

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gershon , Nina	2. Court or Organization EDNY	3. Date of Report 05/07/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) USDJ senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 225 Cadman Plaza E. Brooklyn, NY 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Gershon , Nina

Date of Report

05/07/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2011

-salary

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. Federal Bar Council

02/19/2011 to
02/26/2011

Los Cabos, Mexico

bar and bench conference

room, board, travel

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Gershon , Nina

Date of Report

05/07/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Gershon , Nina

Date of Report

05/07/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IBM comon stock	A	Dividend	K	T					
2. US Govt HH Bonds	A	Interest	K	T					
3. Fidelity Magellan IRA	A	Dividend	J	T					
4. Citibank Checking	A	Interest	J	T					
5. Chase CD (IRA)	A	Interest	J	T					
6. MorganStanley Active (Liquid) Assets	A	Dividend	J	T					
7. Chase Money Market	A	Interest	J	T					
8. Kaufmann Fund	B	Dividend	L	T					
9. Deferred Comp		None	N	T					
10. NY Money Trust (MS)	A	Dividend	J	T					
11. Vanguard 500 (IRA)	B	Dividend	M	T					
12. Cattaraugus Impt Bond	B	Interest			Redeemed	09/15/11	K		
13. Albany Co B Bond	B	Interest	K	T					
14. Fid Int. Govt Fund (IRA)	A	Interest	K	T					
15. Dreyfus US Int.Fund	A	Interest	J	T					
16. Vanguard GNMA Fund	B	Interest	K	T					
17. Dreyfus Mun. Bond Fund	B	Interest	K	T					

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
(See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

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Gershon , Nina

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18. Vanguard GNMA (IRA)	B	Dividend	K	T					
19. Chautauqua Cty NY Bond	A	Interest			Redeemed	04/15/11	K		
20. NYS Dorm. Auth. Bond	A	Interest			Redeemed	01/19/11	J		
21. Haverstraw Public Imp. Bond	A	Interest			Redeemed	03/15/11	J		
22. NYS Hsg Finance Agency Bond	A	Interest	K	T					
23. NYS EnvFacs Corp Bond	B	Interest	K	T					
24. Cohoes NY GOB	A	Interest	K	T					
25. NYS Env Facs Corp	A	Interest	K	T					
26. Schenectady School Bond	A	Interest			Redeemed	06/15/11	J		
27. NY Env Facs Corp	B	Interest	K	T					
28. Fidelity Cap. Apprec. Fund (IRA)	A	Dividend			Merged (with line 11)	01/18/11	J		
29. Fidelity Large Cap Value Fund (IRA)	A	Dividend			Merged (with line 11)	01/18/11	J		
30. Fidelity Value Fund (IRA)	A	Dividend			Merged (with line 11)	01/18/11	J		
31. Citibank Market Rate Acct	A	Interest	J	T					
32. NYS TWY Auth	B	Interest	K	T					
33. Triboro B&T Auth	B	Interest	K	T					
34. SuffolkCty Public Impr. Bond	A	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Gershon , Nina

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35. Fid. Int'l Discovery Fund (IRA)	A	Dividend			Merged (with line 11)	01/18/11	J		
36. Illinois GOB	B	Interest	L	T					
37. NYC GO-Ser-G Bond	A	Interest	J	T					
38. LA CA USD-A Bond	B	Interest	K	T					
39. Metropolitan W BE Bond	A	Interest	K	T					
40. Vanguard Total Bond Mkt Index Fund	B	Dividend	N	T	Buy	10/14/11	N		
41. NYC GO Ser L Bond	B	Interest	L	T	Buy	04/14/11	L		
42. NYS Dorm Auth Bond	A	Interest	K	T	Buy	04/21/11	N		
43. NYS TWY Auth Bond	B	Interest	L	T	Buy	08/05/11	L		
44. NYC Mun Water Bond	A	Interest	K	T	Buy	09/15/11	K		
45. MTA NY Trans Bond	A	Interest	K	T	Buy	10/17/11	K		
46.									
47.									
48.									
49.									

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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Gershon , Nina

Date of Report

05/07/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Gershon , Nina

Date of Report

05/07/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Nina Gershon**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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