T	Sold (part)	01/04/17	J	A	
109.					Buy (add'l)	03/22/17	J		
110.					Buy (add'l)	03/23/17	J		
111.					Buy (add'l)	07/25/17	J		
112. - Mondelez International Inc. (MDLZ)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
113.					Buy (add'l)	07/25/17	J		
114. - Nestle S A Spon ADR REPSTG Reg SHS Switz (NSRGY)	A	Dividend	K	T	Sold (part)	01/04/17	J	A	
115.					Buy (add'l)	07/25/17	J		
116. - Oracle Corp. (ORCL)	A	Dividend	K	T	Sold (part)	01/04/17	J	A	
117.					Sold (part)	03/23/17	J	A	
118.					Buy (add'l)	07/25/17	J		
119. - Qualcomm Inc. (QCOM)	A	Dividend	K	T	Sold (part)	01/04/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	07/27/17	J		
121. - Sanofi Spon ADR (SNY)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
122.					Buy (add'l)	07/25/17	J		
123. - Schlumberger Ltd. Netherlands Antilles (SLB)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
124.					Buy (add'l)	07/25/17	J		
125. - Spectra Energy Corp (SE)		None			Sold	01/04/17	J	A	
126. - Swatch Group AG ADR (SWGAY)		None			Sold	01/03/17	J	A	
127. - Unilever NV Y SHS New Netherlands Spon ADR (UN)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
128.					Buy (add'l)	07/25/17	J		
129. - Walt Disney Co. (DIS)	A	Dividend	J	T	Buy (add'l)	01/04/17	J		
130.					Buy (add'l)	07/25/17	J		
131. - Western Union Co (WU)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
132.					Buy (add'l)	07/25/17	J		
133. - Westpac Banking Ltd Spon ADR Australia ADR (WBK)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
134.					Buy (add'l)	07/25/17	J		
135. - Wisdomtree Emerging Markets High Divid Fund ETF (DEM)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
136.					Buy (add'l)	07/25/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Wisdomtree Emerging Markets ETF (DGS)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
138.					Buy (add'l)	07/25/17	J		
139. - Blackstone Group Lp (BX)	A	Dividend	K	T	Sold (part)	01/04/17	J	A	
140.					Buy (add'l)	07/25/17	J		
141. - Ishares Core U.S. Aggregate Bond ETF (AGG)	D	Dividend	M	T	Buy (add'l)	01/04/17	L		
142.					Buy (add'l)	07/25/17	J		
143. - Pimco 0-5 High Yield Corp. Bond Index ETF (HYS)	B	Dividend	K	T	Buy (add'l)	01/04/17	J		
144.					Buy (add'l)	07/25/17	J		
145. - Powershares Senior Loan (BKLN)	A	Dividend	K	T	Sold (part)	01/04/17	K	A	
146.					Buy (add'l)	07/25/17	J		
147. - Vanguard Charlotte FDS Total Intl Bond Index Fund ETF (BNDX)	A	Dividend	K	T	Buy (add'l)	01/04/17	J		
148.					Buy (add'l)	07/25/17	J		
149. - TEVA Pharmaceuticals Israel ADR (TEVA)	A	Dividend			Buy (add'l)	01/04/17	J		
150.					Buy (add'l)	07/25/17	J		
151.					Sold	08/04/17	J	A	
152. - Visa Inc. (V)	A	Dividend	K	T	Buy (add'l)	01/04/17	J		
153.					Buy (add'l)	07/25/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Monsanto Co. (MON)	A	Dividend	J	T	Buy (add'l)	01/04/17	J		
155.					Buy (add'l)	07/25/17	J		
156. - Daimler AG Unsponsored ADR (DMLRY)	A	Dividend	J	T	Buy	01/04/17	J		
157. - Glaxo SmithKline PLC ADR (GSK)		None	J	T	Buy	08/22/17	J		
158. - Johnson Ctls Intl PLC (JCI)	A	Dividend	J	T	Buy	08/28/17	J		
159. - Roche Hldg Ltd Spons ADR Switz ADR (RHHBY)	A	Dividend	J	T	Buy	01/04/17	J		
160.					Buy (add'l)	07/25/17	J		
161. - Starbucks Corp (SBUX)	A	Dividend	J	T	Buy	01/04/17	J		
162.					Buy (add'l)	07/25/17	J		
163. - VF Corp (VFC)	A	Dividend	J	T	Buy	01/04/17	J		
164.					Buy (add'l)	07/25/17	J		
165. - Pimco Enhanced Short MAT Active ETF (MINT)		None			Buy	08/04/17	J		
