

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Schroeder, HKennethJr	2. Court or Organization U.S. District Court, W.D.N.Y.	3. Date of Report 06/03/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge-Full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address U.S. Courthouse 2 Niagara Square Buffalo, New York 14202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. May 2000	Hodgson, Russ, Andrews, Woods & Goodyear Retirement Plan - former law firm - no control
2. May 2000	Hodgson, Russ, Andrews, Woods & Goodyear Capital Accounts - former law firm - no control
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 12/01/14	Hodgson,Russ,Andrews,Woods&Goodyear-Retirement income from pension plan.	\$75,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA ACCOUNT - Common Stock & money funds:									
2. MLIKX Money Funds		None	J	T					
3. Hodgson, Russ, Andrews, Woods & Goodyear 401(k) - no control									
4. Common Stock:									
5. Harbor Capital Appreciation	A	Dividend	K	T					
6. Managers Special Equity	A	None	K	T					
7. Dodge & Cox Stock Fund		None	K	T					
8. Loomis Sayles Small Cap		None	K	T					
9. Fleet Bank-checking account	A	None	J	T					
10. Common Stock - M&T Bank	A	Dividend	L	T					
11. Mutual Funds:									
12. AIM Large Cap Growth Fund		None	J	T					
13. Alliance Premier Growth Fund		None	J	T					
14. Legg Mason Value Trust		None	J	T					
15. Lord Abbett Secs Tr.		None	J	T					
16. Putnam Int. Growth Fund		None	J	T					
17. Templeton Funds Inc		None	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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18. Van Kampen Comstock CL		None	J	T					
19. Van Kampen Equity Trust		None	J	T					
20. Money Market Funds:									
21. Legg Mason Cash Reserve Trust		None	K	T					
22. Section 529 - Internal Revenue Code Education Funds for									
23. New York's College Savings Program (age/ risk based invest.)									
24.		None	K	T					
25.		None	K	T					
26.		None	K	T					
27. M&T BANK (common stock)	B	Dividend	K	T					
28. M&T BANK (common stock)	A	Dividend	J	T					
29. M&T BANK (common stock)	A	Dividend	K	T					
30. M&T BANK (common stock)	A	Dividend	K	T					
31. M&T BANK (common stock)	B	Dividend	J	T					
32. M&T BANK (common stock)	B	Dividend	J	T					
33. M&T BANK (common stock)	B	Dividend	J	T					
34. M&T BANK (common stock)	B	Dividend	J	T					

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. LEAR CORP	A	Dividend			Buy	07/25/14	J		
36. LEAR CORP		None			Sold	10/28/14	J	A	
37. LEXMARK INTL INC CL A	A	Dividend	J	T	Buy	12/01/14	J		
38. REGIONS FINL CORP	A	Dividend			Buy	02/14/14	J		
39. REGIONS FINL CORP	A	Int./Div.			Sold	07/22/14	J	B	
40. APACHE CORP	A	Dividend			Sold	02/14/14	J	A	
41. KINDER MORGAN ENERGY PARTNERS MLP	A	Dividend			Sold	03/03/14	J	A	
42. TEXTRON INC DE	A	Dividend			Sold	05/02/14	J	B	
43. APPLE INC DE	A	Dividend			Sold	05/02/14	J	A	
44. HARTFORD FINCL SERVICES GROUP INC	A	Dividend			Sold	04/29/14	J	B	
45. BOSTON PROPERTIES INC DE	A	Dividend			Sold	05/15/14	L	B	
46. AMER EXPRESS CO DE	A	Dividend			Sold	03/18/14	J	B	
47. PUBLIC STORAGE REIT DE	A	Dividend			Sold	10/31/14	J	A	
48. BLACKROCK INC DE	A	Dividend			Sold	04/17/14	J	A	
49. SIMON PPTY GROUP INC SBI DE	A	Dividend			Sold	04/25/14	J	B	
50. BEIERSDORF AG ORD *FOREIGN SECURITY ORD	A	Dividend			Sold	07/23/14	J	B	
51. JPMORGAN CHASE & CO DE	A	Dividend			Sold	02/05/14	J	B	

