

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) WERLEIN, JR., EWING	2. Court or Organization USDC/SO. DIST. TEXAS	3. Date of Report 09/20/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) DISTRICT JUDGE - SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 1/1/2011 to 12/31/2011
7. Chambers or Office Address 515 RUSK AVENUE, ROOM 11521 HOUSTON, TX 77002-2605		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director & Chairperson	The Methodist Hospital, Houston, Texas
2. As Chairperson of TMH Board, director ex officio w/vote of following:	Medvest Holdings, Inc.; Methodist Health Centers; Methodist International; (cont'd in Note (1) in Part VIII)
3. As Chairman of TMH Board, director ex officio w/o vote of following:	Diagnostic Center Hospital Corp. of Texas; Medvest Inc. (cont'd in Note (2) in Part VIII)
4. Executor	Estate No. 1 (See Part VIII, Note 5)
5. Trustee	Trust No. 2

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. The Methodist Hospital	Sept. 21, 2011	New York, New York	Attending meeting at Weill Cornell Med. College in behalf of The Methodist Hospital	Meals, hotel, transportation
2. The Methodist Hospital	Dec. 6, 2011	New York, New York	Attending meeting at Weill Cornell Med. College in behalf of The Methodist Hospital	Meals, hotel, transportation
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Halliburton com.	A	Dividend	L	T					
2. Halliburton com.	A	Dividend	K	T					
3. BP PLC, ADR	B	Dividend	K	T					
4. Exxon Mobil com.	D	Dividend	N	T					
5. Anadarko Petroleum Corp. com.	A	Dividend	K	T					
6. Wal-Mart Stores com.	A	Dividend	J	T					
7. Exxon-Mobil com.	B	Dividend	L	T					
8. Johnson & Johnson, com.	D	Dividend	M	T					
9. Conoco Phillips, com.	C	Dividend	M	T					
10. Texas Capital Bankshares, com.		None	M	T					
11. HCP Inc., com.	A	Dividend	J	T					
12. CNL Lifestyle Props Inc. units		None	K	V					See note (3) in Part VIII
13. Hines REIT, units	A	Dividend	J	V					See note (3) in Part VIII
14. AT&T, com.	C	Dividend	L	T					
15. Bristol-Myers Squibb, com.	A	Dividend	K	T					
16. Berkshire Hathaway CL B com.		None	K	T					
17. Cisco Systems, com.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Comcast Corp. Class A	A	Dividend	J	T					
19. Dean Foods Co., com.		None	J	T					
20. Goodyear, com.		None	J	T					
21. Hewlett Packard, com.	A	Dividend	J	T					
22. Intel Corp., com.	B	Dividend	K	T					
23. IBM, com.	B	Dividend	L	T					
24. JP Morgan Chase, com.	A	Dividend	J	T					
25. LSI Corp., com.		None	J	T					
26. Pepsico, Inc., com.	A	Dividend	K	T					
27. Target Corp., com.	A	Dividend	K	T					
28. Treehouse Foods, com.		None	J	T					
29. Zimmer Holdings, Inc., com.		None	J	T					
30. Kinder Morgan Inc., com.	A	Dividend	K	T	Buy	02/11/11	K		
31. Sector SPDR Financial, com.	A	Dividend	J	T	Buy	02/01/11	J		
32.					Buy (add'l)	02/23/11	J		
33. MUTUAL FUNDS:									
34. Fidelity MM Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Fidelity Low Price Stock	E	Dividend	O	T					
36. Fidelity Contrafund	B	Dividend	N	T					
37. Fidelity Mid-Cap Stock	E	Dividend	N	T					
38. American Century Inter Term Tax-Free Bond	A	Interest	K	T					
39. Loomis Sayles Bond Fund Retail	B	Dividend	K	T					
40. Thornburg Internat'l Value	A	Dividend	J	T					
41. Harris Oakmark Fund (fka Oakmark Fund)	A	Dividend	K	T					
42. Clipper Fund	A	Dividend	K	T					
43. FMI Large Cap Fund	B	Dividend	K	T					
44. Harbor Capital Appreciation Fund		None	K	T					
45. Harris Oakmark Global Select Fund Class 1 (fka Oakmark		None	K	T					
46. Global Select Fund Class 1)									
47. Pimco High Yield Fund Class D	A	Dividend	J	T					
48. Touchstone Sands Capital Select		None	K	T					
49. Vanguard Prime MM Fund	A	Dividend	M	T					
50. JP Morgan High Yield Bond Fund	C	Dividend	K	T					
51. Pimco Emerging Local Bond Fund	B	Dividend	K	T					

