

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Reeves, Pamela L.	2. Court or Organization United States District Court Eastern District of Tennessee	3. Date of Report 05/15/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address Howard H. Baker, Jr., U.S. Courthouse 800 Market Street, Suite 145 Knoxville, TN 37902 865-545-4255		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

- | | |
|-------------------------------|--------------------------------------|
| 1. Executive Committee Member | ABA - Federal Trial Judge Conference |
| 2. _____ | _____ |
| 3. _____ | _____ |
| 4. _____ | _____ |
| 5. _____ | _____ |

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

- | | |
|----------|-------|
| 1. _____ | _____ |
| 2. _____ | _____ |
| 3. _____ | _____ |

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Reeves, Pamela L.

Date of Report

05/15/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2017	Salary - City of Knoxville
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. ABA	02/01/2017 -02/05/2017	Miami, FL	National Conference Federal Trial Judges' Executive Committee Meeting	Hotel Room & Meals
2. UVA (College)	03/24/2017 - 03/27/2017	Charlottesville, VA	Judging Moot Court and teaching class; Funded by College	Hotel Room & Meals
3. ABA	08/09/2017 - 08/13/2017	New York, NY	Activity of professional association or civic organization	Hotel Room & Meals
4. ABOTA	09/18/2017	Chattanooga, TN	Teacher's Law School	Hotel Room & Meals

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting	Date of Report
Reeves, Pamela L.	05/15/2018

5.	UVA (College)	11/05/2017 - 11/07/2017	Charlottesville, VA	Judging Moot Court competition @ UVA; Funded by College	Hotel Room & Meals
----	---------------	----------------------------	---------------------	---	--------------------

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Reeves, Pamela L.

Date of Report

05/15/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Reeves, Pamela L.

Date of Report

05/15/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Rental Property #1 Salisbury NC	A	Rent	K	W					
2. Rental Property #2 Salisbury NC	A	Rent	K	W					
3. Charles Schwab Money Market Fund	A	Int./Div.	L	T					
4. 21st Century Fox, Inc., Class B	A	Int./Div.			Sold	07/07/17	J		
5. Accordia Life Insurance (whole life)	A	Int./Div.	L	T					
6. Aercap	A	Int./Div.			Buy	04/20/17	J		
7.					Sold	07/17/17	J		
8. AIG Strategic Bond CI C	A	Int./Div.	J	T					
9. AIG Government Money Market CI A	A	Int./Div.	J	T					
10. AIG Focused Multi-Asset CI C	A	Int./Div.	J	T					
11. AIG Focused Alpha Growth CI C	A	Int./Div.	J	T					
12. AGCO Common Stock	A	Int./Div.			Sold	07/17/17	J		
13. Alleghany Corp.	A	Int./Div.			Sold	07/17/17	J		
14. American Funds EuroPac Gr R3	A	Int./Div.	J	T					
15. American Intl Group Common Stock	A	Int./Div.			Sold	07/17/17	J		
16. Baron Asset Fund	C	Int./Div.	J	T	Buy	07/21/17	L		
17. Berkshire Hathaway Common Stock	C	Int./Div.			Sold	07/17/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Reeves, Pamela L.

Date of Report

05/15/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Brookfield Asset Mgmt Cl A	A	Int./Div.			Sold	07/17/17	J		
19. CitiGroup Common Stock	A	Int./Div.			Sold	07/17/17	J		
20. City of Knoxville TN Pension Plan G Option 1	A	Int./Div.	L	T					
21. Cognizant Technology Solutions Corp.	A	Int./Div.			Buy	01/11/17	J		
22.					Sold	07/17/17	J		
23. CVS	A	Int./Div.			Buy	01/23/17	J		
24.					Sold	07/17/17	J		
25. Deleware Value A	A	Int./Div.	J	T					
26. Fairfax Financial Holdings Ltd.	A	Int./Div.			Sold	07/17/17	J		
27. First Tennessee Bank cash accounts	A	Int./Div.	M	T					
28. Franklin Small Cap Growth	A	Int./Div.	J	T					
29. Gabelli Asset	C	Int./Div.	J	T	Buy	07/21/17	J		
30. Greenlight Capital Re Ltd.	A	Int./Div.			Sold	07/17/17	J		
31. Group 1 Automotive, Inc.	A	Int./Div.			Sold	07/17/17	J		
32. Guardian Modified Benefit whole life insurance policy	A	Int./Div.	K	T					
33. Hartford whole life insurance policy	A	Int./Div.	K	T					
34. HCA	A	Int./Div.			Buy	06/14/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Reeves, Pamela L.

Date of Report

05/15/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Sold	07/17/17	J		
36. J.P. Morgan & Co. Common Stock	A	Int./Div.			Sold	07/17/17	K		
37. J.P. Morgan Midcap Growth A	A	Int./Div.	J	T					
38. Leucadia National Corp. Common Stock	A	Int./Div.			Sold	07/17/17	J		
39. Liberty Global Inc F Class C	A	Int./Div.			Sold	07/17/17	J		
40. Lincoln National Universal Whole Life Insurance Policy	A	Int./Div.	J	T					
41. Lions Gate Entertainment, Class B	A	Int./Div.			Sold	07/17/17	J		
42. McKesson Corp	A	Int./Div.			Buy	02/02/17	J		
43.					Sold	07/17/17	J		
44. Monsanto Co.	A	Int./Div.			Sold	07/17/17	J		
45. Oaktree Capital Group Common Stock	A	Int./Div.			Sold	07/17/17	J		
46. Perrigo Co. PCL FUS Shares	A	Int./Div.			Sold	07/17/17	J		
47. Pioneer Bond Fund	A	Int./Div.	J	T					
48. Prudential Guaranteed Income Fund	A	Int./Div.	J	T					
49. Prudential Jenn Mid Cap Growth A	A	Int./Div.	J	T					
50. RenaissanceRe Holdings Ltd. Common Stock	A	Int./Div.			Sold	07/17/17	J		
51. Rolls Royce Holdings	A	Int./Div.			Sold	07/17/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Reeves, Pamela L.

Date of Report

05/15/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Schwab S&P 500 Independent Fund	A	Int./Div.	J	T	Buy	07/21/17	L		
53. Team Health Holdings	A	Int./Div.			Sold	01/09/17	J		
54. Vanguard Energy Fund Investor	A	Int./Div.	J	T					
55. Vanguard Growth Index Inx	A	Int./Div.	J	T	Buy	02/21/17	J		
56. Vanguard Growth and Income Fund	A	Int./Div.	K	T					
57. Vanguard Health Care Fund Admiral Shores	A	Int./Div.	L	T					
58. Vanguard Windsor II Fund Investor	A	Int./Div.	K	T					
59. Vict Integral Small CAP-R-b	A	Int./Div.	J	T					
60. Weitz Value Portfolio Equity Funds	A	Int./Div.	J	T					
61. Weitz Value Portfolio Equity Funds	A	Int./Div.	J	T	Buy	07/21/17	L		
62. Wells Fargo Advantage Growth A	A	Int./Div.			Sold	02/21/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Reeves, Pamela L.

Date of Report

05/15/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

All SunAmerica accounts were acquired by AIG in 2017.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Reeves, Pamela L.

Date of Report

05/15/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Pamela L. Reeves

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544