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52. TOTAL S.A. FRANCE SPON ADR	A	Dividend			Sold	06/19/14	J	B	
53. EQUINIX INC NEW DE	A	Int./Div.			Sold	03/11/14	J	B	
54. SWATCH GROUP AG ADR DE	A	Dividend			Sold	07/22/14	J	B	
55. LAZARD LTD CL A DE	A	Dividend			Sold	10/28/14	J	A	
56. CARNIVAL CORP NEW (PAIRED STOCK)	A	Dividend			Sold	05/16/14	J	B	
57. TRINA SOLAR LTD ADS SPON ADR	A	Int./Div.			Sold	06/04/14	J	A	
58. LAS VEGAS SANDS CORP DE	A	Dividend			Sold	09/10/14	J	A	
59. GAMESTOP CORP NEW (HOLDING CO) CL A	A	Dividend			Sold	03/18/14	J	B	
60. CIENA CORP NEW DE	A	Int./Div.			Sold	06/05/14	J	B	
61. GILEAD SCIENCES INC DE	A	Int./Div.			Sold	10/28/14	J	A	
62. POWER ASSETS HOLDINGS LTD SPON ADR	A	Dividend			Sold	06/25/14	J	B	
63. EASTMAN CHEMICAL CO DE	A	Dividend			Sold	10/28/14	J	A	
64. EOG RESOURCES INC DE	A	Dividend			Sold	10/28/14	J	A	
65. CVS CAREMARK CORP (X)	A	Dividend			Sold	02/05/14	J	B	
66. ENTERPRISE PRODUCTS PARTNER LP MLP	A	Dividend			Sold	04/29/14	J	B	
67. COVIDIEN PLC (X)	A	Dividend			Sold	06/16/14	J	B	
68. DISCOVER FINANCIAL SERVICES	A	Dividend			Sold	03/18/14	J	B	

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69. FASTENAL CO (X)	A	Dividend			Sold	04/11/14	J	C	
70. XILINX INC	A	Dividend			Sold	07/23/14	J	B	
71. AMERISOURCEBERGEN CORP	A	Dividend			Sold	10/28/14	J	A	
72. SCRIPPS NETWORKS INTERACT INC CL A	A	Dividend			Sold	04/09/14	J	B	
73. KELLY SERVICES CL A (X)	A	Dividend			Sold	03/28/14	J	B	
74. VALERO ENERGY CORP NEW	A	Dividend			Sold	04/15/14	J	B	
75. DELTA AIR LINES INC DELA NEW (X)	A	Dividend			Sold	10/28/14	J	A	
76. SINCLAIR BROADCAST GROUP INC CL A	A	Dividend			Sold	08/11/14	J	B	
77. PRUDENTIAL FINANCIAL INC	A	Dividend			Sold	03/04/14	J	B	
78. CBOE HLDGS INC COM	A	Dividend			Sold	03/13/14	J	B	
79. QUALCOMM INC	A	Dividend			Sold	04/24/14	J	B	
80. DEAN FOODS CO NEW	A	Dividend			Buy	02/14/14	J		
81. DEAN FOODS CO NEW	A	Int./Div.			Sold	06/10/14	J	B	
82. CROWN CASTLE INTL CORP (X)	A	Dividend			Sold	04/25/14	J	B	
83. EARTHLINK HLDGS CORP COM	A	Dividend			Sold	08/05/14	J	A	
84. GENERAL MOTORS CO	A	Dividend			Buy	03/13/14	J		
85. GENERAL MOTORS CO	A	Int./Div.			Sold	10/28/14	J	A	

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86. PRICE T ROWE GROUP INC	A	Dividend			Sold	04/24/14	J	B	
87. CBS CORP NEW CL B	A	Dividend			Sold	10/28/14	J	A	
88. JOHNSON CONTROLS INC	A	Dividend			Sold	10/28/14	J	A	
89. SOUTH JERSEY IND INC	A	Dividend			Buy	02/27/14	J		
90. SOUTH JERSEY INC INC	A	Int./Div.			Sold	10/28/14	J	A	
91. FLOWSERVE CORP	A	Dividend			Sold	05/02/14	J	B	
92. CST BRANDS INC (X)	A	Dividend			Sold	04/08/14	J	A	
93. EMC CORP MASS	A	Dividend			Sold	10/28/14	J	A	
94. AETNA INC	A	Dividend			Sold	10/28/14	J	A	
95. CDN IMPERIAL BK COMM CANADA CAD	A	Dividend			Sold	08/28/14	J	B	
96. GAP INC	A	Dividend			Buy	03/18/14	J		
97. GAP INC	A	Int./Div.			Sold	08/04/14	J	A	
98. GENERAL GROWTH PPTYS INC NEW COM	A	Dividend			Buy	03/04/14	J		
99. GENERAL GROWTH PPTYS INC NEW COM	A	Int./Div.			Sold	07/29/14	J	B	
100. TRINITY INDUSTRIES INC	A	Dividend			Sold	10/28/14	J	B	
101. TORONTO DOMINION BK NEW CANADA CAD	A	Dividend			Buy	03/05/14	J		
102. TORONTO DOMINION BK NEW CANADA CAD	A	Int./Div.			Sold	08/28/14	J	B	