166.					Sold (part)	08/22/17	J	A	
167.					Sold	08/23/17	J	A	
168. - Ishares 1-3 Year Credit Cond ETF (CSJ)	B	Dividend	L	T	Buy	01/04/17	L		
169.					Buy (add'l)	07/25/17	J		
170. IRA #2 (UBS): (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Cash (UBS)	A	Interest	K	T					
172. - Abb Ltd Spon Adr (ABB)	A	Dividend	K	T	Sold (part)	01/04/17	J	A	
173.					Buy (add'l)	07/25/17	J		
174. - America Movil (AMX)	A	Dividend	K	T	Sold (part)	01/04/17	J	A	
175.					Buy (add'l)	07/28/17	J		
176. - Amgen Inc. (AMGN)	A	Dividend	K	T	Sold (part)	01/04/17	J	A	
177.					Buy (add'l)	07/25/17	J		
178. - Apple Inc. (AAPL)	A	Dividend	K	T	Sold (part)	01/04/17	J	A	
179.					Buy (add'l)	07/25/17	J		
180. - Banco Santander (SAN)	B	Dividend	K	T	Sold (part)	01/04/17	J	A	
181.					Buy (add'l)	07/25/17	J		
182. - Capital One Financial Corp (COF)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
183.					Buy (add'l)	07/25/17	J		
184. - Carnival Corp New-Paired Stock (CCL)	A	Dividend	K	T	Sold (part)	01/04/17	J	A	
185.					Buy (add'l)	07/25/17	J		
186. - Cisco Systems Inc. (CSCO)	A	Dividend	K	T	Sold (part)	01/04/17	J	A	
187.					Sold (part)	03/22/17	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	07/25/17	J		
189. - Citigroup Inc. (C)	A	Dividend	K	T	Sold (part)	01/04/17	J	A	
190.					Buy (add'l)	07/25/17	J		
191. - Coca Cola Co. (KO)	A	Dividend	K	T	Buy (add'l)	01/04/17	J		
192.					Buy (add'l)	07/25/17	J		
193. - Credit Suisse Group Spon ADR (CS)		None			Sold	01/04/17	J	B	
194. - Diageo PLC New GB Spon ADR (DEO)	A	Dividend	K	T	Sold (part)	01/04/17	J	A	
195.					Buy (add'l)	07/25/17	J		
196. - Duke Energy Corp. (DUK)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
197.					Buy (add'l)	07/25/17	J		
198. - Eaton Corp PLC (ETN)	A	Dividend	K	T	Sold (part)	01/04/17	J	A	
199.					Buy (add'l)	07/25/17	J		
200. - Exxon Mobil Corp (XOM)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
201.					Buy (add'l)	07/25/17	J		
202. - Ford Motor Co. (F)	A	Dividend	K	T	Buy (add'l)	01/04/17	J		
203.					Buy (add'l)	07/25/17	J		
204. - General Electric Co. (GE)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	07/25/17	J		
206. - Halliburton Co. (HAL)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
207.					Buy (add'l)	07/25/17	J		
208. - HSBC Holdings PLC New GB Spon ADR (HSBC)	B	Dividend	K	T	Sold (part)	01/04/17	J	B	
209.					Buy (add'l)	07/25/17	J		
210. - Kingfisher PLC New Spon ADR (KGFHY)		None			Sold	01/03/17	J	A	
211. - McDonald's Corp. (MCD)	A	Dividend	K	T	Buy (add'l)	01/04/17	J		
212.					Buy (add'l)	07/25/17	J		
213. - Merck & Co Inc. New Com (MRK)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
214.					Buy (add'l)	07/25/17	J		
215. - Microsoft Corp. (MSFT)	A	Dividend	K	T	Sold (part)	01/04/17	J	A	
216.					Buy (add'l)	03/22/17	J		
217.					Buy (add'l)	03/23/17	J		
218.					Buy (add'l)	07/25/17	J		
219. - Mondelez International Inc. (MDLZ)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
220.					Buy (add'l)	07/25/17	J		
221. - Nestle S A Spon ADR REPSTG Reg SHS Switz (NSRGY)	A	Dividend	K	T	Buy (add'l)	07/25/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Furay, Catherine J.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222. - Oracle Corp. (ORCL)	A	Dividend	K	T	Sold (part)	01/04/17	J	A	
223.					Sold (part)	03/23/17	J	A	
224.					Buy (add'l)	07/25/17	J		
225. - Qualcomm Inc. (QCOM)	A	Dividend	K	T	Sold (part)	01/04/17	J	A	