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52. Pimco Unconstrained Bond Fund Class D	A	Dividend	K	T					
53. Nuveen Mun. Mkt. Oppor. Fund	A	Dividend	J	T					
54. ATM Investco Van Kampen Small Cap CL A (fka Investco Special	D	Dividend	K	T					
55. Value CL A)									
56. Insured Bank Dep. Sweep Prog.-Morgan Stanley Bank NA	A	Interest	J	T					
57. BONDS and U.S. TREASURY NOTES:									
58. Lower Colo. Riv. Auth. Tex.	A	Interest			Redeemed	01/03/11	J		
59. Montgomery Co. Pa. Hghr. Ed.	A	Interest			Redeemed	10/03/11	J		
60. Meriden Conn. G10 Bonds	A	Interest			Redeemed	08/01/11	K		
61. Mission TX Cons. Indpt. Sch. Dist.	A	Interest			Redeemed	02/18/11	J	A	
62. Austin ISD Tex. U/T Ref. Ser. A	A	Interest	K	T					
63. Univ Tex Perm Univ FD RFDG Ser. B	B	Interest	K	T					
64. Pearland TX Indpt Sch Dist Ser A U/T B/ EDD	A	Interest	K	T					
65. ACCOUNTS IN FINANCIAL INST:									
66. Northern Trust Bank, Houston, check. acct.		None	J	T					
67. Bank of America, Houston, TX (Accounts)	B	Interest	N	T					
68. Comerica Bank, Houston (acct)	A	Interest	M	T					

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69. ML Bank Deposit Program	A	Interest	L	T	Open	01/04/11	L		
70. REAL ESTATE:									
71. Condo-Larimer County, CO	E	Rent	N	W					
72. Working Interest-Cabot U., Andrews County, TX	D	Royalty	K	W					
73. Royalty Interest, Ector County, TX	A	Royalty	J	W					
74. Royalty Interest, Wheeler County, TX	A	Royalty	J	W					
75. Royalty Interest, Martin County, TX	A	Royalty	J	W					
76. Royalty Interest, Glasscock County, TX	D	Royalty	J	W					
77. Royalty Interest, Glasscock County, TX Payor: Laredo Pet. Co	C	Rent							
78. Fractional int. in real property, Kerr County, TX	A	Rent	L	W					
79. Royalty Int. (Pioneer), Martin County, TX (X)	D	Royalty	K	W					
80. Royalty Int. (Linn), Martin County, TX (X)	D	Royalty	K	W					
81. Oil & Gas Rental, Austin County, TX (X)	A	Rent	J	W					
82. RETIREMENT & IRA ACCOUNTS:									
83. IRA Acct: John Hancock Ind. Ret.Annuity		None	K	V					See Note-Part VIII
84. IRA Acct: CGM Focus Fund		None	K	T					
85. IRA ROLLOVER ACCOUNT: All entries that follow from Lines 89	F	Int./Div.	P1	T					

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86. through 136 were under the discretionary									
87. management of a registered investment mgr until 1/12, when									
88. undersigned converted acct. to self-directed IRA:									
89. --Pfizer Inc., com.									
90. --Altria Group, com.					Sold	01/18/11	K	E	
91. --Bank of America FDIC Ins. Deposit (formerly Schwab MM)									
92. --Comcast Corp. New Cl. A									
93. --Consol. Energy, com.									
94. --Leucadia Natl Corp., com.									
95. --NVR Inc., com.					Sold	12/29/11	L		
96. --Key Energy Serv., com.									
97. --RLI Corp., com.					Sold	01/18/11	K	D	
98. --Berkshire Hathaway CL B									
99. --Amer. Eagle Outfitters New, com.					Sold	01/18/11	L		
100. --Home Depot, com.									
101. --Tyco Int'l Ltd., com.									
102. --Tower Group Inc., com					Sold	01/18/11	K	A	