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103. KONINKLUKE AHOLD NV SPON ADR	A	Dividend			Sold	08/21/14	J	A	
104. ACTIVISION BLIZZARD INC	A	Dividend			Buy	03/13/14	J		
105. ACTIVISION BLIZZARD INC	A	Int./Div.			Sold	07/07/14	J	B	
106. NRG ENERGY INC NEW	A	Dividend			Buy	04/24/14	J		
107. NRG ENERGY INC NEW	A	Int./Div.			Sold	06/24/14	J	B	
108. ADIDAS AG SPON ADR	A	Dividend			Buy	02/10/14	J		
109. ADIDAS AG SPON ADR	A	Int./Div.			Sold	05/15/14	J	B	
110. CELANESE CORP NEW SER A	A	Dividend			Buy	04/25/14	J		
111. CELANESE CORP NEW SER A	A	Int./Div.			Sold	10/28/14	J	A	
112. CRH PLC IRELAND SPON ADR (X)	A	Dividend			Sold	08/21/14	J	B	
113. CHEUNG KONG HOLDINGS LTD ADR HONG KONG ADR	A	Dividend			Sold	08/05/14	J	B	
114. AMERICAN CAMPUS COMMUNITIES INC REITS	A	Dividend			Buy	03/03/14	J		
115. AMERICAN CAMPUS COMMUNITIES INC REITS	A	Int./Div.			Sold	10/28/14	J	B	
116. AXA ADR (X)	A	Dividend			Sold	05/13/14	J	A	
117. STD MOTOR PRODUCTS INC	A	Dividend			Buy	04/30/14	J		
118. STD MOTOR PRODUCTS INC		None			Sold	07/30/14	J	B	
119. AIRBUS GROUP NV EUR (X)	A	Dividend			Sold	07/30/14	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. TRIUMPH GROUP INC	A	Dividend			Buy	03/11/14	J		
121. TRIUMPH GROUP INC	A	Int./Div.			Sold	10/28/14	J	A	
122. ORIENT OVERSEAS INTL LTD ADR	A	Dividend			Buy	04/25/14	J		
123. ORIENT OVERSEAS INTL LTD ADR	A	Int./Div.			Sold	08/12/14	J	B	
124. GNC HOLDINGS INC	A	Dividend			Buy	04/17/14	J		
125. GNC HOLDINGS INC	A	Int./Div.			Sold	06/26/14	J	A	
126. KNOLL INC NEW	A	Dividend			Buy	04/17/14	J		
127. KNOLL INC NEW	A	Int./Div.			Sold	10/28/14	J	A	
128. HUNTINGTON BANCSHARES DE VSP	A	Dividend			Buy	04/28/14	J		
129. HUNTINGTON BANCSHARES DE VSP	A	Int./Div.			Sold	10/20/14	J	A	
130. TECK RESOURCES LTD CL B ORD CAD	A	Dividend			Buy	06/04/14	J		
131. TECK RESOURCES LTD CL B ORD CAD	A	Int./Div.			Sold	07/24/14	J	B	
132. DDR CORP REIT SBI	A	Dividend			Buy	03/18/14	J		
133. DDR CORP REIT SBI	A	Int./Div.			Sold	10/28/14	J	B	
134. STEEL DYNAMICS INC	A	Dividend			Buy	06/05/14	J		
135. STEEL DYNAMICS INC	A	Int./Div.			Sold	10/28/14	J	A	
136. HERMAN MILLER INC	A	Dividend			Buy	04/11/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. HERMAN MILLER INC	A	Int./Div.			Sold	10/28/14	J	A	
138. STEELCASE NEW CL A	A	Dividend			Buy	04/30/14	J		
139. STEELCASE NEW CL A	A	Int./Div.			Sold	09/24/14	J	B	
140. AGRICULTURAL BK CHINA LTD ADR (X)	A	Dividend			Sold	08/28/14	J	B	
141. TAIWAN SEMICONDUCTOR MFG CO LTD ADR (X)	A	Dividend			Sold	10/28/14	J	A	
142. CHINA STHN AIRLINES CO LTD REPSTG 50 SHS CL H SPON ADR	A	Dividend			Buy	05/21/14	J		
143. CHINA STHN AIRLINES CO LTD REPSTG 50 SHS CL H	A	Int./Div.			Sold	10/28/14	J	B	
144. MORGAN STANLEY	A	Dividend			Buy	07/17/14	J		
145. MORGAN STANLEY	A	Int./Div.			Sold	10/28/14	J	A	
146. AMERICAN AIRLS GROUP INC (X)	A	Dividend			Sold	10/28/14	J	A	
147. CHINA MERCHANTS BK CO LTD UNSPONSORED ADR	A	Dividend			Buy	06/26/14	J		
148. CHINA MERCHANTS BK CO LTD UNSPONSORED ADR	A	Int./Div.			Sold	09/17/14	J	B	
149. POSCO SPON ADR	A	Dividend			Buy	05/02/14	J		
150. POSCO SPON ADR	A	Int./Div.			Sold	10/28/14	J	A	
151. H&E EQUIPMENT SERVICES INC	A	Dividend			Buy	05/02/14	J		
152. H&E EQUIPMENT SERVICES INC	A	Int./Div.			Sold	10/28/14	J	B	
153. APPLIED MATERIALS INC	A	Dividend			Buy	06/09/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

