

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bolton, Susan R.	2. Court or Organization U.S. District Court, Arizona	3. Date of Report 12/07/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 401 W. Washington St., SPC 50 Suite 522 Phoenix, AZ 85003		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.	Managing Member	Building K LLC
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1989	Arizona Elected Officials Retirement Plan (pension plan from prior employment as a state court judge)
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 13

Name of Person Reporting

Bolton, Susan R.

Date of Report

12/07/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2015	Arizona Elected Officials Retirement Plan	\$67,746.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 13

Name of Person Reporting

Bolton, Susan R.

Date of Report

12/07/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Merrill Lynch Single Premium Life	Cash value loan	K
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 13

Name of Person Reporting

Bolton, Susan R.

Date of Report

12/07/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IBM common stock	C	Dividend	M	T					
2. Bank of America	A	Interest	L	T					
3. Merrill Lynch Single Premium Life-Insurance		None	J	T					
4. U.S. Savings Bonds		None	O	T					
5. Virtus Balanced Fund	A	Dividend	K	T					
6. ML Retirement Plus-Annuity(variable)		None	M	T					
7. --AB GLBL THMTC GR PRT			K	T					
8. --Blackrock High Yield			K	T					
9. --BLK Basic Value VI			K	T					
10. --BLK GLobal Alloc VI			L	T					
11. --BLK Value Opport VI			J	T					
12. --Invesco VI Core Eqty			K	T					
13. Transamerica Landmark Annuity (variable)		None	L	T					
14. --TA Jennison Growth			K	T					
15. --TA Aegon High Yield Bond			J	T					
16. --Fidelity-VIP Contrafund Portfolio			J	T					
17. --Fidelity-VIP Mid Cap Portfolio			J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 13

Name of Person Reporting

Bolton, Susan R.

Date of Report

12/07/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. --TA Asset Allocation - Moderate			J	T					
19. --AB Growth and Income Portfolio			J	T					
20. Allianz Franklin-Annuity (variable)		None	L	T					
21. --AZL Oppenheimer Discovery Fund			J	T					
22. --AZL MFS Mid Cap Value Fund			J	T					
23. --Templeton Growth VIP Fund			J	T					
24. --AZL JPM Intl Opportunities			J	T					
25. --AZL INV Growth & Income			J	T					
26. --AZL T.Rowe Price Capital App			J	T					
27. --BlackRock Global Allocation			J	T					
28. --Franklin Income VIP Fund			J	T					
29. Office condo-1/2 Int-Phx,AZ ('94 60,000-'04 30,000)		None	M	R					
30. Fidelity Equity Dividend Income Fund	A	Dividend	K	T					
31. Fidelity Magellan mutual fund	A	Dividend	K	T					
32. Fidelity Value mutual fund	A	Dividend	K	T					
33. Fidelity Asset Manager mutual fund	A	Dividend	K	T					
34. Fidelity Puritan mutual fund	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 13

Name of Person Reporting

Bolton, Susan R.

Date of Report

12/07/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Janus Balanced mutual fund	A	Dividend	K	T					
36. Vanguard IRA (H)									
37. --Total Stock Market Index Fund	A	Int./Div.	J	T					
38. --Growth Index Fund	A	Int./Div.	J	T					
39. Illinois DFA zero coupon Muni Bond		None	L	T					
40. Arizona Elected Officials Retirement Plan	F	pension	M	T					
41. Keyport Keyannuity (fixed rate)		None	M	T					
42. Keyport Keyannuity (fixed rate)		None	L	T					
43. IRA #1 (H)									
44. --Bank of Baroda CD	A	Interest	M	T	Buy	05/20/15	M		
45. --First Niagra Bank CD	A	Interest	L	T	Buy	05/15/15	L		
46. --Hapoalim Bank CD	A	Interest	M	T	Buy	11/25/15	M		
47. --Santander Bank CD	A	Interest	L	T	Buy	07/16/15	L		
48. --Comenity Bank CD	A	Interest	L	T	Buy	08/12/15	L		
49. --Capital One Bank CD	A	Interest	M	T	Buy	08/12/15	M		
50. --American Express Centurian CD	A	Interest	M	T	Buy	12/21/15	M		
51. --Capital One CD	A	Interest	K	T	Buy	10/21/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 13

Name of Person Reporting

Bolton, Susan R.

Date of Report

12/07/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. --Ally Bank CD	A	Interest	L	T	Buy	11/04/15	L		
53. --Ally Bank CD	A	Interest	K	T	Buy	11/12/15	K		
54. --Compass Bank CD	A	Interest	M	T	Buy	11/30/15	M		
55. --First Niagra Bank CD	A	Interest			Redeemed	05/14/15	L		
56. --Santander Bank CD	A	Interest			Redeemed	10/15/15	L		
57. --Apple Bank CD	A	Interest			Redeemed	10/22/15	M		
58. --GE Capital Bank CD	A	Interest	L	T					
59. --Discover Bank CD	A	Interest	M	T					
60. --Ally Bank CD	A	Interest	L	T					
61. --State Bank India CD	B	Interest	L	T					
62. --Goldman Sachs Bank CD	A	Interest	L	T					
63. --Verizon Communications	B	Dividend	K	T					
64. --Goldman Sachs Bank CD	A	Interest			Redeemed	11/09/15	K		
65. --Discover Bank CD	A	Interest	L	T					
66. --Bank of America NA	A	Interest	J	T					
67. --Pepsico common stock	A	Dividend	L	T					
68. --Yum Brands common stock	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 13

Name of Person Reporting

Bolton, Susan R.