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103. --Coleman Cable Inc., com.					Sold	01/18/11	K		
104. --Johnson & Johnson, com.					Sold	01/18/11	K	A	
105. --Kraft Foods Inc., com.					Sold	01/18/11	K	D	
106. --Sherwin Williams Co., com.									
107. --Republic Srvc, com.					Sold	01/18/11	K	D	
108. --Boston Priv. Finl Hldg, com.									
109. --Horsehead Hldg Corp., com.					Sold	01/18/11	K		
110. --Illinois Tool Works, com.					Sold	12/28/11	K		
111. --Kohls Corp., com.					Sold	01/18/11	K	D	
112. --Microsoft, com.									
113. --Myr Group, com.									
114. --Orion Marine Group, com.					Sold	01/18/11	K	C	
115. --Stryker Corp., com.									
116. --Synovus Financial, com.					Sold	01/18/11	J		
117. --Wellpoint, Inc., com.									
118. --Philip Morris Intl, com.					Sold	01/18/11	K	E	
119. --Cablevision Sys., com.					Sold	01/18/11	L	E	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

120. --Thermo Fish. Sc., com.									
121. --Procter & Gamble, com.					Sold	01/18/11	K	D	
122. --Kroger, com.					Sold	01/18/11	K		
123. --Northern O&G, com.					Sold	01/21/11	L	E	
124. --Amer. River Banksh., com.					Sold	12/28/11	K		
125. --Liberty Media Corp. A, com.					Sold	01/18/11	J	C	
126. --Fairfax Fin. Hldgs, com.					Sold	01/18/11	K	C	
127. --Madison Sq. Garden, com.									
128. --Orchids Paper Prods., com.					Sold	01/18/11	K		
129. --Unitek Global Svcs, com.					Sold	01/18/11	K	A	
130. --Fairholme Fund, com.					Buy	01/21/11	M		
131.					Sold	12/28/11	M		
132. --Fidelity Low Priced Stock					Buy	01/21/11	M		
133.					Buy (add'l)	12/30/11	L		
134. --Fidelity Small Cap Disc. Fund					Buy	12/30/11	M		
135. --Yacktman Fund					Buy	12/21/11	M		
136.					Buy (add'l)	12/30/11	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. IRA ROLLOVER ACCT NO. 2. All entries that follow from lines	G	Int./Div.	P1	T					
138. 142 thru 214 are under the full discretionary management of									
139. a registered investment manager.									
140. FEDERAL AGENCY BONDS, NOTES, AND MORTGAGES in									
141. IRA ROLLOVER ACCT NO. 2:									
142. --Federal Natl Mtg Assoc Sub Notes due 02/11					Redeemed	02/01/11	L		
143. --U.S. Treasury Bill due 12/15/11					Redeemed	12/15/11	L		
144. --U.S. Treasury Bill due 12/15/11					Buy	01/14/11	L		
145.					Redeemed	12/15/11	L		
146. CORPORATE BONDS/NOTES IN IRA ROLLOVER ACCT #2:									
147. --Citigroup Inc. Senior Notes					Redeemed	01/18/11	L	A	
148. --Target Corp. Notes					Redeemed	01/15/11	L	A	
149. --AT&T Notes									
150. --Conoco Phillips Notes									
151. --Amgen Inc. Notes									
152. --Hewlett Packard Notes									
153. --Medtronic Inc. Notes									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. --Procter & Gamble Notes									
155. --Wells Fargo MT Notes									
156. --Morgan Stanley Notes									
157. --Bank of America Note due 9/1/15									
158. --JPMorgan Chase & Co. Notes due 01/15/16					Buy	01/13/11	L		
159. --State Street Corp. Notes due 3/7/16					Buy	03/02/11	L		
160. EQUITIES IN IRA ROLLOVER ACCT #2:									
161. --American Express, com.									
162. --ChevronTexaco, com.									
163. --KO, com.									
164. --Exxon Mobil, com.									
165. --GE, com.									
166. --INTC, com.									
167. --J.P. Morgan Chase, com.									
168. --JNJ, com.					Sold (part)	09/13/11	J	B	
169. --Merck, com.									
170. --PEP, com.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. --WMT, com.									
172. --Walgreen, com.									
173. --McGraw-Hill, Inc., com.									
174. --Microsoft Corp., com.									
175. --Altria Group, com.									
176. --Bank of America, com.					Sold	09/13/11	J		
177. --Automatic Data Proc., com.									
178. --HSBC Hldg PLC, com.									
179. --Occidental Pete Corp. Cal., com.					Buy (add'l)	09/13/11	J		
180. --Caterpillar, Inc., com.									
181. --Halliburton Co., com.					Sold	09/13/11	J	B	
182. --Home Depot, Inc., com.					Sold	09/13/11	J		
183. --Praxair Inc., com.									
184. --Proctor & Gamble, com.									
185. --Target Corp., com.									
186. --United Technologies Corp., com.									
187. --Cisco Systems Inc., com.					Sold	09/13/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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WERLEIN, JR., EWING