154. APPLIED MATERIALS INC	A	Int./Div.			Sold	10/28/14	J	A	
155. MAGNA INTL INC CL A SUB VTG CANADA ORD CAD	A	Dividend			Buy	08/08/14	J		
156. MAGNA INTL INC CL A SUB VTG CANADA ORD CAD	A	Int./Div.			Sold	10/28/14	J	A	
157. CHICAGO BRIDGE & IRON CO NV EUR	A	Dividend			Buy	07/25/14	J		
158. CHICAGO BRIDGE & IRON CO NV EUR	A	Int./Div.			Sold	10/28/14	J	A	
159. FEDEX CORP	A	Dividend	J	T	Buy	06/18/14	J		
160. HEWLETT PACKARD CO	A	Dividend			Buy	07/01/14	J		
161. HEWLETT PACKARD CO	A	Int./Div.			Sold	10/28/14	J	A	
162. PETROFAC LTD ORD	A	Dividend			Buy	08/26/14	J		
163. PETROFAC LTD ORD	A	Int./Div.			Sold	10/28/14	J	B	
164. SYNEX CORP	A	Dividend			Buy	05/19/14	J		
165. SYNEX CORP	A	Int./Div.			Sold	06/17/14	J	B	
166. BLACKSTONE GROUP LP/THE UNIT REPSTG LTD PARTNERSHIP INT	A	Dividend			Buy	09/18/14	J		
167. BLACKSTONE GROUP LP/THE UNIT REPSTG LTD PARTNERSHIP INT DE VSP	A	Int./Div.			Sold	10/28/14	J	A	
168. SM ENERGY CO	A	Dividend			Buy	08/06/14	J		
169. SM ENERGY CO	A	Int./Div.			Sold	10/28/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
170. SMITH & NEPHEW PLC NEW SPON ADR	A	Dividend			Buy	05/01/14	J		
171. SMITH & NEPHEW PLC NEW SPON ADR	A	Int./Div.			Sold	10/28/14	J	A	
172. PNM RESOURCES INC (HOLDING CO)	A	Dividend			Buy	09/04/14	J		
173. PNM RESOURCES INC (HOLDING CO)	A	Int./Div.			Sold	10/28/14	J	B	
174. KKR & CO L P DEL COM UNITS MLP	A	Dividend			Buy	09/29/14	J		
175. KKR & CO L P DEL COM UNITS MLP	A	Int./Div.			Sold	10/28/14	J	A	
176. CUMMINS INC	A	Dividend			Sold	02/10/14	J	A	
177. LYONDELLBASELL INDUSTRIES N V SHS - A - CL - A	A	Dividend			Buy	10/24/14	J		
178. LYONDELLBASELL INDUSTRIES N V SHS - A - CL A	A	Int./Div.			Sold	10/28/14	J	A	
179. TOYOTA MOTOR CORP NEW JAPAN SPON ADR	A	Dividend			Buy	03/28/14	J		
180. TOYOTA MOTOR CORP NEW JAPAN SPON ADR	A	Int./Div.			Sold	10/28/14	J	A	
181. BARRICK GOLD CORP CAD	A	Dividend	J	T	Buy	11/06/14	J		
182. COPA HOLDINGS SA CL A	A	Dividend	J	T	Buy	11/24/14	J		
183. RELIANCE STEEL & ALUMINUM CO	A	Dividend			Buy	09/10/14	J		
184. RELIANCE STEEL & ALUMINUM CO	A	Int./Div.			Sold	10/28/14	J	A	
185. PRECISION CASTPARTS CORP	A	Dividend	J	T	Buy	10/23/14	J		
186. TRAVELERS COS INC/THE	A	Dividend	J	T	Buy	09/19/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
187. TRAVELERS COS INC/THE DE VSP	A	Int./Div.			Sold	10/28/14	J	A	
188. AFLAC INC DE VSP	A	Int./Div.			Sold	02/06/14	J	B	
189. CDN IMPERIAL BK COMM CANADA CAD DE	A	Int./Div.	J	T	Buy	02/06/14	J		
190. COCA COLA CO COM DE VSP 103008 (X)	A	Int./Div.			Sold	02/06/14	J	B	
191. ENTEGRIS INC DE	A	Dividend			Buy	02/06/14	J		
192. ENTEGRIS INC DE	A	Int./Div.			Sold	10/20/14	J	B	
193. INTEGRATED DEVICE TECH INC DE	A	Dividend			Buy	02/06/14	J		
194. INTEGRATED DEVICE TECH INC DE	A	Int./Div.			Sold	05/07/14	J	B	
195. NEUROCRINE BIOSCIENCES INC NEW NEW DE	A	Dividend			Buy	02/06/14	J		
196. NEUROCRINE BIOSCIENCES INC NEW NEW DE	A	Int./Div.			Sold	10/28/14	J	A	
197. DU PONT DE NEMOURS DE VSP 061611 (X)	A	Int./Div.			Sold	02/07/14	J	B	
198. PIKE CORP DE	A	Dividend			Buy	02/07/14	J		
199. PIKE CORP DE	A	Int./Div.			Sold	08/13/14	J	B	
200. RF MICRO DEVICES INC DE	A	Dividend			Buy	02/07/14	J		
201. RF MICRO DEVICES INC DE	A	Int./Div.			Sold	07/25/14	J	A	
202. SPIRIT AIRLINES INC DE	A	Dividend			Buy	02/07/14	J		
203. SPIRIT AIRLINES INC DE	A	Int./Div.			Sold	10/28/14	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
204. TAKE-TWO INTERACTIVE SOFTWARE DE VSP 062713	A	Int./Div.			Sold	02/07/14	J	B	
205. SNAP ON INC DE VSP 021312	A	Int./Div.			Sold	02/10/14	J	B	
206. LATTICE SEMICONDUCTOR CORP DE	A	Dividend			Buy	02/12/14	J		
207. LATTICE SEMICONDUCTOR CORP DE	A	Int./Div.			Sold	04/25/14	J	B	
208. ON SEMICONDUCTOR CORP DE	A	Dividend			Buy	02/12/14	J		
209. ON SEMICONDUCTOR CORP DE	A	Int./Div.			Sold	10/10/14	J	A	
210. COACH INC DE VSP 103008VSP (X)	A	Int./Div.			Sold	02/21/14	J	B	
211. HELIX ENERGY SOLUTIONS GROUP INC DE	A	Dividend			Buy	02/21/14	J		
212. HELIX ENERGY SOLUTIONS GROUP INC	A	Int./Div.			Sold	10/28/14	J	A	
213. BLUCORA INC DE	A	Dividend			Buy	02/25/14	J		
214. BLUCORA INC DE	A	Int./Div.			Sold	10/28/14	J	A	
215. CAMPBELL SOUP CO DE VSP 090513	A	Int./Div.			Sold	02/25/14	J	B	
216. ANSYS INC DE VSP 062813	A	Int./Div.			Sold	02/26/14	J	B	
217. ARRIS GROUP INC NEW COM DE	A	Dividend			Buy	02/26/14	J		
218. ARRIS GROUP INC NEW COM DE	A	Int./Div.			Sold	05/27/14	J	B	
219. CERNER CORP DE VSP 102811	A	Int./Div.			Sold	02/26/14	J	B	
220. PHOTOTRONICS INC DE	A	Dividend	J	T	Buy	02/26/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
221. USG CORP NEW DE VSP 043012	A	Int./Div.			Sold	02/27/14	J	B	
222. CLEARWATER PAPER CORP DE	A	Dividend			Buy	03/03/14	J		
223. CLEARWATER PAPER CORP DE	A	Int./Div.			Sold	10/28/14	J	A	
224. WALT DISNEY CO (HOLDING CO) DISNEY COM DE VSP 051311 (X)	A	Int./Div.			Sold	03/03/14	J	B	
225. WINDSTREAM HLDGS INC COM DE VSP - 080511 (X)	A	Int./Div.			Sold	03/05/14	J	A	
226. CAVIUM COM DE VSP 013014 (X)	A	Int./Div.			Sold	03/13/14	J	B	
227. QUANTA SERVICES INC DE	A	Dividend			Buy	03/24/14	J		
228. QUANTA SERVICES INC DE	A	Int./Div.			Sold	10/28/14	J	A	
229. MALLINCKRODT PUB LTD CO DE VSP 031011 (X)	A	Int./Div.			Sold	04/08/14	J	A	
230. JETBLUE AIRWAYS CORP DE	A	Dividend			Buy	04/09/14	J		
231. JETBLUE AIRWAYS CORP DE	A	Int./Div.			Sold	10/28/14	J	A	
232. MERITOR INC DE	A	Dividend			Buy	04/15/14	J		
233. MERITOR INC DE	A	Int./Div.			Sold	10/28/14	J	B	
234. PRESTIGE BRANDS HOLDINGS INC DE	A	Dividend			Buy	04/16/14	J		
235. PRESTIGE BRANDS HOLDINGS INC DE	A	Int./Div.			Sold	10/28/14	J	A	
236. AUTONATION INC DE VSP 071813	A	Int./Div.			Sold	04/17/14	J	B	
237. CASELLA WASTE SYSTEMS INC CL A DE	A	Dividend			Buy	04/24/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