226.					Buy (add'l)	07/27/17	J		
227. - Sanofi Spon ADR (SNY)	A	Dividend	K	T	Sold (part)	01/04/17	J	A	
228.					Buy (add'l)	07/25/17	J		
229. - Schlumberger Ltd. Netherlands Antilles (SLB)	A	Dividend	J	T	Sold (part)	01/04/17	J	A	
230.					Buy (add'l)	07/25/17	J		
231. - Spectra Energy Corp (SE)		None			Sold	01/04/17	K	A	
232. - Swatch Group AD ADR (SWGAY)		None			Sold	01/03/17	K	A	
233. - Unilever NV Y SHS New Netherlands Spon ADR (UN)	A	Dividend	K	T	Buy (add'l)	01/04/17	J		
234.					Buy (add'l)	07/25/17	J		
235. - Walt Disney Co. (DIS)	A	Dividend	K	T	Buy (add'l)	01/04/17	J		
236.					Buy (add'l)	07/25/17	J		
237. - Western Union Co (WU)	A	Dividend	K	T	Sold (part)	01/04/17	J	A	
238.					Buy (add'l)	07/25/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. - Westpac Banking Ltd Spon ADR Australia ADR (WBK)	B	Dividend	K	T	Sold (part)	01/04/17	J	A	
240.					Buy (add'l)	07/25/17	J		
241. - Wisdomtree Emerging Markets High Divid Fund ETF (DEM)	A	Dividend	K	T	Sold (part)	01/04/17	J	A	
242.					Buy (add'l)	07/25/17	J		
243. - Wisdomtree Emerging Markets ETF (DGS)	A	Dividend	K	T	Sold (part)	01/04/17	J	A	
244.					Buy (add'l)	07/25/17	J		
245. - Blackstone Group Lp (BX)	B	Dividend	K	T	Sold (part)	01/04/17	J	A	
246.					Buy (add'l)	07/25/17	J		
247. - Ishares Core U.S. Aggregate Bond ETF (AGG)	D	Dividend	N	T	Buy (add'l)	01/04/17	L		
248.					Buy (add'l)	07/25/17	J		
249. - Pimco 0-5 High Yield Corp. Bond Index ETF (HYS)	B	Dividend	K	T	Buy (add'l)	01/04/17	J		
250.					Buy (add'l)	07/25/17	J		
251. - Powershares Senior Loan (BKLN)	B	Dividend	K	T	Sold (part)	01/04/17	K	A	
252.					Buy (add'l)	07/25/17	J		
253. - Vanguard Charlotte FDS Total Intl Bond Index Fund ETF (BNDX)	A	Dividend	K	T	Buy (add'l)	01/04/17	J		
254.					Buy (add'l)	07/25/17	J		
255. - Vanguard Total Bond Market Index (BND)		None			Sold	01/04/17	M	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$5,001 - \$100,000	D = \$5,001 - \$15,000 I = \$15,001 - \$50,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$500,001 - \$1,000,000	K = \$15,001 - \$50,000 O = \$50,001 - \$100,000 Q = \$100,001 - \$250,000	L = \$50,001 - \$100,000 P = \$100,001 - \$250,000 R = \$250,001 - \$500,000	M = \$100,001 - \$250,000 S = \$250,001 - \$500,000 T = \$500,001 - \$1,000,000	N = \$1,000,001 - \$5,000,000 U = \$5,000,001 - \$25,000,000 V = \$25,000,001 - \$50,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. - TEVA Pharmaceuticals Israel ADR (TEVA)	A	Dividend			Buy (add'l)	01/04/17	J		
257.					Buy (add'l)	07/25/17	J		
258.					Sold	08/04/17	J	A	
259. - Visa Inc. (V)	A	Dividend	K	T	Buy (add'l)	01/04/17	J		
260.					Buy (add'l)	07/25/17	J		
261. - Monsanto Co. (MON)	A	Dividend	K	T	Buy (add'l)	01/04/17	J		
262.					Buy (add'l)	07/25/17	J		
263. - Daimler AG Unsponsored ADR (DMLRY)		None	J	T	Buy	07/10/17	J		
264. - Glaxo Smithkline PLC ADR (GSK)		None	J	T	Buy	08/22/17	J		
265. - Johnson Ctls Intl PLC (JCI)	A	Dividend	J	T	Buy	08/23/17	J		
266. - Roche Hldg Ltd Spons ADR Switz (RHHBY)	A	Dividend	J	T	Buy	01/04/17	J		
267.				T	Buy (add'l)	07/25/17	J		
268. - Starbucks Corp (SBUX)	A	Dividend	K	T	Buy	01/04/17	K		
269.					Buy (add'l)	07/25/17	J		
270. - VF Corp (VFC)	A	Dividend	J	T	Buy	01/04/17	J		
271.					Buy (add'l)	07/25/17	J		
272. - Ishares 1-3 Yr Credit Bond ETF (CSJ)	B	Dividend	M	T	Buy	01/04/17	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Furay, Catherine J.