Date of Report

12/07/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. --Oppenheimer Income Trust mutual fund	A	Dividend	J	T					
70. --Goldman Sachs Bank CD	A	Interest	K	T					
71. --Sallie Mae Bank CD	A	Interest	L	T					
72. --Motorola Solutions	A	Dividend	J	T					
73. --Synchrony Bank fka GE CAP Retail Bank CD	A	Interest			Redeemed	06/01/15	L		
74. --Synchrony Bank fka GE CAP Retail Bank CD	A	Interest			Redeemed	06/01/15	L		
75. --Discover Bank CD	A	Interest	K	T					
76. --Vodafone common stock	A	Dividend	J	T					
77. --Blackrock Global Mutual Fund	B	Dividend	M	T					
78. --BMW Bank CD	A	Interest			Redeemed	10/26/15	L		
79. --GE Capital Bank CD	A	Interest			Redeemed	11/16/15	K		
80. --GE Capital Bank CD	A	Interest	L	T					
81. --GE Capital Bank CD	A	Interest	K	T					
82. --GE Capital Bank CD	A	Interest	J	T					
83. --GE Capital Bank CD	A	Interest	K	T					
84. --Goldman Sachs Bank CD	A	Interest	L	T					
85. --Wal-Mart common stock	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 13

Name of Person Reporting

Bolton, Susan R.

Date of Report

12/07/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. U.S. Savings Bonds		None	K	T					
87. U.S. Savings Bonds		None	K	T					
88. US Savings Bonds		None	K	T					
89. Bank of America Dep Account Preferred	B	Interest	N	T					
90. IRA #2 (H)									
91. --Blackrock GLB	A	Dividend	K	T					
92. --David Global	A	Dividend	M	T					
93. --Direxon Index	A	Dividend	K	T					
94. --JPMorgan Global	A	Dividend	L	T					
95. --Morgan Stanley Bank	A	Interest	J	T					
96. First Trust NASDAQ	A	Dividend	K	T					
97. Guggenheim S&P 500		None			Sold	12/11/15	K	A	
98. IShares Russell MidCap		None			Sold	12/11/15	K	A	
99. IShares MSCI EAFE		None			Sold	12/11/15	K	A	
100. IShares Global Tech	A	Dividend	K	T					
101. IShares MSCI Energing		None			Sold	12/11/15	J	A	
102. IShares TR Russell 2000		None			Sold	12/11/15	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 13

Name of Person Reporting

Bolton, Susan R.

Date of Report

12/07/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Powershares BuyBack Achvrs	A	Dividend	K	T					
104. Vanguard Value	A	Dividend	K	T					
105. Vanguard Growth	A	Dividend	K	T					
106. Vanguard FTSE Allworld	A	Dividend	K	T					
107. Allianz Annuity (fixed rate)	E	Distribution	L	T					
108. American General Annuity (fixed rate)	D	Distribution	K	T					
109. Trust #1 (H)									
110. --Bank of America		None			Closed	05/11/15	K		
111. IShares Core S&P Small	A	Dividend	K	T	Buy	12/08/15	K		
112. IShares 1-3 year	A	Dividend	M	T	Buy	02/11/15	M		
113. SPDR S&P mid-cap 400	A	Dividend	K	T	Buy	12/08/15	K		
114. SPDR S&P 500	A	Dividend	K	T	Buy	12/08/15	K		
115. Vanguard FTSE Emerging	A	Dividend	K	T	Buy	12/08/15	K		
116. Vanguard FTSE Developed	A	Dividend	K	T	Buy	12/08/15	K		
117. First TR NASQ100	A	Dividend	K	T	Buy	09/18/15	K		
118. Guggenheim S&P 500	A	Dividend	J	T	Buy	09/18/15	J		
119. IShares Core S&P small	A	Dividend	K	T	Buy	12/10/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 13

Name of Person Reporting

Bolton, Susan R.

Date of Report

12/07/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. iShares Russell mid-cap	A	Dividend	J	T	Buy	09/18/15	J		
121. iShares MSCI EAFE	A	Dividend	J	T	Buy	09/18/15	J		
122. iShares Global Tech	A	Dividend	K	T	Buy	09/18/15	K		
123. iShares 1-3 year	A	Dividend	L	T	Buy	09/18/15	L		
124. iShares MSCI Emerging	A	Dividend	J	T	Buy	09/18/15	J		
125. iShares Russell 2000	A	Dividend	J	T	Buy	09/18/15	K		
126. Powershares BuyBack	A	Dividend	K	T	Buy	09/18/15	K		
127. SPDR S&P mid-cap 400	A	Dividend	J	T	Buy	12/10/15	J		
128. SPDR S&P 500	A	Dividend	K	T	Buy	12/10/15	K		
129. Vanguard Value	A	Dividend	K	T	Buy	09/18/15	K		
130. Vanguard Growth	A	Dividend	K	T	Buy	09/18/15	K		
131. Vanguard FTSE Emerging	A	Dividend	J	T	Buy	12/10/15	J		
132. Vanguard Dividend	A	Dividend	K	T	Buy	09/18/15	K		
133. Vanguard FTSE All World	A	Dividend	K	T	Buy	09/18/15	K		
134. Vanguard FTSE Developed	A	Dividend	J	T	Buy	12/10/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 13

Name of Person Reporting

Bolton, Susan R.

Date of Report

12/07/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 78: Trust #1 was a testamentary trust fully administered and distributed to beneficiaries as of May 11, 2015.

Part VII: I cannot specifically list the amount of income earned during the reporting period for my annuities because none of the annuity companies provided detailed income information to me.

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

Bolton, Susan R.

Date of Report

12/07/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Susan R. Bolton**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544