238. CASELLA WASTE SYSTEMS INC CL A DE	A	Dividend			Sold	07/11/14	J	B	
239. KORN FERRY INTL CAL DE	A	Dividend			Buy	04/25/14	J		
240. KORN FERRY INTL CAL DE	A	Int./Div.			Sold	10/28/14	J	A	
241. VALE SA-SP SPON ADR DE	A	Dividend			Buy	04/25/14	J		
242. VALE SA-SP SPON ADR DE	A	Int./Div.			Sold	08/21/14	J	B	
243. HUTCHISON WHAMPOA LTD ADR HONG KONG ADR DE VSP 032813	A	Int./Div.			Sold	04/28/14	J	A	
244. SHIRE PLC SPON ADR DE	A	Dividend			Buy	05/01/14	J		
245. SHIRE PLC SPON ADR DE	A	Dividend			Sold	07/18/14	J	B	
246. CONCUR TECHNOLOGIES INC DE	A	Dividend			Buy	05/02/14	J		
247. CONCUR TECHNOLOGIES INC DE	A	Int./Div.			Sold	06/16/14	J	B	
248. SEMTECH CORP DE	A	Dividend			Buy	05/02/14	J		
249. SEMTECH CORP DE	A	Int./Div.			Sold	10/10/14	J	A	
250. SINOPEC SHANGHAI PETROCHEMICAL CO LTD SPON ADR DE VSP 061313	A	Int./Div.			Sold	05/02/14	J	B	
251. OWENS ILLINOIS INC NEW DE	A	Dividend			Buy	05/07/14	J		
252. OWENS ILLINOIS INC NEW DE	A	Int./Div.			Sold	10/28/14	J	A	
253. AIR CHINA LTD SPON ADR DE VSP 071813	A	Int./Div.			Sold	05/16/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