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05/11/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273.					Buy (add'l)	07/25/17	J		
274. Extendquip LLC		None	K	U					
275. State of Wisconsin Life Insurance	A	Dividend	J	T					
276. Wisconsin Retirement System Dept of Employee Trust Funds	A	Interest	J	T					
277. Wisconsin Deferred Compensation Program (H)*									
278. - Black Rock EAFE Equity - Index Coll T		None			Closed	03/28/17	J	A	
279. - DFA US Micro Cap I	A	Dividend			Closed	03/28/17	J	A	
280. - BlackRock Mid Cap Index - Collective F		None			Closed	03/28/17	J	A	
281. - Fidelity Contrafund	A	Dividend			Closed	03/28/17	J	A	
282. - Vanguard Institutional Index Fund Plus	A	Dividend			Closed	03/28/17	J	A	
283. - Federated US Government Securities 2-5 Yr.	A	Dividend			Closed	03/28/17	J	A	
284. - Vanguard Stable Value Fund		None			Closed	03/28/17	J	A	
285. IRA #3 (UBS): (H)									
286. - Cash (UBS)	A	Interest	M	T					
287. - Barclays Bank PLC Trigger PS DXJ		None	K	T					
288. - UBS AG Trigger PS EM EQTY BSKT		None	K	T					
289. - Citigroup INC Buffered ROS EWZ		None			Redeemed	10/31/17	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. - JPMorgan Chase & Co. Buffered ROS FTSEMIB		None			Redeemed	12/26/17	K	C	
291. - Goldman Sachs Group, Inc. Buffered ROS HSCEI		None			Redeemed	10/31/17	J	B	
292. - Morgan Stanley Contingent ROS SPX		None			Redeemed	10/31/17	K	D	
293. - Goldman Sachs Group, Inc. Airbag PS UKX		None	K	T					
294. - Credit Suisse AG Airbag PS SPTSX60		None	J	T					
295. - Deutsche Bank Trigger ROS SX5E		None			Redeemed	11/01/17	K	C	
296. - Credit Suisse AG L/O TPAOS SPX SX5E	A	Int./Div.			Redeemed	05/17/17	J	A	
297. - Royal Bank of Canada L/O TPAOS RTY SX5E	A	Int./Div.			Redeemed	05/17/17	J	A	
298. - JP Morgan Chase Financial T-ISS SPX		None	K	T					
299. - GS Finance Corp T-SS SPX		None	K	T					
300. IRA #4 (UBS): (H)									
301. - Cash (UBS)	A	Interest	M	T					
302. - Barclays Bank PLC Trigger PS DXJ		None	K	T					
303. - UBS AG Trigger PS EM EQTY BSKT		None	K	T					
304. - Citigroup Inc. Buffered ROS EWZ		None			Redeemed	10/31/17	K	B	
305. - JPMorgan Chase & Co Buffered ROS FTSEMIB		None			Redeemed	10/31/17	K	D	
306. - Goldman Sachs Group, Inc. Buffered ROS HSCEI		None			Redeemed	10/31/17	K	C	

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. - Morgan Stanley Contingent ROS SPX		None			Redeemed	12/26/17	K	D	
308. - Goldman Sachs Group, Inc. Airbag PS UKX		None	K	T					
309. - Credit Suisse AG Airbag PS SPTSX60		None	K	T					
310. - Deutsche Bank Trigger ROS SX5E		None			Redeemed	11/01/17	K	C	
311. - Credit Suisse AG L/O TPAOS SPX SX5E	A	Int./Div.			Redeemed	05/17/17	K	A	
312. - Royal Bank of Canada L/O TPAOS SX5E	A	Int./Div.			Redeemed	05/17/17	K	A	
313. - JP Morgan Chase Financial T-ISS SPX		None	K	T					
314. - GS Finance Corp T-SS SPX		None	K	T					
315. 401k ACCOUNT (ING): (H)*									
316. Lincoln Financial Group Annuity 401k*	B	Int./Div.	M	T					
317. IRA #5 (UBS): (H)									
318. - Cash (UBS)		None	J	T					
319. - ACAP Strategic Fund	A	Int./Div.	L	T					
320. - Skybridge Multi-Advisor	B	Int./Div.	M	T					
321. IRA #6 (UBS): (H)									
322. - Cash (UBS)		None	J	T					
323. - ACAP Strategic Fund	A	Int./Div.	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. - Skybridge Multi-Advisor	B	Int./Div.	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part V. Gifts. During 2017, friends sent me gifts of art, jewelry, and memorabilia. I have no reason to believe that any of these items exceeded the \$375 limit. If I should learn otherwise, I will amend this form.

Part VII. Investments and Trusts.

Line 277 - Wisconsin Deferred Compensation Program was liquidated and rolled into TSP.

Line 316 - There are no individual holdings within this account. There is no control over the account or its investments.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Catherine J. Furay

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544