

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Waites, John E.	2. Court or Organization U.S. Bankruptcy Court, District of South Carolina	3. Date of Report 5/5/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge - Chief Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. Bankruptcy Court - District of South Carolina 145 King Street, Room 225 Charleston, SC 29401		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Professor of Law	University of South Carolina School of Law
2. Adjunct Professor of Law	Charleston School of Law
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Waites, John E.

Date of Report

5/5/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	University of South Carolina School of Law, teaching	\$4,500.00
2. 2011	Charleston School of Law, teaching	\$9,550.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	- salary
2. 2011	- salary
3. 2011	- salary
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. South Carolina Bar	1/20/2011 - 1/22/2011	Hilton Head Island, SC	Annual Convention	Registration fee
2. National Conference of Bankruptcy Judges	4/3/2011 - 4/5/2011	Palm Desert, CA	Seminar	Registration fee, lodging, transportation, meals
3. Southeastern Bankruptcy Law Institute	4/14/2011 - 4/16/2011	Atlanta, GA	Seminar	Registration fee
4. South Carolina Bankruptcy Law Association	5/20/2011 - 5/22/2011	Myrtle Beach, SC	Seminar	Lodging, Registration fee, meals
5. Turnaround Management Association	6/2/2011 - 6/4/2011	Palm Coast, FL	Seminar	Lodging, registration fee, meals, transportation

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Waites, John E.

Date of Report

5/5/2012

6.	South Carolina Association for Justice	8/4/2011 - 8/5/2011	Hilton Head Island, SC	Seminar	Lodging, registration fee
7.	National Association of Bankruptcy Trustees	9/21/2011 - 9/23/2011	Hilton Head Island, SC	Seminar	Lodging, registration fee, transportation, meals
8.	National Conference of Bankruptcy Judges	10/11/2011 - 10/15/2011	Tampa, FL	Annual Conference	Transportation, lodging, registration fee, meals
9.	South Carolina Defense Trial Attorneys' Association	11/3/2011 - 11/6/2011	Amelia Island, FL	Seminar	Lodging, registration fee, meals

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Waites, John E.

Date of Report

5/5/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Waites, John E.

Date of Report

5/5/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Smith Barney Individual Acct. Money Fund	A	Interest	J	T					
2. S.C. Deferred Comp. Plan 401K/Profit Sharing	A	Interest	J	T					
3. Brokerage Acct. #1 - IRA Advisory Acct	A	Dividend	K	T					
4. -Dreyfus Liquid Assets Fund	A	Interest	J	T					
5. -Alliance Bernstein Intl. Value Fund	A	Dividend	J	T					
6. -American Century Heritage Fund	A	Dividend	J	T					
7. -Artisan Mid Cap Fund	A	Dividend	J	T					
8. -William Blair International Fund	A	Dividend	J	T					
9. -Columbia Marsico 21st Century Fund	A	Dividend	J	T					
10. -Davis NY Venture Fund	A	Dividend	J	T					
11. -Growth Fund of America	A	Dividend	J	T					
12. -Janus Contrarian Fund	A	Dividend	J	T					
13. -Lazard Emerging Markets	A	Dividend	J	T					
14. -Loomis Bond Fund	A	Dividend	J	T					
15. -Lord Abbett Fundamental Equity Fund	A	Dividend	J	T					
16. MFS Intermediate -Income Trust (MIN)	A	Dividend	J	T					
17. -Oppenheimer International Bond	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Waites, John E.

Date of Report

5/5/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Pinco Real Return Fund	A	Dividend	J	T					
19. -Powershares DB Commodity Index	A	Dividend	J	T					
20. -Royce Opportunity Fund	A	Dividend	J	T					
21. -Vanguard Small-Cap ETF	A	Dividend	J	T					
22.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Waites, John E.

Date of Report

5/5/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Waites, John E.

Date of Report

5/5/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John E. Waites**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544