254. OFFICE DEPOT INC DE	A	Dividend			Buy	05/19/14	J		
255. OFFICE DEPOT INC DE	A	Int./Div.			Sold	10/28/14	J	B	
256. SPROUTS FARMERS MARKETS INC DE	A	Dividend			Buy	06/06/14	J		
257. SPROUTS FARMERS MARKETS INC DE	A	Int./Div.			Sold	10/28/14	J	A	
258. EBAY INC DE	A	Dividend			Buy	06/10/14	J		
259. EBAY INC DE	A	Int./Div.			Sold	07/29/14	J	B	
260. STANDARD PACIFIC CORP NEW DE	A	Dividend			Buy	06/17/14	J		
261. STANDARD PACIFIC CORP NEW DE	A	Int./Div.			Sold	10/28/14	J	B	
262. TESCO PLC SPONS ADR UNITED KINGDOM DE	A	Dividend			Buy	06/19/14	J		
263. TESCO PLC SPONS ADR UNITED KINGDOM DE	A	Int./Div.			Sold	09/22/14	J	A	
264. TIBCO SOFTWARE INC DE	A	Dividend			Buy	06/20/14	J		
265. TIBCO SOFTWARE INC DE	A	Int./Div.			Sold	09/29/14	J	B	
266. CELGENE CORP STOCK SPLIT SYMBOL: CELG RATE 1.00 (X)	A	Int./Div.			Sold	10/28/14	J	A	
267. SYNEX CORP DE	A	Dividend			Buy	07/11/14	J		
268. SYNEX CORP DE	A	Int./Div.			Sold	10/28/14	J	A	
269. MICHAEL KORS HLDGS LTD DE	A	Dividend			Buy	07/15/14	J		
270. MICHAEL KORS HLDGS LTD DE	A	Int./Div.			Sold	10/28/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

