

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2015Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bucki, Carl L.	2. Court or Organization Bankruptcy Court	3. Date of Report 10/20/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge - active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 300 Pearl Street Suite 350 Buffalo, New York 14202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)POSITIONNAME OF ORGANIZATION/ENTITY

1. Life Trustee, member of audit committee	Buffalo History Museum, formerly known as The Buffalo and Erie County Historical Society
2. Co-Chair	Polish American-Jewish American Council of Western New York
3. Co-Trustee	Trust # 1
4. Director	Polish Arts Club of Buffalo, Inc.
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)☒ NONE (No reportable agreements.)DATEPARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Deposits in accounts at Bank of America	A	Interest	L	T					
2. Metropolitan Life Insurance Company: Whole life insurance	A	Int./Div.	J	T					
3. Polish National Alliance: Whole Life Insurance	A	Int./Div.	J	T					
4. Polish Roman Catholic Union: Whole Life Insurance	A	Int./Div.	J	T					
5. Polish National Alliance: Whole Life	A	Int./Div.	J	T					
6. Prudential Whole Life	A	Int./Div.	J	T					
7. Prudential Financial common stock	A	Dividend	J	T					
8. CD at Bank of America	A	Interest	K	T					
9. CD at Bank of America	A	Interest	J	T					
10. Account at First Niagara Bank	A	Interest	L	T					
11. Account at First Niagara Bank	A	Interest	J	T					
12. Account at HSBC Bank USA, N.A.	A	Interest	K	T					
13. Prudential Whole Life	A	Int./Div.	J	T					
14. Accounts at M&T Bank	A	Interest	L	T					
15. Trust Account at Key Bank	A	Interest	J	T	Distributed (part)	10/24/15	M		
16. Real Property # 1, Amherst, New York	D	Rent	N	W					
17. New York State 529 Plan - Conservative Growth Portfolio (x)		None	J	T	Open	12/31/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. New York State 529 Plan - Conservative Growth Portfolio (x)		None	J	T	Open	12/31/15	J		
19. Accounts at M&T Bank (x)	A	Interest	M	T	Open	07/01/15	L		
20. Accounts at Key Bank (x)	A	Interest	L	T	Open	10/26/15	L		
21. Inherited IRA at Key Bank (x)	A	Interest	J	T	Open	12/01/15	J		
22. Prudential Alliance deposit account (x)	A	Interest	J	T	Open	07/17/15	J		
23. Metropolitan fixed rate annuity (x)	A	Int./Div.	J	T					
24. Athene annuity (x)	E	Distribution			Closed	06/25/15	L	A	
25. United States Life Insurance Co. annuity (x)	D	Distribution			Closed	08/20/15	J	A	
26.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In part VII, line 15 references the sole asset of the trust that is identified on line 3 of part I.

In part VII, line 19 refers to two accounts in the name of the undersigned. By itself, the older of these accounts does not satisfy the threshold for reporting. However, during 2015, a second account was opened at the same bank. The information in part D references the opening of this second account.

In part VII, lines 23, 24 and 25 refer to annuities that were owned by the mother of the undersigned at the time of her death on March 10, 2015. Upon her death, the undersigned acquired a one-third interest in each of these annuities. Thereafter, the undersigned redeemed his interest in the annuities identified on lines 24 and 25. With respect to lines 24 and 25, income in Column B(1) was calculated as the taxable amount of the total distribution. The gain reported in Column (D) (4) was calculated as the increase in value from my mother's date of death to the date of redemption. The investment identified on line 23 is a fixed rate annuity.

In part VII, lines 17 and 18 refer to New York State 529 Plans. These plans allow only the selection of a general investment strategy, which in both instances is a conservative growth portfolio. The plans do not otherwise allow the selection of assets within the plan.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Carl L. Bucki**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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