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. --Medtronic Inc., com.									
189. --Microchip Tech., Inc., com.					Sold	09/13/11	J		
190. --Texas Instruments, com.									
191. --Kraft Foods Inc., com.									
192. --Abbott Labs, com.									
193. --Rio Tinto PLC, com.									
194. --Fomento Econmico Mex., com.					Sold	09/13/11	K	D	
195. --Freeprt. McMoran CPR & Gold, com.					Buy (add'l)	09/13/11	J		
196. --Genl Dynamics Corp., com.									
197. --McDonalds, com.									
198. --Philip Morris Intl, com.									
199. --Total SA., com.					Buy (add'l)	09/13/11	K		
200. --Becton Dickinson, com.									
201. --IBM, com.									
202. --Nestle Sponsored ADR, com.									
203. --Roche Holdings Ltd. Spon. ADR, com.									
204. --Franklin Resources, com.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. --Intuitive Surgical, com.									
206. --Walt Disney Co., com.									
207. --Air Products & Chems., com.					Buy (add'l)	09/13/11	J		
208. --Arcos Dorados Hldgs., com.					Buy	09/13/11	J		
209. --Black Rock, com.					Buy	09/13/11	J		
210. --Novo Nordisk AS ADR, com.					Buy	09/13/11	K		
211. --Time Warner Cable, com.					Buy	09/13/11	J		
212. CASH ACCOUNTS IN IRA ROLLOVER ACCT NO. 2:									
213. --Regions FDIC									
214. --Dreyfus Govt Money									
215. IRA ROLLOVER NO. 3:	E	Int./Div.	P1	T					
216. --FIA Card Services cash acct									
217. --ML cash account									
218. --Pimco Total Return Fund					Buy (add'l)	08/17/11	L		
219. --Vista Bank, TX (CD)									
220. --First Bank, Puerto Rico (CDs)									
221. --Bank of America MM					Closed	08/15/11	N		

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes	F ~\$50,001 - \$100,000 J ~\$15,000 or less (See Columns C1 and D3)	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	P4 ~More than \$50,000,000 S ~Assessment W ~Estimated	T ~Cash Market

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WERLEIN, JR., EWING

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09/20/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. --Lord Abbott Short Fund					Buy (add'l)	08/17/11	L		
223. --iShares Barclays TIPS BO					Buy	08/16/11	M		
224. FAMILY ESTATE NO. 1 (X):		None	J	T					See Note-Part VIII
225. --Real Property, Kerr County, TX									
226. TRUST NO. 2:	D	Dividend	O	T					
227. --Fidelity MM									
228. --Fidelity Low Price Stock									
229. --Yacktman Fund					Buy (add'l)	01/04/11	L		
230.					Buy (add'l)	12/30/11	L		
231. --Spartan Total Market Index Fund					Sold	12/30/11	M		
232. --Fidelity Small Cap Discovery Fund					Buy (add'l)	12/30/11	K		
233. --Fairholme Fund					Buy	01/16/11	L		
234.					Sold	12/28/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- (1) Part I POSITIONS, line 2, also the following subsidiaries: The Methodist Hospital Foundation; The Methodist Hospital Research Institute, TMH Health Care Group, and TMH Medical Office Buildings Condominium Association
- (2) Part I POSITIONS, line 3, also the following subsidiaries: Methodist Willowbrook Medical Office Bldgs Condominium Association; Methodist Willowbrook Medical Office Bldgs II Condominium Association; San Jacinto Methodist-Alexander Condominium Association; San Jacinto Methodist Hospital; SJMH Condominium Association; The Methodist Hospital Condominium Association; TMH Medical Office Buildings; and Methodist West Houston Condominium Association.
- (3) Part VII, page 4, lines 12 & 13: the year-end values of CNL Lifestyle Props. Inc. units, and Hines REIT, which are real estate REITS that are not publicly traded, are derived from the issuers' estimates of value at year end.
- (4) Part VII, page 8, line 83: The year-end value of IRA account in John Hancock Ind. Retirement Annuity, which is not publicly traded, was provided by John Hancock Life Ins. Co.
- (5) Part VII, page 17, line 224: Estate No. 1 [REDACTED] was accurately reported as distributed to the Estate's beneficiaries by 12/30/10, but because a small non-interest bearing account remains for purchase of a gravestone for the deceased, this item is not deleted.

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WERLEIN, JR., EWING

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ EWING WERLEIN, JR.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544