271. FMC TECHNOLOGIES INC DE	A	Dividend			Buy	07/23/14	J		
272. FMC TECHNOLOGIES INC DE	A	Int./Div.			Sold	10/28/14	J	A	
273. SKECHERS USA INC CL A DE	A	Dividend			Buy	07/24/14	J		
274. SKECHERS USA INC CL A DE	A	Int./Div.			Sold	10/28/14	J	A	
275. INFORMATICA CORP DE	A	Dividend			Buy	07/25/14	J		
276. INFORMATICA CORP DE	A	Int./Div.			Sold	10/28/14	J	A	
277. 3-D SYSTEMS CORP DELA NEW DE	A	Dividend			Buy	07/30/14	J		
278. 3-D SYSTEMS CORP DELA NEW DE	A	Int./Div.			Sold	10/22/14	J	B	
279. QUALCOMM INC DE	A	Dividend			Buy	08/08/14	J		
280. QUALCOMM INC DE	A	Int./Div.			Sold	10/28/14	J	A	
281. SWIFT TRANSN CO CL A DE	A	Dividend			Buy	08/20/14	J		
282. SWIFT TRANSN CO CL A DE	A	Int./Div.			Sold	10/28/14	J	A	
283. EW SCRIPPS CO CL A DE	A	Dividend			Buy	09/24/14	J		
284. EW SCRIPPS CO CL A DE	A	Int./Div.			Sold	10/28/14	J	A	
285. HOCHTIEF AG ORD DM 5 PR ORD EUR DE	A	Dividend			Buy	10/02/14	J		
286. HOCHTIEF AG ORD DM 5 PR ORD EUR DE	A	Int./Div.			Sold	10/28/14	J	A	
287. FRANKLIN RESOURCES INC DE	A	Dividend			Buy	10/03/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
288. FRANKLIN RESOURCES INC DE	A	Int./Div.			Sold	10/28/14	J	A	
289. MERITAGE HOMES CORP DE	A	Dividend			Buy	10/06/14	J		
290. MERITAGE HOMES CORP DE	A	Int./Div.			Sold	10/28/14	J	A	
291. XEROX CORP DE	A	Dividend			Buy	10/08/14	J		
292. XEROX CORP DE	A	Int./Div.			Sold	10/28/14	J	A	
293. KATE SPADE & CO DE	A	Dividend			Buy	10/09/14	J		
294. KATE SPADE & CO DE	A	Int./Div.			Sold	10/28/14	J	A	
295. ACTIVISION BLIZZARD INC DE	A	Dividend			Buy	10/10/14	J		
296. ACTIVISION BLIZZARD INC DE	A	Int./Div.			Sold	10/28/14	J	A	
297. SHIRE PLC SPON ADR DE	A	Dividend	J	T	Buy	10/16/14	J		
298. EBAY INC DE	A	Dividend			Buy	10/17/14	J		
299. EBAY INC DE	A	Int./Div.			Sold	10/28/14	J	B	
300. GOOGLE INC CL C DE	A	Dividend	J	T	Buy	10/17/14	J		
301. TRIMBLE NAVIGATION LTD DE VSP	A	Int./Div.			Sold	10/20/14	J	B	
302. NORDEA BANK AB EUR DE	A	Dividend			Buy	10/22/14	J		
303. NORDEA BANK AB EUR DE	A	Int./Div.			Sold	10/28/14	J	A	
304. UNITED CONTL HLDGS INC DE	A	Dividend			Buy	10/23/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
305. UNITED CONTL HLDGS INC DE	A	Int./Div.			Sold	10/28/14	J	A	
306. BERKSHIRE HATHAWAY INC NEW CL B DE VSP (X)	A	Int./Div.			Sold	10/28/14	J	A	
307. CONSTELLATION BRANDS INC CL A DE VSP (X)	A	Int./Div.			Sold	10/28/14	J	A	
308. CUMMINS INC DE	A	Dividend	J	T	Buy	10/28/14	J		
309. ICON PLC EUR DE VSP	A	Int./Div.			Sold	10/28/14	J	A	
310. MANITOWOC CO DE VSP (X)	A	Int./Div.			Sold	10/28/14	J	A	
311. NPS PHARMACEUTICALS DEL DE VSP	A	Dividend	J	T	Buy	10/28/14	J		
312. PAREXEL INTL CORP DE VSP	A	Dividend	J	T	Buy	10/28/14	J		
313. PHOTRONICS INC DE VSP	A	Int./Div.			Sold	10/28/14	J	B	
314. SUNEDISON INC DE VSP	A	Int./Div.			Sold	10/28/14	J	A	
315. UNTD RENTALS INC DE VSP (X)	A	Int./Div.			Sold	10/28/14	J	A	
316. RF MICRO DEVICES INC DE	A	Dividend	J	T	Buy	10/30/14	J		
317. TECK RESOURCES LTD CL B ORD CAD DE	A	Dividend	J	T	Buy	10/30/14	J		
318. FREEPORT-MCMORAN INC DE	A	Dividend	J	T	Buy	10/31/14	J		
319. GOODYEAR TIRE & RUBBER CO DE	A	Dividend	J	T	Buy	10/31/14	J		
320. CIGNA CORP DE	A	Dividend	J	T	Buy	11/06/14	J		
321. FOSSIL GROUP INC DE	A	Dividend	J	T	Buy	11/12/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

