

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Walker, Susan R.	2. Court or Organization Middle District of Alabama	3. Date of Report 08/18/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief United States Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address One Church Street Room 501-B Montgomery, Alabama		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Eckerd College, St. Petersburg, FL
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2014

Self-employed attorney

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	U. S. Dept. of Education	Student Loan (Child)	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Regions (Tax Account)	A	Interest	K	T					
2. Balch & Bingham (interest in partnership)	C	Dividend	K	T					
3. APCO Credit Union	A	Interest	J	T					
4. Regions Savings Account	A	Interest	J	T					
5. Cisco Systems Common Stock	A	Dividend	J	T					
6. Intel Common Stock	A	Dividend	J	T					
7. Walmart Common Stock	A	Dividend	J	T					
8. Berkshire Hathaway Class B Common Stock		None	J	T					
9. TD Waterhouse Bank (Cash)	A	Interest	K	T					
10. Johnson & Johnson Common Stock	A	Dividend	J	T					
11. China Mobile Ltd Sp Adr	A	Dividend	J	T					
12. Abbott Laboratories	A	Dividend	K	T					
13. Abbvie, Inc.	A	Dividend	K	T					
14. Accenture Ltd C1 A	A	Dividend	K	T					
15. Apple Computer, Inc.	A	Dividend	K	T					
16. China Mobile Ltd Sp Adr	A	Dividend	K	T					
17. Coca Cola Co.	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Exelon Corp	A	Dividend	K	T					
19. Exxon Mobil Corporation, Inc.	A	Dividend	K	T					
20. Hertz Global Holdings, Inc.		None			Sold	09/03/14	J	B	
21. Intel Corp	A	Dividend	K	T					
22. Johnson & Johnson Com	B	Dividend	K	T					
23. McDonalds Corp	A	Dividend	K	T					
24. Microsoft Corp	A	Dividend	K	T					
25. Molson Coors Brewing Co Cl B	B	Dividend	K	T	Sold (part)	09/03/14	J	B	
26. Molson Coors Brewing Co Cl B	B	Dividend	K	T	Sold (part)	09/22/14	J	B	
27. Novartis A G Spon Adrf	B	Dividend	K	T					
28. Novo-Nordisk A/S Adr	A	Dividend	K	T	Buy (add'l)	04/02/14	J		
29. Oracle Corporation	A	Dividend	K	T					
30. Peabody Energy Corp	A	Dividend			Sold	09/03/14	J	A	
31. Pepsico, Inc.	A	Dividend	K	T					
32. Phillip Morris International, I	A	Dividend	K	T					
33. Southern Company	B	Dividend	K	T					
34. Target	A	Dividend	K	T					

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35. Teva Pharm Inds Ltd Adrf	A	Dividend	K	T	Buy (add'l)	03/10/14	J		
36. Teva Pharm Inds Ltd Adrf	A	Dividend	K	T	Buy (add'l)	06/02/14	J		
37. United Technologies	A	Dividend	K	T					
38. Vale S.A. ADR	A	Dividend	J	T	Buy (add'l)	05/07/14	J		
39. Vodaphone Group Public Limited	B	Dividend	K	T	Buy (add'l)	02/05/14	J		
40. Wal-Mart Stores, Inc.	A	Dividend	K	T					
41. Waste Management, Inc. Del	B	Dividend	K	T					
42. Avon Products, Inc. NT 6/5% 19 M-	B	Interest	K	T					
43. BANK OF AMERICA CORP VR 101212	A	Interest	K	T					
44. BK Nova Scotia Flt 2033f	B	Interest	K	T					
45. BUCKEYE PARTNERS LP SR UNSECU	A	Interest	K	T					
46. CINCINNATI OHIO VARIOUS PURP B	B	Interest			Sold	06/02/14	K	A	
47. CITIBANK NA CD CLL FX/FLT 33	A	Interest	J	T					
48. HEWLETT PACKARD CO SR UNSECURE	B	Interest	K	T					
49. Hrpt Properties	B	Interest	K	T					
50. Ingersoll-Rand Global Hldg C N	B	Interest	K	T					
51. JP MORGAN CHASE BK NA 3/29/19		None	K	T					

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52. LLOYDS TSB BANK PLC RDGE ACCRL	B	Interest	K	T					
53. Port St. Lucie, FL Lease Rev Bo	A	Interest			Sold	05/05/14	K	A	
54. Safeway Inc Sr Unsecured M-W C	B	Interest			Sold (part)	08/18/14	K	A	
55. Safeway Inc Sr Unsecured M-W C	B	Interest			Sold	09/25/14	J	A	
56. Societe Gen Var 2033f	B	Interest	K	T					
57. TOYOTA MOTOR CREDIT SR UNSECUR	A	Interest	K	T					
58. Union Bank Na. CD 10/25/17 0%	A	Interest	K	T					
59. Union Bank Na. Sam	A	Interest	K	T					
60. Weitz Funds Short Interim Incom	A	Dividend	K	T					
61. Western Union Sr Unsecured M-W	B	Interest	K	T					
62. WESTERN UNION SR UNSEC	B	Interest	K	T					
63. SSGA Money Market (Cash)	A	Interest	L	T					
64. Oakmark Equity & Income Fund		None	M	T					
65. Verizon Communications	A	Dividend	J	T	Buy	02/24/14	J		
66. Barclays Bank	A	Interest	K	T	Buy	09/03/14	K		
67. Goldman Sachs Group Inc. Unsec Qtlly Flt	A	Interest	K	T	Buy	09/03/14	K		
68. PIMCO Low Duration Fund	A	Dividend	K	T	Buy	09/03/14	K		

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69. Plains Exploration & Prod Co Senior Note Callable		None	K	T	Buy	09/09/14	K		
70. Morgan Stanley Mtn Monthly Variable Callable	A	Interest	K	T	Buy	09/11/14	K		
71. Old Republic Int'l Corp Sr G161 Nt 24		None	K	T	Buy	09/23/14	K		
72. Federal Home Loan Banks Debent Live Callable	A	Interest	K	T	Buy	11/21/14	K		
73. Hsbc Bank USA Na Cd Index Lukd		None	K	T	Buy	12/30/14	K		
74. J P Morgan Chase Bank NA CD 9/9/34	A	Interest	K	T	Buy	09/04/14	K		
75. J P Morgan Chase Bank Na CD 8/30/19	A	Interest	K	T	Buy	11/20/14	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Notes: "SDBA Fund" was reported in error last year as a separate asset. The holdings in this fund were (and are) all itemized on the report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Susan R. Walker

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544