322. CELADON GROUP INC DE	A	Dividend	J	T	Buy	11/24/14	J		
323. CENTURYLINK INC DE		None	J	T	Buy	12/01/14	J		
324. DISCOVER FINANCIAL SERVICES DE		None	J	T	Buy	12/10/14	J		
325. TYSON FOODS INC CL A DE		None	J	T	Buy	12/10/14	J		
326. CABOT CORP DE		None	J	T	Buy	12/15/14	J		
327. ORANGE SPON ADR DE		None	J	T	Buy	12/30/14	J		
328. UNITEDHEALTH GROUP INC (Y)									
329. CONSTELLATION ENERGY GP (Y)									
330. HERSHEY FOODS CORP (Y)									
331. IMMUNEX CORP NEW (Y)									
332. INDYMAC BANCORP INC (Y)									
333. LEHMAN BROTHERS HLDGS COM (Y)									
334. HCA INC (Y)									
335. VISHAY INTERTECHNLGY (Y)									
336. BARNES & NOBLE INC (Y)									
337. WACHOVIA CORP (Y)									
338. REHABCARE GROUP INC (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

339. ELECTRS BOUTIQUE HLDGS (Y)									
340. HARLEY DAVIDSON INC (Y)									
341. MID ATLANTIC MED SVCS (Y)									
342. OCEAN ENERGY INC (Y)									
343. PUB SVC NEW MEX PR (Y)									
344. AFFILIATED COMP SVCS (Y)									
345. AMERICAN STD COS (Y)									
346. ANIXTER INTL INC (Y)									
347. BOISE CASCADE (Y)									
348. MBNA CORP (Y)									
349. OPEN TEXT CORP (Y)									
350. CAPITOL ONE FINL (Y)									
351. KINETICS CONCEPTS (Y)									
352. NEWS CORP CI (Y)									
353. NORFOLK SOUTHERN (Y)									
354. OPEN TEXT CORP (Y)									
355. SONY CORP (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Schroeder, HKennethJr

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

356. WEIGHT WATCHERS INT (Y)									
357. COMMERCE BANCORP INC NJ (Y)									
358. INGRAM MICRO INC CL A (Y)									
359. MOTOROLA INC COM (Y)									
360. MICRO DEVICES INC (Y)									
361. SCIENTIFIC ATLANTA (Y)									
362. MATSUSHTA EL IND ADR (Y)									
363. FISHER SCIENTIFIC 1 NEW (Y)									
364. THERMO ELECTRON CORP (Y)									
365. UNIVISION COMM INC CL A (Y)									
366. SAP AKGSLTT SPONSORD ADR (Y)									
367. KOHLS CORP WISC PV 1CT (Y)									
368. GLOBAL SANTAFE CORP (Y)									
369. CITIZENS COMMNCTNS CO (Y)									
370. PENSKE AUTO GROUP INC (Y)									
371. GARMIN LTD (KAYMAN IS) (Y)									
372. TREEHOUSE FOODS INC COM STK (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Schroeder, HKennethJr

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
373. ATC TECH CORP (Y)									
374. RAILCORP HOLDINGS INC NEW DE (Y)									
375. SCHLUMBERGER LTD NETHERLANDS ANTILLES (P)									
376. VALUCLICK INC DE (Y)									
377. EVEREST RE GROUP LTD BERMUDA (Y)									
378. L.V.M.H.EUR 0.0.0EUR PAR ORDINARY (Y)									
379. MEMC ELECTRONIC MATERIALS INC (Y)									
380. ROYAL CARIBBEAN CRUISES LTD LIBERIA ORD (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Continuation of Part I. POSITIONS:

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Name of Person Reporting

Schroeder, HKennethJr

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **HKennethJr Schroeder**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544